

1 **A statistical model for variability of the Arctic Ocean surface layer salinity**

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13

14 **Abstract**

15 Significant salinity anomalies were observed in the Arctic Ocean surface layer during the last  
16 decade. On the base of gridded data of winter salinity of the upper 50 m layer for the period  
17 1950-1993 and 2007-2012 we investigated the features of the interannual variability of salinity  
18 fields, tried to identify the causes of its anomalies, and develop a statistical model for the  
19 prediction of surface layer salinity fields. The Statistical model based on linear regression  
20 equations linking the principal components with environmental factors such as atmospheric  
21 circulation, river runoff, ice processes, and water exchange with neighboring oceans.  
22 Using this model, we obtained prognostic fields of the Arctic Ocean surface layer salinity for the  
23 winter period 2013-2014. Prognostic fields demonstrate the same tendencies of the surface layer  
24 freshening that were observed before.

25

26 **Key words:** Arctic Basin, surface layer, salinity anomalies, empirical orthogonal functions,  
27 clusters analysis.

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29

### 30 **Introduction**

31 The Arctic Ocean is very sensitive to changing environmental conditions. Its surface  
32 layer is a key component of the Arctic climate system, which constitutes the dynamic and  
33 thermodynamic links between the atmosphere and the underlying waters (Carmack 2000). The  
34 stability and development of the ice cover are associated with mixed layer thickness, upper layer  
35 salinity, and upper halocline, which state the geographic distribution of sea ice and variability. In  
36 this context, the Arctic Ocean surface layer is a reliable indicator of climate change in the Arctic  
37 (Zaharov 1996).

38 Thermohaline structure of the Arctic Ocean surface layer has also undergone significant  
39 changes in recent years (Figure 1). Of particular interest is the great freshening of the Canada  
40 Basin surface layer that has not been observed in this region since 1950 (Timokhov et al. 2011)  
41 until the early 1990s. However, in (Jackson et al. 2012) has emphasized that the processes  
42 related to warming and freshening of the surface layer in this region have transformed the water  
43 mass structure of the upper 100 m.

44 In addition, there are observations of significant salinification of the upper Eurasian Basin  
45 that began around 1989. One hypothesis for this is the increasing of Arctic atmospheric cyclone  
46 activity in the 1990s that led to a spectacular changing of the salinity in the Eurasian Basin. This  
47 can be explained through two mechanisms of salinization: 1) changes in the rivers inflow, and 2)  
48 increased brine formation due to changes in Arctic sea ice formation. The high salinization in  
49 this region altered the formation of cold halocline waters, weakened vertical stratification, and  
50 released heat upward from below the cold halocline layer (Johnson & Polyakov 2001). The other  
51 reason of salinification is influence of the Atlantic waters (AW), which by 2007 became warmer

52 by about 0.24°C then in the 1990s. Observations show that increase in the Arctic Ocean salinity  
53 has accompanied the warming. This led to significant shoaling of the upper AW boundary (up to  
54 75-90 m in comparison with climatic values) and weakening of the upper-ocean stratification in  
55 the Eurasian Basin as well (Polyakov et al. 2010). However, current observations also show that  
56 the upper ocean of the Eurasian Basin was appreciably fresher in 2010 than it was in 2007 and  
57 2008 (Timmermans et al. 2011).

58 It (Zhang et al., 2003) has been emphasized that the fresh water balance and salinification  
59 of the Arctic Ocean are key players in the mixed layer. In turn, it is well known that the crucial  
60 factors of the surface water mass transformation are advection of the salinized ocean waters and  
61 influence of this process on the halocline and, on the other, the changes in the density field of the  
62 ocean conduct to the surface water and sea ice circulation.

63 Why salinity was chosen as the object of this investigation. It is known that for the Arctic  
64 Ocean, water density depends more on water salinity than on water temperature, and hence the  
65 thermohaline circulation is mainly determined by salinity distribution. This conclusion comes  
66 easily from an analysis of a linear equation for seawater state:

$$67 \quad \rho = \rho_0 - \varepsilon T(T - T_0) + \varepsilon S(S - S_0), \quad (1)$$

68 where  $\rho_0$ ,  $T_0$ ,  $S_0$  are some initial values of water density, temperature, and salinity;

$$69 \quad \varepsilon T = 7 \cdot 10^{-5} \text{ g}/(\text{cm}^3 \cdot \text{K}), \quad \varepsilon S = 8 \cdot 10^{-4} \text{ g}/(\text{cm}^3 \cdot \text{‰}).$$

70 Vertical variations of temperature and salinity in the upper layer can reach 0.5°C and 1‰  
71 respectively. Thus, if we put these numbers into an equation we can get the contributions of  
72 temperature and salinity in changes of water density, which are about 4 and 96 % respectively.

73 Transfer of the briny surface waters and ice from the Arctic Ocean to the North Atlantic  
74 is a significant component of the global ocean circulation. Thus, the investigation of the  
75 variability of the surface layer can make a great contribution to understanding the climate-ocean  
76 feedbacks. Particularly, abrupt changes in the surface layer salinity may lead to a tipping point in  
77 the global ocean circulation (Lenton et al., 2009). In (Lenton, 2011) was defined that the climate

78 'tipping point' may happen if a small change in forcing triggers a strongly nonlinear changes of  
79 the internal properties of the system, that can lead to changing its future states. We may interpret  
80 a “forcing triggers” as anomaly in interannual salinity variability. Anyway, the robust  
81 mathematical models are required for implementation of this hypothesis. In present time we have  
82 a lot of different physical models of the surface layer salinity For example, the sea ice salinity  
83 models can model significant changes in physical macroscopic properties as well as microscopic  
84 properties such as the distribution of brine channels (Vancoppenolle, et al, 2009b). Besides that,  
85 to use the regional climate models (for specific seas) for understanding of scale variation is not  
86 an appropriate approach.

87 Thus, changes in salinification of the Arctic Ocean are one of the key players in the  
88 Arctic climate system, which connects this system to the global climate system. This curious  
89 system leads us to a better understanding of feedbacks, tipping points, and anomalies.

90 We propose to develop our model expressed by the ideas of L. Timokhov (Timokhov et  
91 al., 2012). This statistical model of variability, of the Arctic Ocean winter salinity, in the 5–50 m  
92 layer is used the method of reconstruction of the winter fields of salinity which have been  
93 suggested in (Pokrovsky & Timokhov 2002). This study is devoted to the development of a  
94 statistical model of variability of the Arctic Ocean winter salinity in the 5–50 m layer. The model  
95 is based on equations of multiple correlations for the time series (principal components, PC)  
96 associated with the first five leading modes of the Empirical Orthogonal Function (EOF) analysis  
97 applied to the salinity fields. The contribution of atmospheric factors, hydrological processes and  
98 pre-history of spatial distribution of salinity can be interpreted through determining of the  
99 structure of the multiple correlation equations.

