

# The twistor theory of the Ernst equations

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**Credits:** R.S.Ward, N.M.J.Woodhouse, J.Fletcher, K.Y.Tee, based on classic work on Ernst equations (Ehlers, Ernst, Geroch, Belinsky, Zakharov, Breitenlohner, Maison, Kramer, Neugebauer, Cosgrove, Alekseev, Hauser, Hoenselaar, Griffiths, ...).

**References:** Mason & Woodhouse (1988), Fletcher & Woodhouse (1991), 'Integrability, self-duality and twistor theory', Mason & Woodhouse, OUP, (1996).

# Twistor theory

**Basic aim:** find 1–1 correspondences

$$\left\{ \begin{array}{l} \text{Solutions to physical equations:} \\ \text{Yang-Mills, Einstein ...} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{Deformed complex struc-} \\ \text{tures on twistor space} \end{array} \right\}$$

**Hope:** twistor space is correct geometric arena for physics.

Twistor correspondences for self-dual Yang-Mills & Einstein equations suggests the larger programme.

**Spin off:** Symmetry reduction of self-duality equations  $\rightsquigarrow$   
many/most integrable systems.

Twistor correspondences reduce to 'prove' complete integrability.

**Programme:**

- (1) Classify integrable systems that arise as reductions of the self-duality equations.
- (2) Derive theory of reduced equations from twistor correspondences.

# Stationary axisymmetric self-dual Yang-Mills

Yang's form of Self-dual Yang-Mills on  $\mathbb{R}^4$  with coords  $(u, v) = (z + it, \rho e^{i\theta})$ , metric  $ds^2 = dud\bar{u} + dvd\bar{v}$  is:

$$\frac{\partial}{\partial \bar{u}} \left( J^{-1} \frac{\partial J}{\partial u} \right) + \frac{\partial}{\partial \bar{v}} \left( J^{-1} \frac{\partial J}{\partial v} \right)$$

where  $J = J(u, v, \bar{u}, \bar{v})$  is a Hermitian matrix function.  
 $t$  and  $\theta$ -independence  $\rightsquigarrow$

$$\partial_\rho(\rho J^{-1} \partial_\rho J) + \partial_z(\rho J^{-1} \partial_z J) = 0.$$

# The reduced vacuum equations

Ward's reduction (1983)

## Metric:

$$ds^2 = \pm e^{2k}(d\rho^2 + dz^2) \pm J_{ij}dx^i dx^j$$

$$k = k(\rho, z), \quad J_{ij} = J_{ij}(\rho, z).$$

$\partial/\partial x^i$ ,  $i = 1, \dots, n-2$  are 2-surface orthogonal Killing vectors.

## The Vacuum field equations

$$\rho^2 = \det J$$

$$4i \frac{\partial k}{\partial \zeta} = -\rho \operatorname{tr} ((J^{-1} \partial_{\zeta} J)^2) - \frac{1}{\rho}, \quad \zeta = z + i\rho.$$

$$\partial_{\rho}(\rho J^{-1} \partial_{\rho} J) + \partial_z(\rho J^{-1} \partial_z J) = 0$$

We focus on last equation and  $n = 4$ .

# The Ernst equations

L. Witten's reduction (1979)

Pick one Killing vector  $X$ , set  $X^b = g(X, \cdot)$  and

$$f = g(X, X), \quad d\psi = *(X^b \wedge dX^b)$$

Then define

$$K = \frac{1}{f} \begin{pmatrix} f^2 + \psi^2 & -\psi \\ -\psi & 1 \end{pmatrix}$$

Vacuum equations are

$$\partial_\rho(\rho K^{-1} \partial_\rho K) + \partial_z(\rho K^{-1} \partial_z K) = 0.$$

( $\exists$   $3 \times 3$  reductions of both types for Electrovacuum solutions.)

# The Lax pair

- ▶ Let  $U \subset H = \{(z, \rho) \in \mathbb{R}^2 \mid \rho \geq 0\}$  and set  $\zeta = z + i\rho$ .
- ▶ For  $(\zeta, \lambda) \in U \times \mathbb{CP}^1$  define

$$L := \partial_\zeta + \frac{i\lambda(\lambda - i)}{2\rho(\lambda + i)}\partial_\lambda + \frac{i}{\lambda + i}J^{-1}\partial_\zeta J,$$
$$\tilde{L} := \partial_{\bar{\zeta}} - \frac{i\lambda(\lambda + i)}{2\rho(\lambda - i)}\partial_\lambda - \frac{i}{\lambda - i}J^{-1}\partial_{\bar{\zeta}} J$$

