

Mollified and amplified moments
of the Riemann zeta function

Some new theorems and conjectures

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Two of the most important aspects of research in analytic number theory involve mollifying and amplifying moments of zeta functions.

$$\int_0^T |\zeta(\frac{1}{2} + it)|^{2k} |M(\frac{1}{2} + it)|^2 dt$$

where $M(s)$ is a Dirichlet polynomial of length θ , that is

$$M(s) = \sum_{n \leq T^\theta} \frac{a(n)}{n^s}$$

Mollification is to overcome the “loss of logs” problem:

$$\int_0^T |\zeta(\tfrac{1}{2} + it)| dt \not\asymp \left(T \int_0^T |\zeta(\tfrac{1}{2} + it)|^2 dt \right)^{1/2} \sim T \sqrt{\log T}$$

while, hopefully,

$$\int_0^T |M\zeta(\tfrac{1}{2} + it)| dt \asymp \left(T \int_0^T |M\zeta(\tfrac{1}{2} + it)|^2 dt \right)^{1/2} \asymp T$$

Choose the Dirichlet coefficients to be approximately $\mu(n)$

- Levinson, Asymptotic 2nd moment, with Dirichlet polynomial having coefficients like $\mu(n)$, $\theta < 1/2$.
- Balasubramanian, Conrey, Heath-Brown: Asymptotic 2nd moment multiplied by general Dirichlet polynomial, $\theta < 1/2$.
- Conrey: Asymptotic 2nd moment, with Dirichlet polynomial having coefficients like $\mu(n)$, $\theta < 4/7$.
- Iwaniec, Deshouillers: Upper bound for 4th moment times general polynomial, $\theta < 1/5$.
- Watt: As above, but $\theta < 1/4$.
- Gaggero Jara: Asymptotic main term of the 4th moment with general Dirichlet polynomial, $\theta < 4/589$.
- We give a full asymptotic shifted 4th moment for $\theta < 5/27$.

Balasubramanian, Conrey, Heath-Brown (1985)

Let $a(n) \ll n^\epsilon$,

$$A(s) = \sum_{h \leq T^\theta} \frac{a(h)}{h^s}$$

For $\theta < 1/2$,

$$\begin{aligned} & \frac{1}{T} \int_0^T |\zeta(\tfrac{1}{2} + it)|^2 |A(\tfrac{1}{2} + it)|^2 dt \\ & \sim \sum_{h, k \leq T^\theta} \frac{a(h) \overline{a(k)}(h, k)}{hk} \left(\log \left(\frac{T(h, k)^2}{2\pi hk} \right) + 2\gamma - 1 \right) \end{aligned}$$

Let $a(n) \ll n^\epsilon$, $b(n) \ll n^\epsilon$,

$$A(s) = \sum_{h \leq T^\theta} \frac{a(h)}{h^s} \quad , \quad B(s) = \sum_{k \leq T^\theta} \frac{b(k)}{k^s}$$

Theorem: For $\theta < 1/2$,

$$\begin{aligned} & \frac{1}{T} \int_0^T \mathcal{Z}(t + i\alpha) \mathcal{Z}(t + i\beta) A\left(\frac{1}{2} + it\right) B\left(\frac{1}{2} - it\right) dt \sim \\ & \sum_{h, k \leq T^\theta} \frac{a(h)b(k)(h, k)}{hk} \frac{1}{T} \int_0^T \left[\left(\frac{t}{2\pi}\right)^{\frac{1}{2}(-\alpha+\beta)} \frac{k^\alpha h^{-\beta}}{(h, k)^{\alpha-\beta}} \zeta(1 - \alpha + \beta) \right. \\ & \quad \left. + \left(\frac{t}{2\pi}\right)^{\frac{1}{2}(\alpha-\beta)} \frac{k^\beta h^{-\alpha}}{(h, k)^{-\alpha+\beta}} \zeta(1 + \alpha - \beta) \right] dt \end{aligned}$$

Theorem: For $\theta < 5/27$,

$$\begin{aligned}
& \int_0^T \mathcal{Z}(t + i\alpha_1) \mathcal{Z}(t + i\alpha_2) \mathcal{Z}(t + i\beta_1) \mathcal{Z}(t + i\beta_2) A\left(\frac{1}{2} + it\right) B\left(\frac{1}{2} - it\right) dt \\
& \sim \sum_{h, k \leq T^\theta} \frac{a(h)b(k)(h, k)}{hk} \int_0^T \left\{ G(\{\alpha_1, \alpha_2\}; \{\beta_1, \beta_2\}) \right. \\
& \quad + G(\{\beta_1, \alpha_2\}; \{\alpha_1, \beta_2\}) + G(\{\beta_2, \alpha_2\}; \{\beta_1, \alpha_1\}) \\
& \quad + G(\{\alpha_1, \beta_1\}; \{\alpha_2, \beta_2\}) + G(\{\alpha_1, \beta_2\}; \{\beta_1, \alpha_2\}) \\
& \quad \left. + G(\{\beta_1, \beta_2\}; \{\alpha_1, \alpha_2\}) \right\} dt
\end{aligned}$$

where we have written $H = h/(h, k)$ and $K = k/(h, k)$.