100 Based on gridded data of winter salinity of the upper 50 m layer for the periods of 1950-  
101 1993 and 2007-2012, we investigated the features of the inter-annual variability of salinity fields,  
102 tried to identify the causes of its anomalies, and made a statistical model for the prediction of  
103 surface layer salinity fields.

104 Cluster analysis of the surface salinity allowed identifying 6 types of spatial distribution  
105 of the salinity fields, which differ from each other by position of the fresh water core, position of  
106 the Transpolar Drift frontal zone, and value of horizontal salinity gradient. It has been shown that  
107 the structure of salinity fields (of 1990-1993 and 2007-2012) greatly differs from previous years.  
108 Uniqueness of halin structure (during 2007-2012) was also confirmed by the results of the  
109 decomposition of the surface salinity fields on Empirical Orthogonal Functions.

110 Analysis of the equations for the first five PCs showed that surface salinity fields were  
111 influenced mostly by atmospheric processes. Moreover, the structure of the salinity fields due to  
112 their conservatism can save and accumulate the after-effects of atmospheric processes occurring  
113 up to 2-3 years ago (according to the results of the correlation analysis of the links between PCs  
114 and various external factors).

115 We obtained using the PCs, calculated by the model, forecast fields of the Arctic Ocean  
116 surface layer salinity for the winter period 2013-2014. Prognostic fields demonstrate the same  
117 tendencies of the surface layer freshening that were observed before.

## 118 **2. Data Set and Method**

### 119 **2.1. Data Set**

120 This study is based on the collection of more than 6,419 instantaneous temperature and  
121 salinity profiles with data available at the standard levels (5,10, 25, 50, 75, 100, 150, 200, 250,  
122 300, 400, 500, 750, 1000 and so on every 500 meters) collected between 1950-1993 and obtained  
123 from the Russian Arctic and Antarctic Research Institute (AARI) database; this is complemented  
124 by data made available between 2007-2012 from the expeditions of IPY and after, which  
125 consisted of CTD and XCTD data originating from ITP-buoys. The average vertical resolution of  
126 these profiles were 1 m. The first database was introduced by Lebedev et al. (2008). In areas  
127 where observations were missing, temperature and salinity data were reconstructed in a regular  
128 grid for the period of 1950 to 1989. Also, some data was found in the joint U.S. Russian Atlas of  
129 the Arctic Ocean for winter (Timokhov & Tanis 1997). Thus the working database is represented

130 by grids with spatial resolution of 200 per 200 km, covering a deep part of the Arctic Ocean  
131 (with depth more than 200 m).

132 According to researchers (Treshnikov 1959; Rudels et al. 1996, 2004) the average  
133 thickness of the Arctic Ocean mixed layer for the winter season is 50 m. Termohaline  
134 characteristics of the surface layer fully reflect the effects of atmospheric and ice processes, as  
135 water most directly exposed to the atmosphere and ice lies within the mixed layer (Sprintall &  
136 Cronin 2001).

137 For data analysis, we also used different factors such as river runoff (Joint US-Russian  
138 Atlas of the Arctic Ocean 1997; <http://rims.unh.edu/data/station/list.cgi?col=4>), the area of the  
139 ice-free surface in the Arctic seas in September  
140 (<http://www.aari.ru/projects/ECIMO/index.php?im=100>), the ice extent in the Arctic Ocean  
141 (<http://www.esrl.noaa.gov/psd/data/gridded/tables/arctic.html>), and some indexes of atmospheric  
142 circulation. We found AO, NAO, and PNA indexes were at <http://www.cpc.ncep.noaa.gov/>;  
143 AMO indexes at <http://www.esrl.noaa.gov/psd/data/timeseries/AMO/>); and PDO data  
144 downloaded from <http://jisao.washington.edu/pdo/>. Average monthly AD indexes can be found  
145 at <http://www.jisao.washington.edu/analyses0302/>.

## 146 **2.2. The statistical method**

147 In this section, we shortly describe the statistical model for analyzing the fields of  
148 oceanographic records, which was introduced in (Pokrovsky & Timokhov 2002), that was used  
149 to obtain gridded salinity fields

$$150 \quad z_i = z_i^{(r)} + e, \quad \langle z_i z_j \rangle = \sigma_{x_i x_j}, \quad \langle z_i e_i \rangle = 0, \quad (2)$$
$$\langle e_i \rangle = 0, \quad \langle e_i e_j \rangle = \delta_{ij} \sigma_e^2 = \sigma_{e_{ij}}^2$$

151 We assume that  $z(t, x)$  – measured value of an oceanographic record (e.g. temperature or salinity)  
152 is a random function of time  $t$  and coordinates  $x$ . We can reproduce observed value of  $z(t, x)$  as a  
153 sum of a true value  $z^{(r)}(t, x)$  of the oceanographic record and an observational error  $e(t, x)$ . We

154 also suppose that  $z_i^{(r)}$  has spatial correlations to the records; a systematic error is not identified; a  
155 standard deviation of error does exist.

156 Biorthogonal decomposition of the oceanographic record can help to identify the  
157 connection between spatial and temporal distribution of the oceanographic record:

$$158 \quad z(t_j, x_i) = \sum_k c_k^j f_k(x_i) + e(t_j, x_i), \quad (3)$$

159 where  $f_k(x_i)$  – spatial empirical orthogonal function (EOF);  $c_k^j$  – calculated coefficient, so-called  
160 **k-th** principal component.

161 As the next step let's approximate EOF through linear combination of convenient  
162 analytical functions  $P_l(x_i)$ . Thus, the modified biorthogonal decomposition can be written

$$163 \quad z(t_j, x_i) = \sum_k d_i^j P_l(x_i) + e(t_j, x_i), \quad (4)$$

164 here  $d_i^j = \sum b_{kl} c_k^j$ .

165 The main goal of this spectral analysis method is to estimate coefficients of spectral  
166 decomposition  $C = |c_k^j|$  and  $B = \{b_{kl}\}$ . Actually, this approach is a combination between singular  
167 value decomposition and statistical regularization. These coefficients (modes) can be marked  
168 through the real physical processes which influence salinity (see the physical model below).

### 169 **2.3. Statistical model**

170 Next, we will describe the approaches to data analysis which were used for physical  
171 interpretation of our statistical model.

172 Researchers (Polyakov et al. 2010; Rabe et al. 2011; Morison et al. 2012) have  
173 emphasized that the thermohaline structure of the surface layer has undergone significant  
174 changes over the last decade. However, we still don't understand the physical processes which  
175 led to these changes or what might be the future trends.

176 On the other side, we can assume that the analysis of variability of the surface layer  
177 (including salinity fields) of the Arctic Ocean may be based on the decomposition of empirical  
178 orthogonal function. This approach is useful in our case because decomposition on EOF gives

179 modes and principal components (PC) which allow us to divide the variability in researched  
180 parameters on the spatial and temporal components. Each mode describes a certain fraction of a  
181 dispersion of the initial data. This fraction is inversely proportional to the order of a mode  
182 (Hannachi et al. 2007). The first 3-5 modes describe most of the dispersion of the analyzed  
183 salinity fields, which allow significantly compressing the information contained in the original  
184 data (Hannachi et al. 2007; Borzelli & Ligi 1998). EOF decomposition was carried out for the  
185 average salinity fields for the layer 5-50 m as well as obtained time series of PCs for the periods  
186 of 1950-1993 and 2007-2011.

