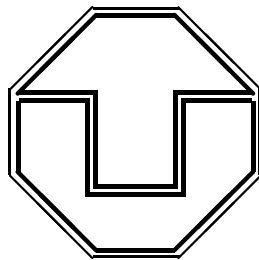


# Black Hole Analogues and The Universality of the Hawking Effect

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# Wave Equation

1+1 dimensional Painlevé-Gullstrand-Lemaître metric

$$ds^2 = dt^2 - [dx - v(x) dt]^2 = [1 - v^2] dt^2 + 2v dt dx - dx^2$$

Generalised Klein-Fock-Gordon equation

$$\left( \frac{\partial}{\partial t} + \frac{\partial}{\partial x} v(x) \right) \left( \frac{\partial}{\partial t} + v(x) \frac{\partial}{\partial x} \right) \phi = \left( \frac{\partial^2}{\partial x^2} + F \left[ \frac{\partial^2}{\partial x^2} \right] \right) \phi$$

Taylor expansion around horizon  $\rightarrow$  surface gravity

$$v(x) = -1 + \kappa x + \mathcal{O}(\kappa^2 x^2)$$

- stationary modes with frequencies  $\omega$
- large cut-off  $k_{\text{cutoff}} \gg \kappa, \omega$
- close to the horizon  $\kappa|x| \ll 1$
- but still many cut-off lengths away  $|x|k_{\text{cutoff}} \gg 1$
- spatial Laplace transformation

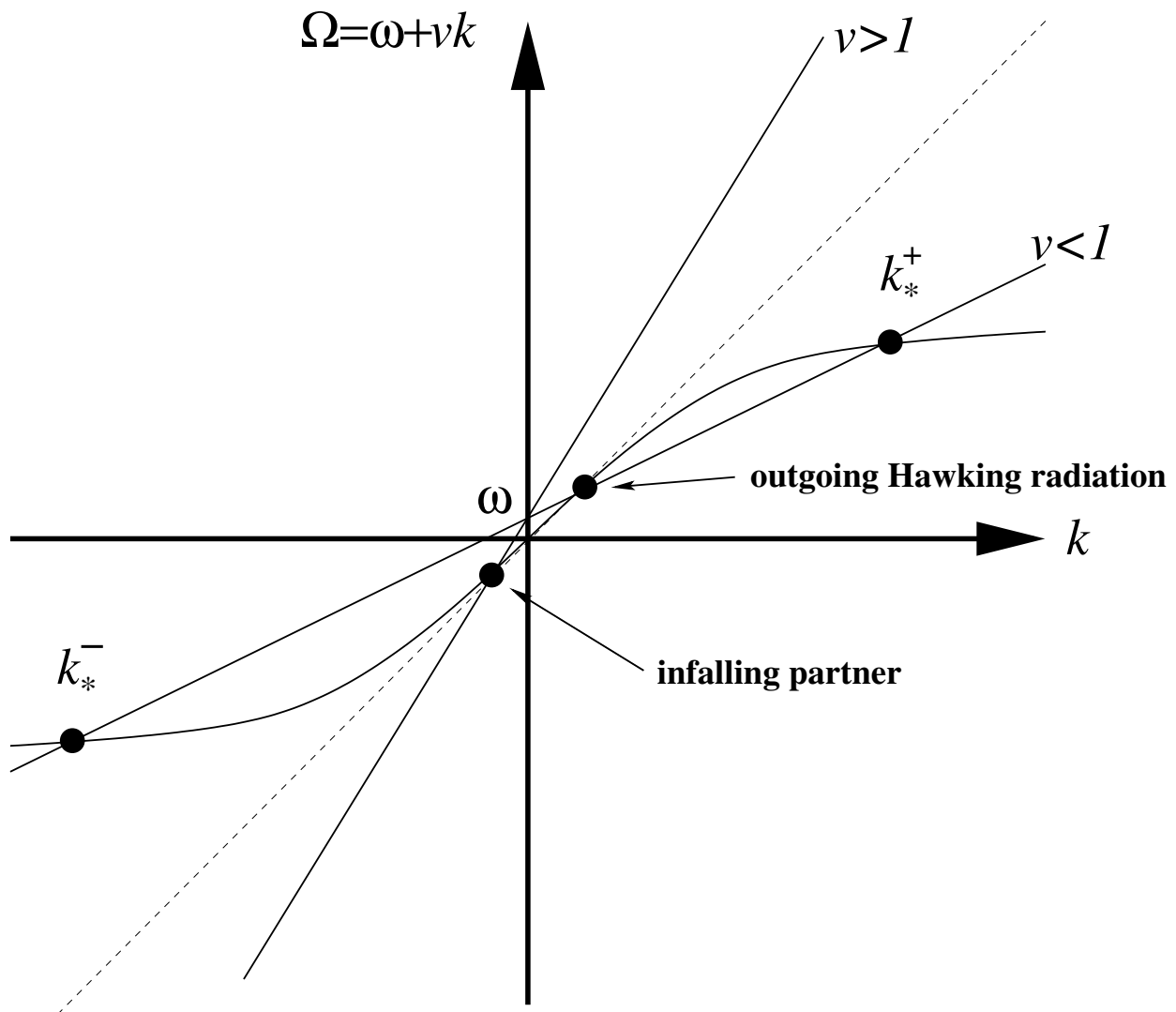
$$\left( F[s^2] - 2\kappa \frac{\partial}{\partial s} s^2 - 2(i\omega - \kappa)s \right) \tilde{\phi}_\omega = 0$$