$$G(\{\alpha_1, \alpha_2\}; \{\beta_1, \beta_2\}) = \left(\frac{t}{2\pi} \right)^{\frac{1}{2}(-\alpha_1 - \alpha_2 + \beta_1 + \beta_2)} \\ \times D_{\{\alpha_1, \alpha_2\}, \{\beta_1, \beta_2\}}(1) \mathcal{F}_{K, \{\alpha_1, \alpha_2\}; H, \{\beta_1, \beta_2\}}(1)$$

where, for $\vec{\alpha} = \{\alpha_1, \alpha_2\}$ and $\vec{\beta} = \{\beta_1, \beta_2\}$,

$$D_{\vec{\alpha}, \vec{\beta}}(s) = \frac{\zeta(s - \alpha_1 + \beta_1) \zeta(s - \alpha_1 + \beta_2) \zeta(s - \alpha_2 + \beta_1) \zeta(s - \alpha_2 + \beta_2)}{\zeta(2s - \alpha_1 - \alpha_2 + \beta_1 + \beta_2)}$$

and $\mathcal{F}_{K, \vec{\alpha}; H, \vec{\beta}}(s)$ is essentially $d_{\vec{\alpha}}(K) d_{-\vec{\beta}}(H)$, where $d_{\vec{\alpha}}(n)$ is the shifted divisor function

$$d_{\vec{\alpha}}(n) := \sum_{m_1 m_2 = n} m_1^{\alpha_1} m_2^{\alpha_2}$$

Let $\vec{\alpha} = \{\alpha_1, \dots, \alpha_k\}$ and $\vec{\beta} = \{\beta_1, \dots, \beta_k\}$.

$$d_{\vec{\alpha}}(n) := \sum_{m_1 \cdots m_k = n} m_1^{\alpha_1} \cdots m_k^{\alpha_k}$$

$$\mathcal{F}_{K, \vec{\alpha}; H, \vec{\beta}}(s) := \prod_{p|K} \frac{\sum_{j=0}^{\infty} d_{\vec{\alpha}}(p^{j+K_p}) d_{-\vec{\beta}}(p^j) / p^{js}}{\sum_{j=0}^{\infty} d_{\vec{\alpha}}(p^j) d_{-\vec{\beta}}(p^j) / p^{js}} \prod_{p|H} \frac{\sum_{j=0}^{\infty} d_{\vec{\alpha}}(p^j) d_{-\vec{\beta}}(p^{j+H_p}) / p^{js}}{\sum_{j=0}^{\infty} d_{\vec{\alpha}}(p^j) d_{-\vec{\beta}}(p^j) / p^{js}}$$

$$D_{\vec{\alpha}, \vec{\beta}}(s) = \sum_{n=1}^{\infty} \frac{d_{\vec{\alpha}}(n) d_{-\vec{\beta}}(n)}{n^s}$$

$$G(\{\alpha_1, \dots, \alpha_k\}; \{\beta_1, \dots, \beta_k\}) = \left(\frac{t}{2\pi} \right)^{\frac{1}{2} \sum_{j=1}^k (-\alpha_j + \beta_j)} \\ \times D_{\{\alpha_1, \dots, \alpha_k\}; \{\beta_1, \dots, \beta_k\}}(1) \mathcal{F}_{K, \{\alpha_1, \dots, \alpha_k\}; H, \{\beta_1, \dots, \beta_k\}}(1)$$

Conjecture: For some $0 < \theta < 1$,

$$\begin{aligned} & \frac{1}{T} \int_0^T A\left(\frac{1}{2} + it\right) B\left(\frac{1}{2} - it\right) \prod_{j=1}^k \mathcal{Z}(t + i\alpha_j) \mathcal{Z}(t + i\beta_j) dt \\ & \sim \sum_{h, k \leq T^\theta} \frac{a(h)b(k)(h, k)}{hk} \frac{1}{T} \int_0^T \left\{ G(\{\alpha_1, \dots, \alpha_k\}; \{\beta_1, \dots, \beta_k\}) \right. \\ & \qquad \qquad \qquad + \dots + \\ & \qquad \qquad \qquad \left. + G(\{\beta_1, \dots, \beta_k\}; \{\alpha_1, \dots, \alpha_k\}) \right\} dt \end{aligned}$$

where we have written $H = h/(h, k)$ and $K = k/(h, k)$, and there are $\binom{2k}{k}$ terms in the sum.