187 We applied our statistical model to interpret the physical processes through PCs. We  
188 approximate the time series of principal components to identify predictors that determine  
189 variability of the salinity fields; also, it helps to obtain the equations for projection of future  
190 changes. The statistical model is presented by a system of linear regression equations constructed  
191 for the first five PCs, as the first five EOF yields above 77 % of the total variance of the salinity  
192 data. The principal components were associated with these factors: the atmospheric circulation  
193 indexes (AMO, AO, NAO, PDO, PNA, AD), water exchange with Pacific and Atlantic Oceans,  
194 river runoff, and the area of the ice-free surface in the Arctic seas in September. Firstly, these  
195 indexes were introduced in the work of Pokrovsky and Timokhov (2002). In table 2 you can find  
196 physical interpretation of these indexes. We should note that we did introduce one assumption,  
197 that time series of the Arctic and Atlantic oceans water exchange can be presented through AMO  
198 indexes.

#### 199 **2.4. Cluster analysis**

200 We use cluster analysis with the aim to systematize the existing data. You can find a  
201 detailed description of cluster analysis in the work of Ward (1963). According to this approach,  
202 we represent the salinity field as a grid with nodes. Each of these nodes contains information  
203 about salinity in the region, and the measure of the distance between two nodes was introduced  
204 through a Euclidean metric:

205 
$$D_{ij} = \left( \sum_{ij} (S_i - S_j)^2 \right)^{\frac{1}{2}} \quad (5)$$

206 here  $S_i$  и  $S_j$  - value of salinity in a node for the different time.

207         Consequently, this analysis allows us to obtain the hierarchical salinity fields with a  
208 feature of statistical identity (Fig.2). The figure shows that the salinity fields have structural  
209 differences and thus are grouped in clusters for consecutive years. Based on the tree ties, we  
210 have identified six of the largest groups in temporal scale as well as six of the basic types of  
211 salinity fields. The first cluster reproduces the field for the following years - 1950-59, 1976-77  
212 and 1989; the second cluster includes 1960-1965; the third cluster includes 1966-1975; the fourth  
213 cluster includes 1981-1988; the fifth cluster includes 1978-1980; and the sixth cluster includes  
214 1990-93 and 2007-2012 .

215         In this paper, cluster analysis was completed for the data series of an average salinity at a  
216 depth of 5-25 m for the period of 1950-1989. Similar results were obtained using other methods  
217 of cluster analysis (e.g., complete linkage, weighted pair-group average). This shows that the  
218 chosen division into clusters is stable and proper. In addition, similar dendrograms were found in  
219 the work of Koltyshev et al. (2008). It also confirms the robustness of our classification. Within  
220 the framework of our classification the field type may persist for two to nine years.

221         We calculated the average salinity fields for each period of each group. It allows us to  
222 find the differences (from cluster to cluster) in the structure of salinity fields (Fig.3).

223         *Cluster 1:* Our analysis for these years shows that a desalination zone occupies the  
224 southern part of the Canada Basin (Fig. 3a). The salt-frontal zone lies along the Lomonosov  
225 Ridge. This kind of distribution of salinity fields is formed under the dominance of a cyclonic  
226 mode of the atmospheric circulation (Proshutinsky & Johnson 1997).

227         *Cluster 2:* Here the distribution of salinity fields mostly look like a freshening zone with  
228 multiple cores, which extends from the Beaufort Sea to the North Pole (Fig. 3b). This structure

229 of the spatial distribution of salinity is formed because of the anticyclonic mode of the  
230 atmospheric circulation at the different positions of the anticyclonic core.

231 *Cluster 3:* The main feature of the salinity distribution here is an extensive area of  
232 freshening which occupies the entire Canada Basin. As a result of that, the salinity frontal zone is  
233 shifted to the region of the Gakkel Ridge (Fig. 3c). This structure of the salinity spatial  
234 distribution is formed at the anticyclonic mode of the atmospheric circulation.

235 *Cluster 4:* We can see here that this cluster combines the salinity fields with a tendency to  
236 the formation of several zones in the prefrontal area of desalination, which is moving into the  
237 area of the Gakkel Ridge (Fig. 3d).

238 *Cluster 5:* Here the core of freshening has a displacement to the region of the Makarov  
239 basin to the Northeast from the slope of the Laptev Sea shelf. Freshening zone extends from  
240 West to East (Fig. 3e).

241 *Cluster 6:* The zone of maximum freshening locates near to the center of the Canada  
242 Basin. Also, this zone is connected to the freshening zone in the Beaufort Sea. Additionally, we  
243 can see the formation of a small core of freshening close to the region which is North of the East  
244 Siberian Sea. The salt-frontal zone occupies the extreme Eastern position, lying on the Makarov  
245 Basin (Fig. 3f). This kind of salinity distribution is formed mainly under influence of highly  
246 developed cyclonic atmospheric circulation.

247 In addition, we can note, that cluster 6 is a separate branch with the largest Euclidean  
248 distance on the dendrogram. Thereby, since 1990 the structure of the salinity fields is undergoing  
249 significant changes, which were most pronounced in 2007-2012. These years can be isolated in a  
250 separate subbranch.

251 If we compare the variability of salinity in the Eurasian and Canada basins, we may  
252 conclude that the main difference in salinity fields for 2007-2012 (included in cluster 6) is in the  
253 amount of salinity of the Canada basin. During this period it was less than 0.8 ‰ comparing with

254 average values. This means there has been a significant freshening of the surface layer, which  
255 has not been observed previously in more than 50 years of observation (Fig. 1).

## 256 **2.5. Decomposition of surface salinity fields on EOF**

257 As a result of EOF decomposition of the average salinity fields for 5-50 m layer, we  
258 obtained two sets of modes and principal components for the period of 1950-1993 years (series  
259 1), and for the same period by adding the 2007-2011 years (series 2). In summary, the first three  
260 modes obtained by decomposition of series 1 describe over 60% of the total dispersion of the  
261 initial fields; additionally, the first three modes of series 2 describe almost 67.5% of the total  
262 dispersion. These modes for both decompositions are significantly different.

263 We can see that the first mode has an additional core in the Canada Basin; we observed  
264 reorientation of the cores for the rest of the modes (Fig. 4). The first mode of series 1 describe  
265 38% of the total salinity variability, and the first mode of series 2 takes into account 51.5% of the  
266 initial data dispersion. The first mode is associated with the influence of large-scale atmospheric  
267 circulation in the Arctic (Timokhov et al. 2012). Therefore, we can conclude that the role of  
268 atmospheric circulation in the formation of the surface salinity fields in the Arctic Basin has  
269 grown significantly over the last decade. Thus, the modes obtained by decomposition in series 1  
270 cannot take into account the essential features of the distribution of surface salinity fields  
271 associated with the freshening waters of the Canada Basin. Therefore, for further analysis we  
272 will use the principal components and modes obtained upon decomposition of series 2.