[Idea from Corley and Jacobson]

# Dispersion Relation

$$(\omega + vk)^2 = k^2 - F[-k^2]$$

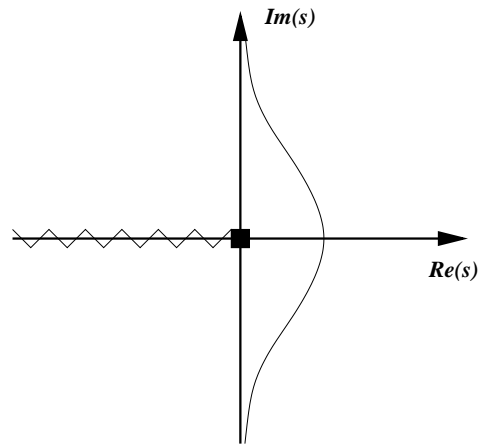
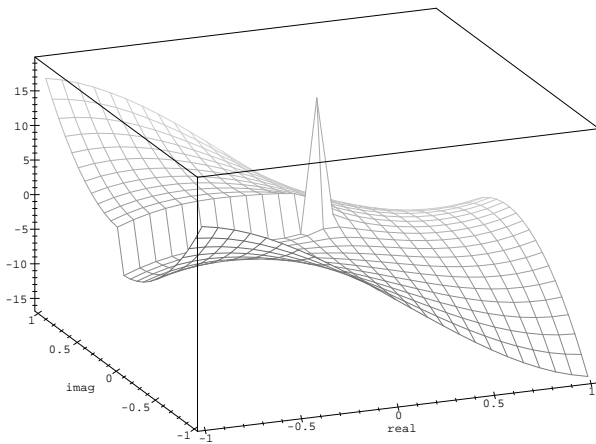
Sub-luminal case



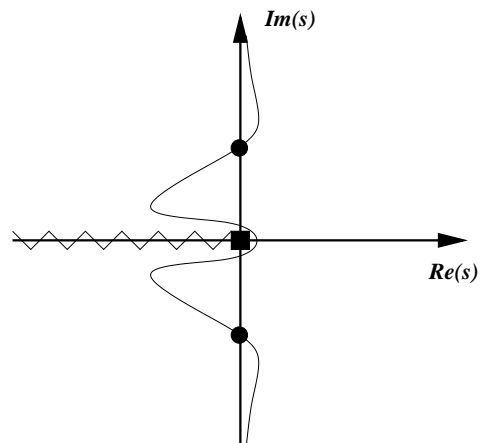
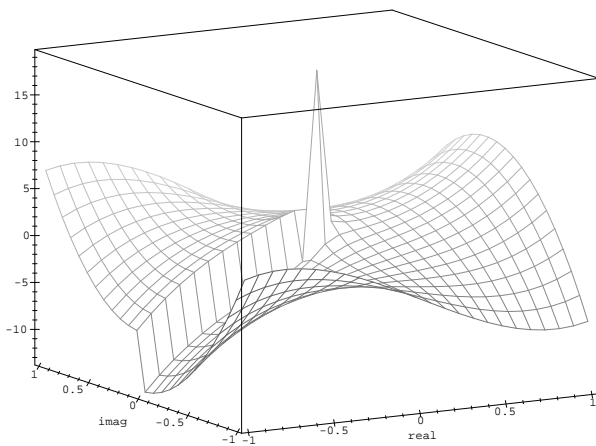
- dispersion  $F[s^2]$  analytic  $\rightarrow$  Laurent/Taylor
- coefficients and radius of convergence of order one
- asymptotically well separated from  $\omega \propto k$