- ▶ Then

$$[L, \tilde{L}] = 0 \quad \Leftrightarrow \quad \partial_\rho(\rho J^{-1}\partial_\rho J) + \partial_z(\rho J^{-1}\partial_z J) = 0$$

# Twistor space

For a given  $U \subset H$  define **the reduced twistor space** to be

$$\mathbb{T}(U) = U \times \mathbb{CP}^1 / \{l, \tilde{l}\}$$

where  $\{l, \tilde{l}\}$  is the distribution spanned by

$$l = \partial_\zeta + \frac{i\lambda(\lambda - i)}{2\rho(\lambda + i)}\partial_\lambda, \quad \tilde{l} = \partial_{\bar{\zeta}} - \frac{i\lambda(\lambda + i)}{2\rho(\lambda - i)}\partial_\lambda.$$

Points of  $\mathbb{T}(U)$  are the leaves of  $\{l, \tilde{l}\}$  given by constant

$$\gamma = z + \frac{\rho}{2}\left(\frac{1}{\lambda} - \lambda\right)$$

$\mathbb{T}(U)$  is a non-Hausdorff Riemann surface with holomorphic coordinate  $\gamma$  (or  $1/\gamma$ ) so  $\gamma : \mathbb{T}(U) \rightarrow \mathbb{CP}^1$ .



# Reduced Ward correspondence

Thus we have  $p : U \times \mathbb{CP}^1 \rightarrow \mathbb{T}(U)$  and  $\mathbb{T}(U)$  is a covering of  $\mathbb{CP}^1$ .

## Theorem

*Solutions to the stationary axisymmetric SDYM equations on  $U$  are in 1:1 correspondence with holomorphic vector bundles  $E \rightarrow \mathbb{T}(U)$  such that  $p^*E$  is trivial over each  $\mathbb{CP}^1$  in  $U \times \mathbb{CP}^1$ .*

(Condition is generically satisfied for small enough  $U$ .)

$E_\gamma =$  solutions  $\Psi$  to  $L\Psi = \tilde{L}\Psi = 0$  on leaf of constant  $\gamma$ .

## Theorem

*Suppose*

- ▶  $\bar{E} =$  pull-back of  $E^*$  by complex conjugation  $\gamma \rightarrow \bar{\gamma}$
- ▶ interchange of sheets of  $\mathbb{T}(U) \rightarrow \mathbb{CP}^1$  sends  $E \rightarrow E^*$ ,

*then  $J$  is real and symmetric and is a solution of the reduced vacuum equations.*

# The twistor data

The general complexified case

- ▶ Assume  $U$  connected, simply connected & disjoint from  $\rho = 0$ .
- ▶ Let  $V \subset \mathbb{CP}^1$  be the 'glued down region' in  $\mathbb{T}(U)$ .

Definition of  $\gamma \Leftrightarrow$

$$\frac{\gamma - (z + i\rho)}{\gamma - (z - i\rho)} = \left( \frac{\lambda + i}{\lambda - i} \right)^2.$$

$\Rightarrow$

$$V = \{\gamma = z \pm i\rho \mid (z, \rho) \in U\} \equiv U \cup \tilde{U}.$$

- ▶ Twistor data can be normalized w.r.t. choice of  $(z_0, \rho_0) \in U$ .
- ▶ Twistor data is a pair of  $\mathrm{SL}(2, \mathbb{C})$  valued functions

$$P(\sqrt{\gamma - (z_0 + i\rho_0)}) \text{ on } U, \quad \text{and} \quad \tilde{P}(\sqrt{\gamma - (z_0 - i\rho_0)}) \text{ on } \tilde{U},$$

subject to certain involutions.

- ▶ Metric constructed via 'Riemann-Hilbert' problem in  $\lambda$ -plane.

# Gowdy/colliding plane waves

K.Y.Tee, D.Phil. thesis

- ▶ For Gowdy/colliding plane waves,  $\tau := i\rho \in \mathbb{R}$  is timelike,  $z, x^i$  spacelike.
- ▶  $\tau = 0$  is generically singular, in past/future for Gowdy, future for colliding plane waves.
- ▶ Choice of  $(z_0, \tau_0) \in U$  is natural for characteristic IVP for colliding plane waves.
- ▶  $P$  and  $\tilde{P}$  are naturally real functions of the real variables  $\zeta = z + \tau$ ,  $\tilde{\zeta} = z - \tau$  respectively.
- ▶  $P$  and  $\tilde{P}$  are equivalent to the characteristic data on  $\tilde{\zeta}_0 = \text{const.}$ ,  $\zeta_0 = \text{const.}$  respectively.

# Axis simple case

## The Ward ansatz

Assume glued down region  $V$  is connected & simply connected.