273 Figure 5 illustrates the differences between clusters allocated previously for classification  
274 of surface field salinity in terms of PCs. Clusters 1 and 6 are characterized by negative values of  
275 the three principal components; the difference between the clusters is in the amount of values of  
276 the principal component 1 ( $PC_1$ ). Clusters 3, 4, and 5 are characterized by dominant positive  
277 values  $PC_1$  and different sign and magnitude of  $PC_2$  and  $PC_3$ . Cluster 5 is the opposite of cluster  
278 6 in terms of PC values. As we see from Fig.3 (e, f), a shift in the signs of the principal

279 components can be explained by moving the core of freshening from the Makarov Basin to the  
280 Beaufort Sea, and the degree of freshening appeared to determine the absolute value of PC<sub>1</sub>.

281 In the late 80s, the atmospheric circulation regime began to change (Steele & Boyd 1998;  
282 Kuražov et. al 2007; Proshutinsky et al. 2009; Morison et al. 2012). Degradation of the Arctic  
283 anticyclone is the great example of this changing. Some changes in the structure of the surface  
284 pressure field were observed. This happened because of a frequent recurrence of large values of  
285 the AD indexes.

286 According to Wang et al. (2009) this could be a reason for local minima of sea ice in the  
287 summers of 1995, 1999, 2002, 2005 and 2007. In addition, in the late 80s inflow of warm and  
288 saline Atlantic water into the Arctic basin increased (Frolov 2009). At the beginning of this  
289 century, heat flow of Pacific waters through the Bering Strait to the Chukchi Sea increased  
290 (Woodgate et al. 2010).

291 We calculate a correlation of the principal components with different climate processes  
292 such as the atmospheric processes, river runoff, and volume of water coming in through the  
293 straits of the Arctic Basin (Table 1). Statistically significant coefficients were obtained for  
294 factors reflecting influences on the processes listed above. Thus, we can assume that Cluster 6 of  
295 the dendogram is the consequence of these processes.

296 The time series of some of these processes have been normalized over the interval 0 to 1.  
297 We chose the clusters (1950-59, 1976-77, 1989 (cluster 1) and 1990-1993, 2007-2012 (cluster  
298 6)) with a similar structure of their surface salinity fields (Fig. 3a and 3f), but with different  
299 values of salinity in the water cycle of the Beaufort Sea. The histogram (Fig. 6) shows that the  
300 relative values of almost all factors for the years 1990-1993 and 2007-2012 were significantly  
301 higher than in the year 1950. Temperature anomalies, the area of ice-free regions of the shelf  
302 seas, winter and summer AO indexes and DA indexes have reached the highest values.

## 303 **2.6. The linear regression equation for the principal components**

304 A set of external factors having the most correlation coefficients with the main  
 305 components of salinity decomposition (Table 1) has been defined based on the results of  
 306 correlation analysis. As a result of the approximation we obtained the following equations for the  
 307 first five principal components:

$$\begin{aligned}
 308 \quad PC_1 = & -0.96 \times AO_{I-IV}(-2) - 1.11 \times AO_{I-IV}(-1) - 1.62 \times NAO_{XII-IV}(-1) - 3.17 \times \\
 309 \quad & AMO(-8) - 7.38 \times BS(-3) - 0.01 \times RIV_{EC}(-3) + 0.003 \times RIV_{KL}(-5) - \\
 310 \quad & 0.003 \times OW_{KLEEC}(-1) + 9.53
 \end{aligned} \tag{6}$$

$$\begin{aligned}
 311 \quad PC_2 = & -0.57 \times AO_{I-IV}(-1) - 1.49 \times AO_{VII-IX}(-1) + 6.76 \times AMO(-10) + 0.88 \times \\
 312 \quad & PDO(-3) - 0.71 \times PDO(-10) - 3.09 \times BS(-4) - 0.006 \times RIV_{LE}(-3) - 0.005 \times \\
 313 \quad & RIV_{EC}(-5) + 0.003 \times OW_{KLEEC}(-1) + 6
 \end{aligned} \tag{7}$$

$$\begin{aligned}
 314 \quad PC_3 = & -0.68 \times NAO_{XII-IV}(-3) + 7.65 \times AMO(-5) - 3.53 \times AMO(-8) - 2.42 \times \\
 315 \quad & AMO(-9) + 3.42 \times AMO(-11) + 1.40 \times PDO(-10) + 6.44 \times Tair_{II-IV}(-1) - \\
 316 \quad & 5.80 \times BS(-3) + 0.002 \times RIV_{KLEEC}(-3) - 0.002 \times RIV_{KLE}(-5) - 0.006 \times RIV_{LE}(-6) - \\
 317 \quad & 0.001 \times OW_{KLEEC}(-1) + 13
 \end{aligned} \tag{8}$$

$$\begin{aligned}
 318 \quad PC_4 = & 0.78 \times NAO_{XII-IV}(-1) + 0.59 \times PNA_{X-IV}(-1) - 0.60 \times PNA_{VII-IX}(-1) + 2.79 \times \\
 319 \quad & AMO(-6) - 2.18 \times AMO(-12) - 0.66 \times PDO(-6) - 8.27 \times BS(-4) - 0.006 \times \\
 320 \quad & RIV_{LEC}(-6) + 0.001 \times OW_{KLEEC}(-1) + 0.002 \times OW_{EC}(-1) + 8.83
 \end{aligned} \tag{9}$$

$$\begin{aligned}
 321 \quad PC_5 = & -0.68 \times NAO_{I-IV}(-1) - 2.38 \times AMO(-7) - 3.52 \times AMO(-12) + 4.72 \times \\
 322 \quad & Tair_{II-IV}(-2) + 0.001 \times IceExt(-1) - 0.002 \times RIV_{KL}(-5) + 0.007 \times RIV_{LEC}(-6) + \\
 323 \quad & 0.001 \times OW_{KLEEC}(-2) - 11.74
 \end{aligned} \tag{10}$$

324  
 325  
 326  
 327  
 328  
 329 Where AO, NAO, PNA, AMO, PDO – atmospheric indices, and the lower case indicates  
 330 the months of an average period; RIV – sum of annual river runoff for the arctic seas, and  
 331 the lower case indicates the first letters of the sea name (K–Kara Sea, L–Laptev Sea, E–  
 332 East-Siberian Sea, C–Chukchi Sea); BS – inflow through the Bering Strait; OW – sum  
 333 area of open water in the arctic seas in September, and the lower case indicates the first  
 334 letters of sea name; IceExt – area of ice extent in the Arctic Ocean in September; Tair –  
 335 air temperature anomalies in the Arctic, and the lower case indicates months of an  
 336 average period.