# Saddle-Point Method

$$\tilde{\phi}_\omega(s) = \frac{s^{-i\omega/\kappa}}{s} \exp \left\{ \int ds \frac{F[s^2]}{2\kappa s^2} \right\}$$



Beyond horizon  $x < 0$  exponential suppression



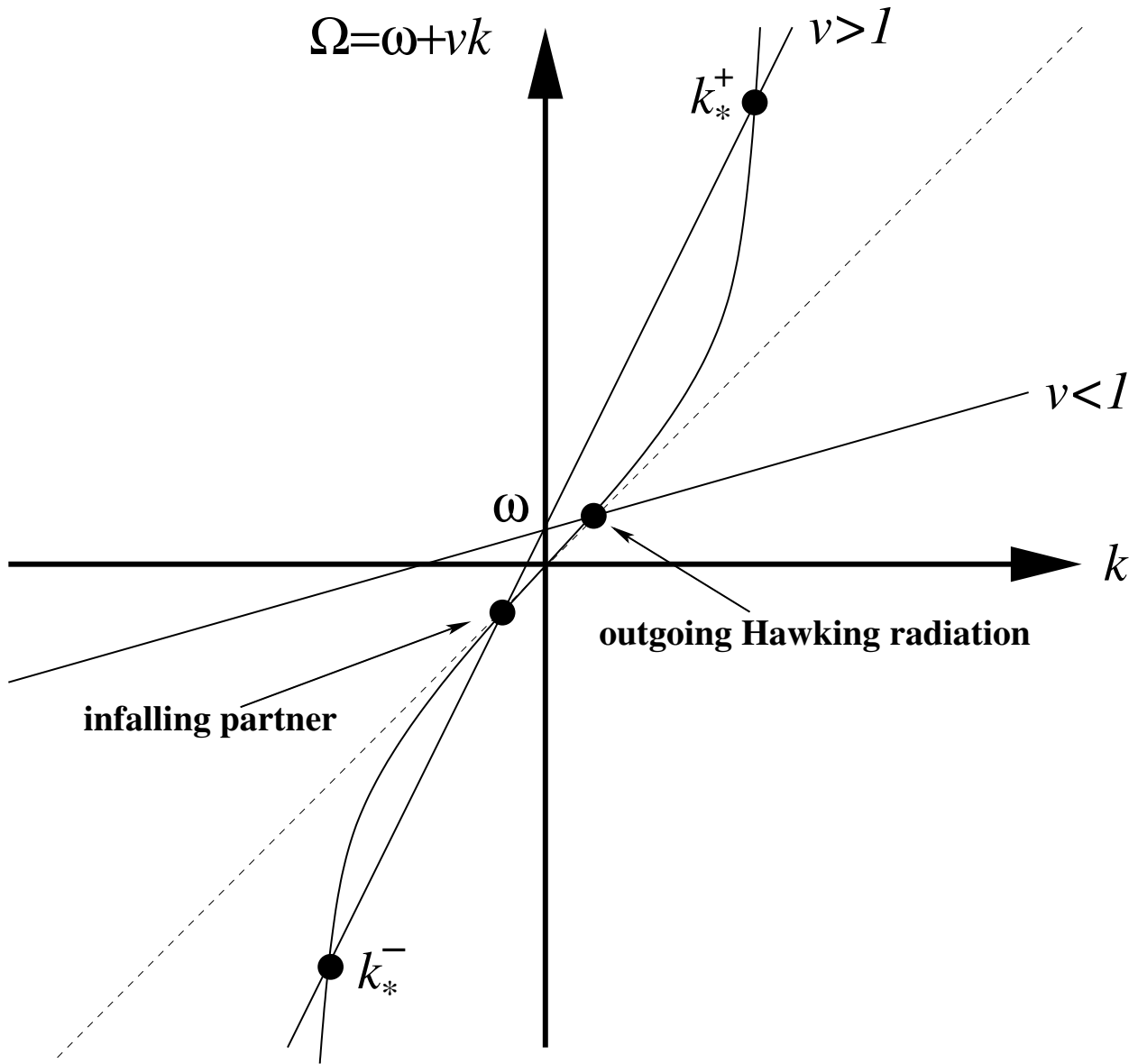
Decomposition of Hawking radiation for  $x > 0$