By a lemma of Conrey, Farmer, Keating, Rubinstein and Snaith, the conjecture can be rewritten as

$$\begin{aligned}
 & \int_0^T A\left(\frac{1}{2} + it\right) B\left(\frac{1}{2} - it\right) \prod_{j=1}^k \mathcal{Z}(t + i\alpha_j) \mathcal{Z}(t + i\beta_j) dt \\
 & \sim \sum_{h,k \leq T^\theta} \frac{a(h)b(k)(h,k)}{hk} \int_0^T dt \left\{ \frac{(-1)^k}{(k!)^2} \frac{1}{(2\pi i)^{2k}} \right. \\
 & \left. \oint \dots \oint \frac{G(z_1, \dots, z_k; z_{k+1}, \dots, z_{2k}) \Delta(z_1, \dots, z_{2k})^2}{\prod_{i=1}^{2k} \prod_{j=1}^k (z_i - \alpha_j)(z_i - \beta_j)} dz_1 \dots dz_{2k} \right\}
 \end{aligned}$$

where we have written $H = h/(h, k)$ and $K = k/(h, k)$.

How big can θ be?

Certainly $\theta = 1$ is not allowed for all choices of $a(h)$ and $b(k)$ (e.g. $a(h) = 1, b(k) = 1$).

Possibly any $\theta < 1$ is okay for any choice of $a(h)$ and $b(k)$.

For certain choices of $a(h)$ and $b(k)$ (e.g. the Möbius function), maybe $\theta = \infty$ is okay (The Farmer conjecture).

Solving this problem essentially comes down to understanding

$$\int_0^T \left(\frac{t}{2\pi}\right)^{\frac{1}{2}(-\alpha_1 - \alpha_2 + \beta_1 + \beta_2)} \sum_{mn \leq (t/2\pi)^2} \frac{d_{\vec{\alpha}}(m) d_{\vec{\beta}}(n)}{\sqrt{mn}} \left(\frac{nK}{mH}\right)^{it} dt$$

Diagonal terms: $nK = mH$

Off-diagonal terms: $nK \neq mH$

Estimate the off-diagonal terms using the Δ -method of Duke, Friedlander and Iwaniec.

Applications (work in progress)

- Zeros on the critical line

$$\int_0^T |(M_1 + \zeta M_2)\zeta|^2 dt$$

- Large gaps between zeros
- Explicit lower bound for the sixth moment

Connections to random matrix conjectures

- CFKRS full moments conjecture
- If $\theta = 1/2$, from the k^{th} moment obtain the $k + 1^{\text{st}}$
- If $\theta = 1$, from the k^{th} moment get the $k + 1^{\text{st}}$ and the $k + 2^{\text{nd}}$

Moments and extreme values of the zeta function

$$\max_{t \in [0, T]} |\zeta(\frac{1}{2} + it)| = O \left(\exp \left(C \frac{\log T}{\log \log T} \right) \right)$$

$$\max_{t \in [0, T]} |\zeta(\frac{1}{2} + it)| = \Omega \left(\exp \left(C' \sqrt{\frac{\log T}{\log \log T}} \right) \right).$$

Conjecture:

$$\max_{t \in [0, T]} |\zeta(\frac{1}{2} + it)| = O \left(\exp \left(\left(\frac{1}{\sqrt{2}} + \varepsilon \right) \sqrt{\log T \log \log T} \right) \right)$$

for all $\varepsilon > 0$ and for no $\varepsilon < 0$.

The maximum can be bounded above and below by moments:

$$\begin{aligned} \left(\frac{1}{T} \int_0^T |\zeta(\tfrac{1}{2} + it)|^{2k} dt \right)^{1/2k} &\leq \max_{t \in [0, T]} |\zeta(\tfrac{1}{2} + it)| \\ &\leq 2(CT \log T)^{1/2\ell} \left(\frac{1}{T} \int_0^T |\zeta(\tfrac{1}{2} + it)|^{2\ell} dt \right)^{1/2\ell} \end{aligned}$$

For fixed k , Keating and Snaith conjectured:

$$\begin{aligned} \frac{1}{T} \int_0^T |\zeta(\tfrac{1}{2} + it)|^{2k} dt &\sim \frac{G^2(k+1)}{G(2k+1)} a(k) \log^{k^2} T \\ &\sim \exp(k^2 \log \log T - k^2 \log k + O(k^2 \log \log k)) \end{aligned}$$

The above implies this cannot hold for $k > 2\sqrt{2} \frac{\sqrt{\log T}}{\sqrt{\log \log T}}$.