337 Each equation includes a set of predictors that simulate both effects of atmospheric and  
 338 hydrological processes. In this case, hydrological processes have dominant influence on PC<sub>1</sub> (in

339 a ratio of 60/40%) and, vice versa, atmospheric processes are the major factor influencing on PC<sub>2</sub>  
340 and PC<sub>3</sub> in the same proportion. Atmospheric and hydrological processes make approximately  
341 the same contribution (in the ratio of 47/53%) to the formation of the interannual variability of  
342 PC<sub>4</sub>.

### 343 **Discussion and Summary**

344 We presented here a statistical model of inter annual variability of the Arctic Ocean  
345 surface layer salinity. This research builds on already established approaches used by Pokroivsky  
346 and Timokhov (2002) (specifically, their reconstruction of salinity fields applying modified EOF  
347 methods).

348 However, first time, our contribution to their work is the formulation of an uniform  
349 statistical model, which can be used like a universal tool for analysis of inter annual variability of  
350 Arctic Ocean surface layer salinity. Moreover, we suggested some additional things to improve  
351 the ideas presented in previous research. For example, as opposed to this research, we do not  
352 take into account the previous values of the principal components (history) that simplifies the  
353 calculations and allows to increase the earliness. In addition, we also make calculations using the  
354 current observational data, which is quite important for understanding the physical processes  
355 during dramatic current changes in the Arctic sea ice.

356 Equations (4) - (8) describe the first five principal components for the period 1950-2014;  
357 PCs for 1950-2011 obtained from these equations, have a good agreement with the values of PCs  
358 directly derived from the decomposition of salinity fields on EOF (Fig. 7). Salinity fields for  
359 1994-2006 can be reconstructed with the help of this model. We noted above that this period has  
360 the gaps in observational data.

361 We make these conclusions because, as we mentioned in the verification, this model  
362 cannot reproduce exact principle components for the short-term time series, although the trends  
363 in variability of all five PC are reproduced correctly. Therefore, the model can be used for  
364 tracking long-term processes of the structure transformation of salinity fields. Using this useful

365 tool we can make projections for anomalies, its frequency, and ultimately to approach an  
366 understanding of these sophisticated physical processes.

367 Validation of the model was determined by calculating an error of reconstruction of  
368 surface salinity fields. The difference between the real and reconstructed salinity fields is  
369 determined as a percentage by the following formula:

370

$$371 \quad \text{Inc} = (\sigma(S_f - S_c) / \sigma(S_f)) \cdot 100\%, \quad (11)$$

372 where  $\sigma$  – standard deviation;  $S_f$  – actual salinity;  $S_c$  – calculated salinity.

373 The error in the reconstruction of salinity fields is 25.2 % (Fig. 8). The reasons for this  
374 may be several:

375 1. The first five EOF modes describe more than 77 % of the variability of the initial  
376 fields. It is possible that the characteristics of salinity fields may reproduce the higher order  
377 modes (Borzelli & Ligi 1998). If the order of a mode increases, then the dispersion decreases.  
378 So, it can enhance uncertainty in interpreting the physical processes associated to PCs. Thus, the  
379 error of reconstruction in salinity fields, initially incorporated to the model, is about 23%.

380 2. Equations (6)-(10) were obtained for the continuous data series for 1950-1993.  
381 However, we applied these equations to short-term and independent data series for 2007-2011.  
382 Of course, it is not enough for a statistically significant assessment of the quality of PCs  
383 modeling during this period. Nevertheless, the overall trend in PCs variability is reproduced  
384 correctly.

385 3. In the last decade, there are significant changes in the thermohaline state of the surface  
386 layer. It is quite possible that these critical transitions in this system (Timokhov et al. 2011) can  
387 influence the structure of PCs. We need to adapt this model to these conditions of uncertainty.

388 Also, we apply this model for the reconstruction of salinity fields for 2013-2014. It  
389 should be noted that the time series of some predictors were insufficient in length for getting  
390 values of PCs. Therefore extrapolation was made.

391 As a result, we obtained the salinity field, which corresponds to the observed trends in  
392 recent years. This has saved significant freshening in the Canada Basin as well as big spatial  
393 gradients between the Eurasian and Canada Basins. According to our projections for 2013-2014  
394 (Fig. 9), freshened water from the Beaufort Gyre will move up westward along the Siberian  
395 continental slope. In 2014, the spatial structure of the salinity field is similar to the structure that  
396 is typical for fields belonging to cluster 4 (1981-1988), but they differ by the surface salinity  
397 values in the Beaufort Sea.