$$\phi_\omega^{\text{out}} = \alpha_\omega \phi_+^{\text{in}} + \beta_\omega \phi_-^{\text{in}}, \quad \alpha \cdot \alpha^\dagger - \beta \cdot \beta^\dagger = 1$$

Branch cut  $\rightarrow$  Bogoliubov coefficients

$$|\beta_\omega| = e^{-\pi\omega/\kappa} |\alpha_\omega| \rightsquigarrow |\beta_\omega|^2 = \frac{1}{e^{2\pi\omega/\kappa} - 1}$$

# Superluminal Dispersion Relation

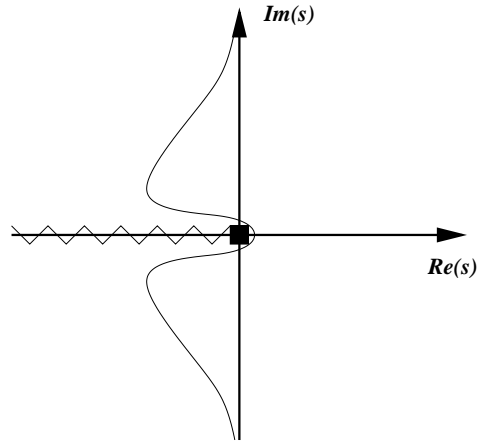
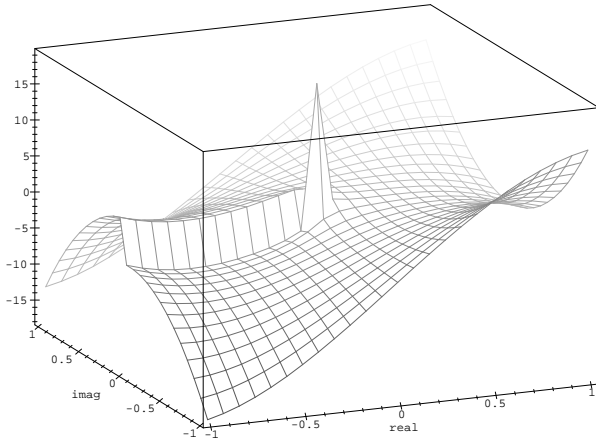


## Origin of Hawking radiation

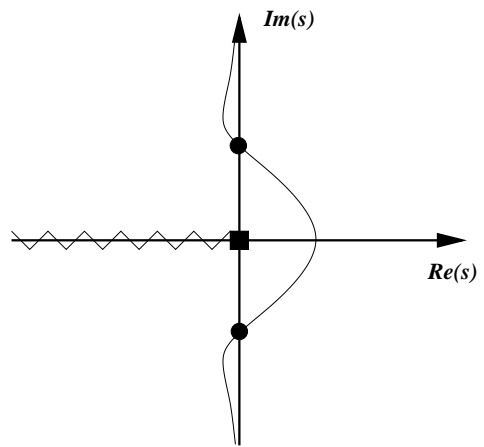
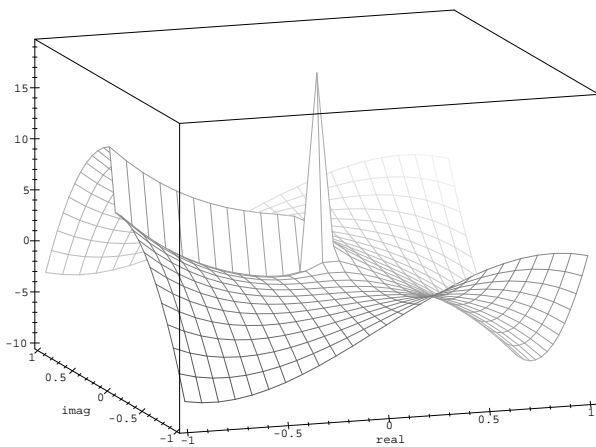
- sub-luminal: outside the black hole
- super-luminal: inside the black hole