- ▶  $\mathbb{T}(U) = \mathbb{CP}_0^1$  glued to  $\mathbb{CP}_\infty^1$  over  $V$ .
- ▶ There is a canonical normalization of twistor data:

$$E|_{\mathbb{CP}_0^1} \cong E|_{\mathbb{CP}_\infty^1} \cong \mathcal{O}(p) \oplus \mathcal{O}(q), \quad (\mathcal{O}(p) = \mathbb{C}\text{-line bundle, } c_1 = p)$$

Symmetric  $P(\gamma) : V \rightarrow \mathrm{SL}(2, \mathbb{C})$  patches  $E|_{\mathbb{CP}_0^1}$  to  $E|_{\mathbb{CP}_\infty^1}$ .

- ▶ Metric is obtained from *Riemann-Hilbert problem* in  $\lambda$ -plane

$$G_0(z, \rho, \lambda) = \begin{pmatrix} \frac{\rho^p}{\lambda^p} & 0 \\ 0 & \frac{\rho^q}{\lambda^q} \end{pmatrix} P(\gamma) \begin{pmatrix} (-\rho\lambda)^p & 0 \\ 0 & (-\rho\lambda)^q \end{pmatrix} G_\infty(z, \rho, \lambda),$$

$\gamma = z + \frac{\rho}{2}(\frac{1}{\lambda} - \lambda)$ ,  $G_0$  holomorphic on  $|\lambda| \leq 1$ ,  $G_\infty$  on  $|\lambda| \geq 1$ .

$$J(z, \rho) = G_0(z, \rho, 0)G_\infty(z, \rho, \infty)^{-1}.$$

- ▶  $P$  is obtained from finite order  $\rho$ -expansion of  $J$  at  $\rho = 0$ .

# Cylindrical symmetry

Woodhouse (1989)

Here,  $\rho$  is space-like,  $\rho = 0$  is axis, and  $t = iz \in \mathbb{R}$  is time.

- ▶ Ward ansatz applies with  $V$  being (nhd of) real axis.
- ▶ Ward's metric reduction requires  $p = 1$ ,  $q = 0$ ,  
L.Witten's Ernst reduction requires  $p = q = 0$ .
- ▶  $\rho = 0$  is not a natural Cauchy surface.
- ▶ However, the  $P(\gamma)$  of the Ward ansatz has a canonical representation as a path-ordered exponential of cauchy data at  $t = 0$ .

# Stationary axisymmetric case

James Fletcher's thesis (1990)

Here  $(x^1, x^2) = (t, \phi)$ ,  $\phi$  defined mod  $2\pi$ , space-like,  $t$  time.

- ▶ Ward ansatz applies,  $V = U \cup \bar{U}$  where  $U$  is region on which solution exists.
- ▶ Ward's metric reduction requires  $p = 1, q = 0$ ,  
L.Witten's Ernst reduction requires  $p = q = 0$ .
- ▶ Fletcher characterizes the intersections of the axis and horizons in terms of the twistor data (simple pole in  $P$ ).
- ▶ Proves the stationary-axisymmetric black-hole uniqueness theorem using extension of Liouville's theorem on twistor data.

# Geroch group

Hidden symmetries, M. & Woodhouse (1988)

Geroch group is group of hidden symmetries.

- ▶ Generated by interplay of L. Witten's Ernst reduction,  $K$ , and Ward's metric reduction,  $J$ .
- ▶ Map  $J \rightarrow K$  corresponds to 'twisting' (conjugating) twistor data by

$$\begin{pmatrix} 0 & 1 \\ \gamma^{-1} & 0 \end{pmatrix}.$$

- ▶ Generates action of loop group  $g(\gamma) : S^1 \rightarrow \mathrm{SL}(2, \mathbb{C})$ ,  
 $S^1 = \{\gamma, |\gamma| = 1\}$ .
- ▶ Acts by twisting bundle.
- ▶ Can classify orbits in general case & prove transitivity in axis regular case.

# Summary

- ▶ Twistor theory provides a geometric unifying framework for integrable systems approaches to the Ernst equations.
- ▶ Can be adapted to all the standard applications.
- ▶ **Further projects:**
  - ▶ Project with A. Gray & M. Singer on + + + + signature case aims at classification of toric Ricci-flat 4-manifolds.
  - ▶ Work with D. Calderbank.  
Deformations of  $\mathbb{T}(U) \leftrightarrow$  solutions to 'spinor-vortex' equation:  
Riemann surface  $\Sigma$ , metric  $g$ , Dirac op.  $D$  and spinor field  $\Psi$ ,

$$D\Psi = -3\bar{\Psi}, R = 4 - 2|\Psi|^2$$

$\leadsto$  toric anti-self-dual conformal structures.



The end