398

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408

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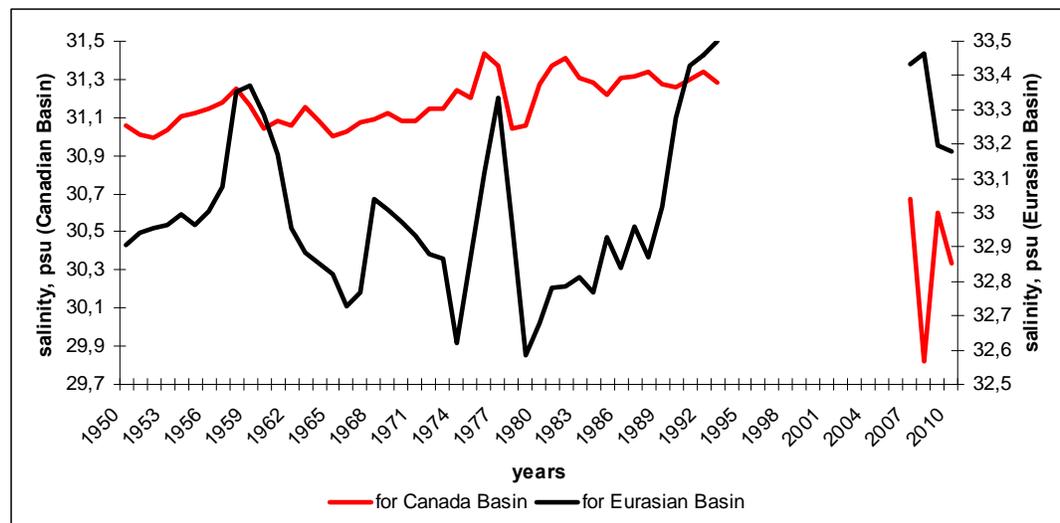
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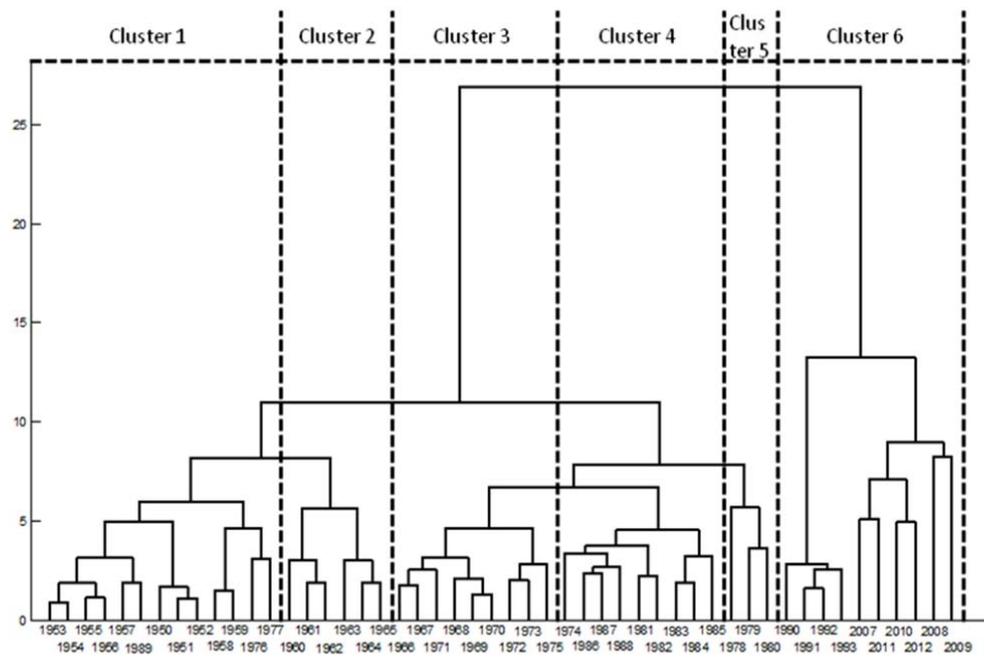
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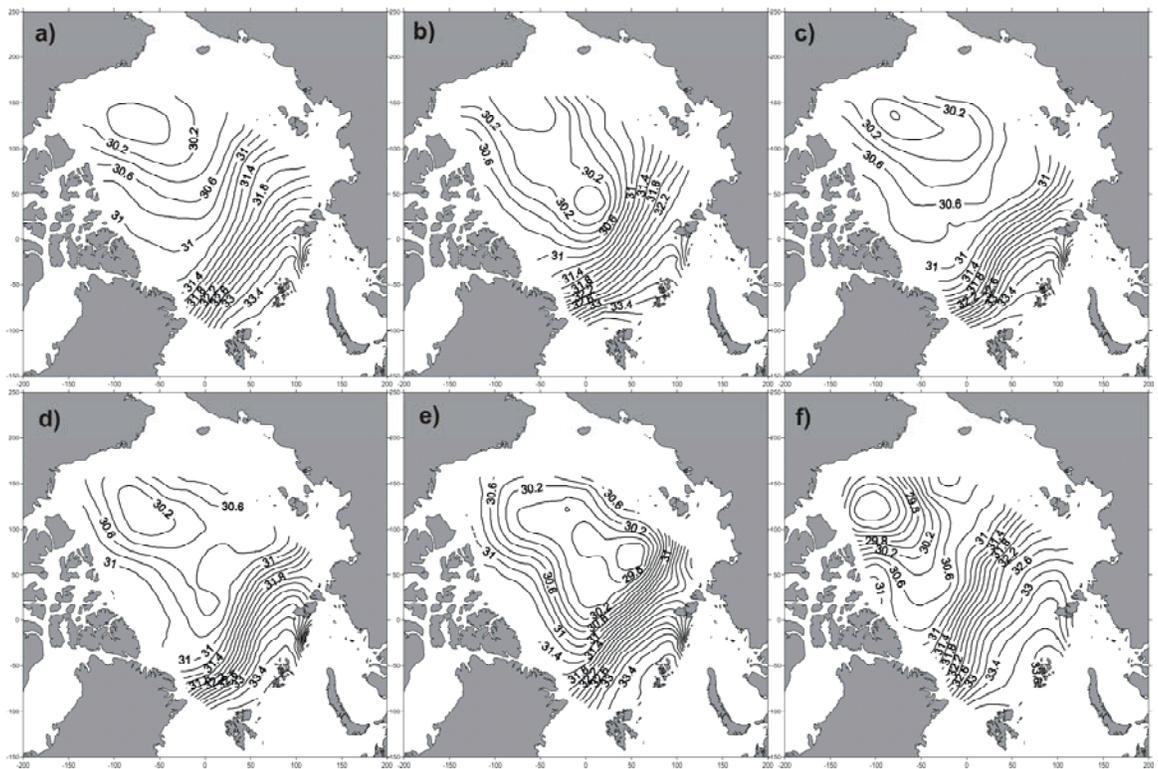
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508 Fig. 1. Temporal changing in salinity on the depth 5-50 m (the Eurasian Basin and Canada  
509 Basin) is as an example of anomalies.



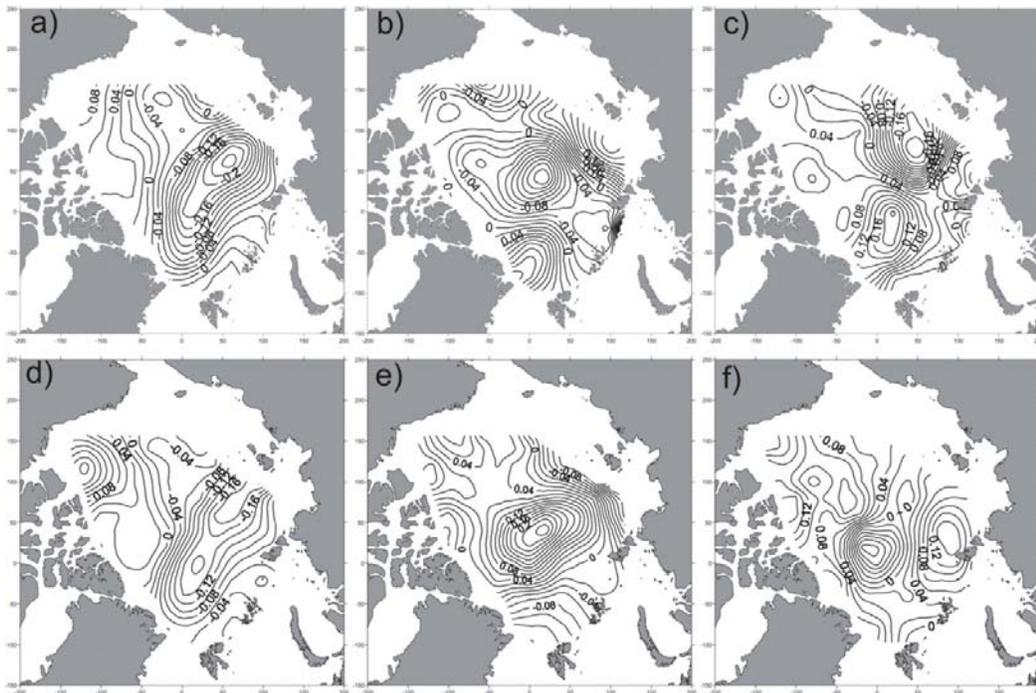
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511 Fig. 2 Dendrogram of winter salinity fields for the layer 5-50 m in the Arctic basin.