Same assumptions otherwise

# Again Saddle-Point Method



→ Hawking radiation for  $x > 0$



Beyond horizon  $x < 0$  Planckian in-modes

$$|\beta_\omega| = e^{-\pi\omega/\kappa} |\alpha_\omega| \rightsquigarrow |\beta_\omega|^2 = \frac{1}{e^{2\pi\omega/\kappa} - 1}$$

→ again Hawking temperature

# Entanglement

Opposite branch cut  $\rightarrow$  infalling partner particles

$$\phi_{\omega}^{\text{partner}} = \beta_{\omega}^{\text{partner}} \phi_{+}^{\text{in}} + \alpha_{\omega}^{\text{partner}} \phi_{-}^{\text{in}}$$

Complete set of out-modes (Hawking plus partner)

$$\phi_{-}^{\text{in}} = \alpha_{\omega}^{\text{inv}} \phi_{\omega}^{\text{partner}} + \beta_{\omega}^{\text{inv}} \phi_{\omega}^{\text{Hawking}}$$

Initial (e.g., infalling) vacuum state

$$\left[ \hat{a}_{\omega}^{\text{partner}} + e^{-\pi\omega/\kappa} (\hat{a}_{\omega}^{\text{Hawking}})^{\dagger} \right] |0\rangle_{\text{in}} = \hat{a}_{\text{in}} |0\rangle_{\text{in}} = 0$$

$\rightarrow$  Entanglement (pure state) inside  $\leftrightarrow$  outside

$$|0\rangle_{\text{in}}^{\omega} \propto \exp \left\{ e^{-\beta\omega/2} (\hat{a}_{\omega}^{\text{partner}})^{\dagger} (\hat{a}_{\omega}^{\text{Hawking}})^{\dagger} \right\} |0\rangle_{\text{out}}^{\omega}$$

$\rightarrow$  Effective thermal density matrix for outside

$\rightarrow$  Black hole entropy/information paradox?

Sub-luminal versus Super-luminal?

# Universality of Hawking Radiation

Trajectory of freely falling observer/detector

$$r_*(t \uparrow \infty) \simeq -t - A \exp \left\{ -\frac{t}{2M} \right\} + B$$

Proper time  $d\tau^2 = ds^2[t, r_*(t)]$  and modes

$$\tau \sim \exp \left\{ -\frac{t}{2M} \right\} \rightsquigarrow F_\omega^{\text{in}}(U) = \frac{1}{\sqrt{4\pi\omega}} e^{-i\omega U}$$

Doubly exponential behaviour  $U = -4M e^{-[t-r_*/(4M)]}$

→ Hawking radiation if modes leave Planck regime in their ground state with respect to freely falling observers

- freely falling frame
- ground state
- adiabatic evolution

Example: wave equation considered before



# Counter-Examples

**A:** not freely falling frame (= fluid frame)

$$(\omega + v_{\text{fl}} k)^2 = k^2 v_{\text{ph}}^2(k) - 2i\omega\gamma(k)$$

Coupling to Planckian excitations in rest frame  $2i\omega\gamma(k)$

$$\omega_+ \approx \frac{k^2}{2} \frac{v_{\text{ph}}^2(k) - v_{\text{fl}}^2}{v_{\text{fl}} k + i\gamma(k)}$$

Miles instability  $\Im(\omega) > 0 \rightarrow$  excitations

**B:** breakdown of adiabaticity

$$\omega^2 = \sin^2 k + m^2$$

Weakly time-dependent metric far away from black hole

$$ds^2 = a^2(t)[dt^2 - dx^2]$$

Normal mode expansion

$$\ddot{\phi}_k + [\sin^2 k + a^2(t) m^2] \phi_k = 0$$

create Planckian particles at  $k \approx \pi\mathbb{N}$

**C:** black hole laser [Corley and Jacobson]

# Summary

- black hole analogues reproduce major features
- underlying physics is understood (in principle)
- investigate influence of cut-off
- Hawking effect for large class of models  
ground state/freely falling frame/adiabaticity
- but also exceptions conceivable
- black hole information paradox?
- kinematics, but not dynamics: no Einstein Eq.

# Outlook

New physics from the cosmic microwave background?

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