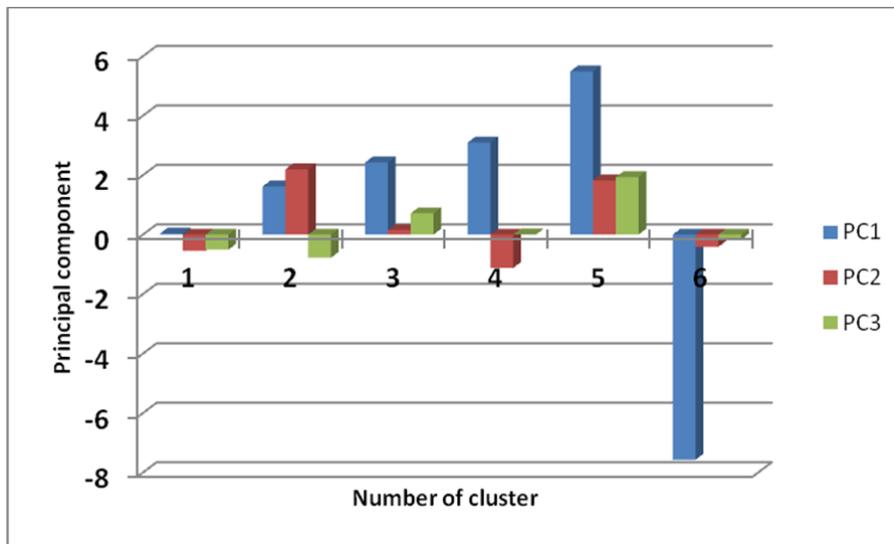
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513 Fig. 3. Winter salinity fields for the layer 5-50 m averaged over periods to clusters: a – the  
 514 cluster 1; b – the cluster 2; c – the cluster 3; d – the cluster 4; e – the cluster 5; f – the cluster 6.



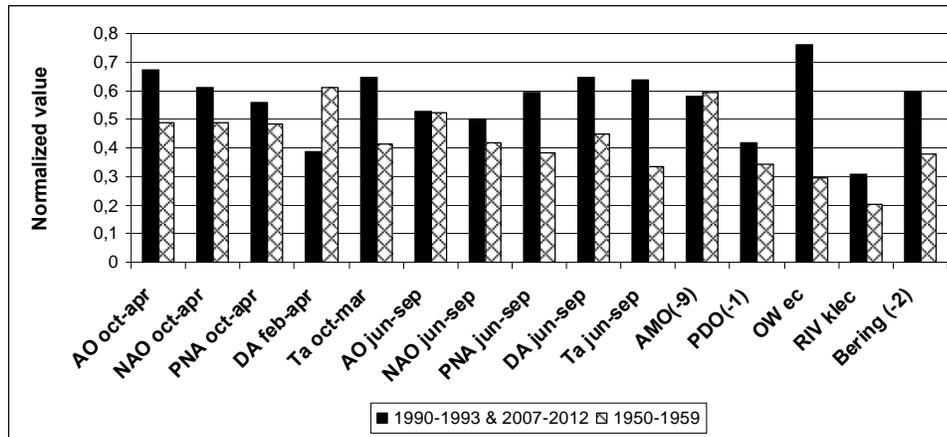
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516 Fig. 4. The first three modes of the average salinity field decomposition for the layer 5-50 m: a,  
 517 b, c - 1st, 2nd and 3rd modes, respectively, for the period 1950-1993.; d, e, f - 1st, 2nd and 3rd  
 518 modes, respectively, for the period 1950-1993 and 2007-2011.



519

520 Fig. 5. The mean values of PCs for six clusters: 1 - 1950-59, 1976-77 and 1989; 2 - 1960-1965.;  
 521 3 - 1966-1975; 4 - 1981-1988; 5 - 1978-1980.; 6 - 1990-93 and 2007-2012.



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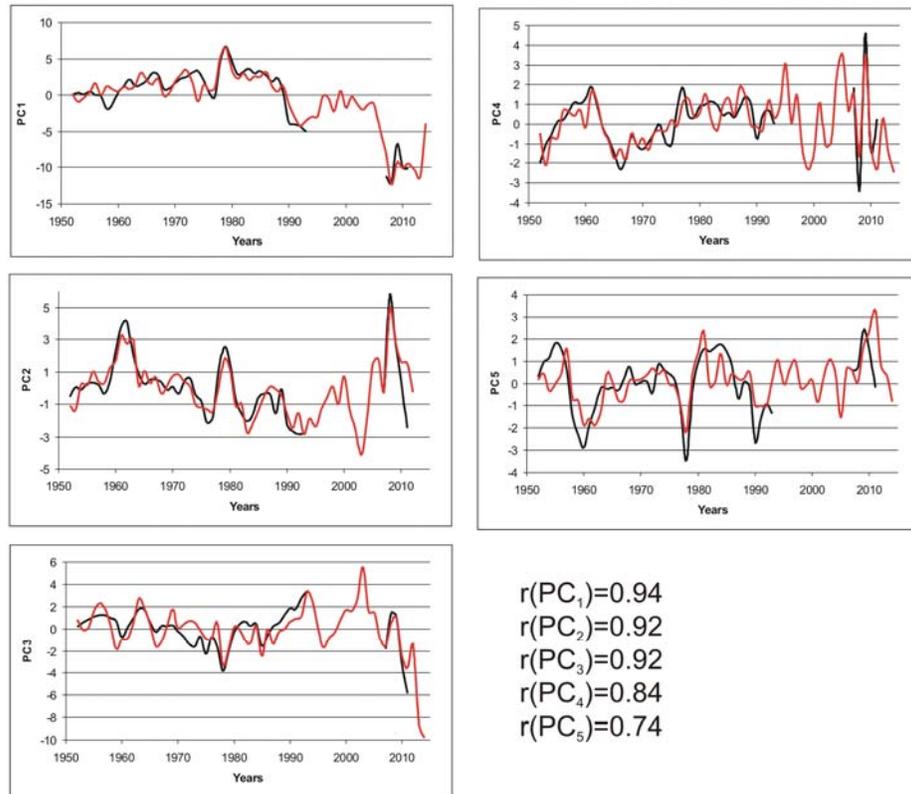
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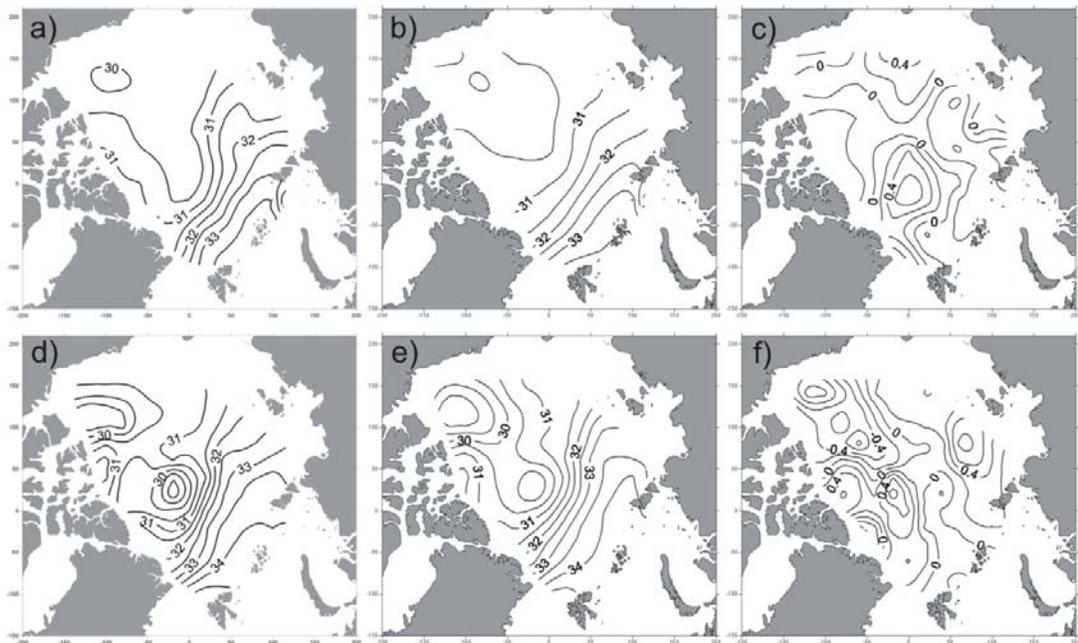
Figure 6. Mean values of the normalized values of the atmospheric circulation indexes (AO, NAO, PNA, DA, AMO, PDO); air temperature anomalies (Ta); areas of ice-free surface in the East Siberian and Chukchi Seas in September; river runoffs in the Kara, Laptev, East Siberian and Chukchi seas, the flow through the Bering Strait. Indexes of atmospheric circulation and temperature anomalies which averaged over the winter and summer months have been used in the calculations.



529

530 Figure 7. The real (black line) principal components and calculated principal components (red  
531 line) with help of the equations of linear regression. Also, we show the correlation coefficients  
532 between calculated time series of PC and the real PC, obtained by the decomposition of the  
533 salinity fields on EOF.

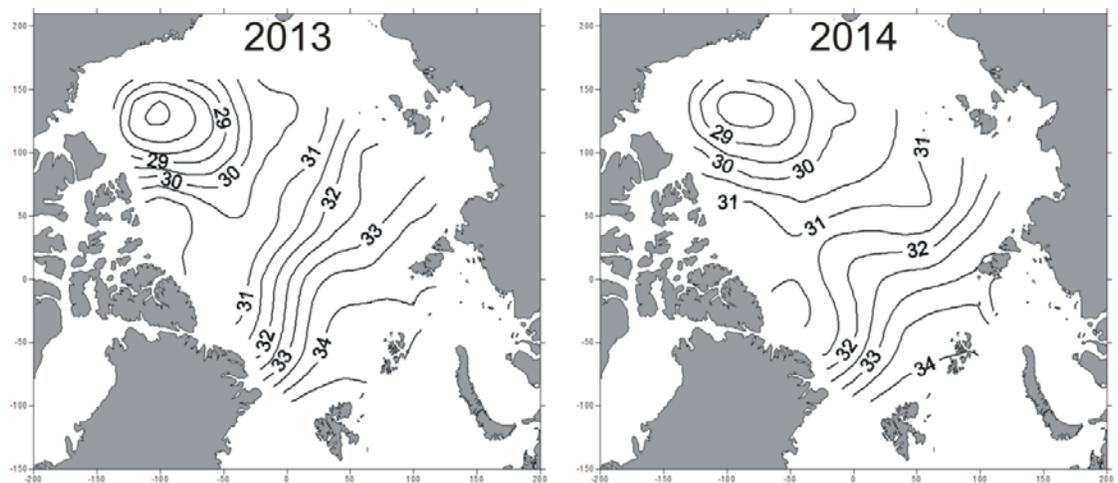
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536 Figure 8. The real average salinity field for the layer of 5-50 m (a, d), the reconstructed average  
537 salinity field for the layer of 5-50 m (b, e) and the difference between of these fields (c, f) for  
538 1955 (upper line) and 2009 (bottom line).

539  
540



541 Figure 9. There is reconstructed salinity field for the layer of 5-50 m in 2013 and 2014.

543 Table 1. Predictors used for the approximation of PC.

Physical processes	Physical value	Description
Arctic oscillation index (AO)	sea-level pressure anomaly north of 20N latitude	When the AO index is positive, surface pressure is low in the polar region.  When the AO index is negative, there tends to be high pressure in the polar region.
North Atlantic oscillation index (NAO)	sea-level pressure anomaly between the Icelandic low and the Azores high	When the NAO index is positive, pressures in the Azores high are especially high and pressures in the Icelandic low are lower than normal. Both pressure systems are located to the north.  When the NAO index is negative, the Azores high and the Icelandic low are much weaker. Pressure differences are therefore smaller and both systems are located to the south.
Pacific/North American index (PNA)	sea-level pressure anomaly in the Northern Hemisphere extratropics	When the PNA index is positive, above-average heights over the Hawaii and over the intermountain region of North America, and below-average heights located south of the Aleutian Islands and over the southeastern United States.  When the PNA index is negative, strong and extensive Hawaii high and a weak and very local Aleutian low are observed.
Arctic Dipole	sea-level	When the DA index is positive, sea-level pressure

Anomaly index (DA)	pressure anomaly north of 20N latitude	has positive anomaly over the Canadian Archipelago and negative anomaly over the Barents Sea. When the DA index is negative, SLP anomalies show an opposite scenario, with the center of negative SLP anomalies over the Nordic seas. (Wu et al, 2006; Wang et al, 2009; Overland & Wang, 2010)
Atlantic Multidecadal oscillation index (AMO)	Variations of sea surface temperature in the North Atlantic Ocean	Index has cool and warm phases that may last for 20-40 years at a time and a difference of about 0.5°C. It reflects changes of sea surface temperature in Atlantic Ocean between the equator and Greenland. Was used as substitute for processes of water exchange with Atlantic Ocean.
The Pacific Decadal Oscillation index (PDO)	North Pacific sea surface temperature variability	When the PDO index is positive, the west Pacific becomes cool and part of the eastern ocean warms. When the DA index is negative, the opposite pattern occurs. It shifts phases on at least inter-decadal time scale, usually about 20 to 30 years.
Air temperature anomaly	degree	Monthly mean anomalies of air temperature over the Arctic
river runoff	water flows	Average annual runoff of the main Siberian rivers. Was used as total runoff in Kara Sea, Laptev Sea, East-Siberian Sea and Chukchi Sea.
Ice extent	area	Total ice extent in the Arctic Ocean in September

Area of open water in Arctic seas (OW)	area	Total ice-free area in Kara Sea, Laptev Sea, East-Siberian Sea and Chukchi Sea in September
Bering Strait inflow (BS)	water flows	Average annual water exchange through the Bering Strait

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