

SOME APPLICATIONS OF
SCALAR CURVATURE DEFORMATION
IN GENERAL RELATIVITY

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TYPES OF DEFORMATION

- CONFORMAL
 - LOCALIZED
 - LOHKAMP
 - (LOCAL)
FISCHER-MARSDEN
-

APPLICATIONS

- CONSTRUCT SOLUTIONS OF CONSTRAINT $R(g) = 0$ WHICH ARE IDENTICALLY SCHWARZSCHILD OUTSIDE A COMPACT SET.
(FULL CONSTRAINTS: C-Schoen; Chruściel-Delay)
- CONSTRUCT SUCH DATA SO THAT EINSTEIN EVOLUTION IS ASYMPTOTICALLY SIMPLE (PENROSE COMPACTIFICATION)
(Chruściel-Delay; C.)
- CONSTRUCT MULTI-HORIZON DATA SETS, INCLUDING EXAMPLES WITH TRIVIAL TOPOLOGY.
(Chruściel-Delay, Chruściel-Mazzeo; P. Miao; C.)
- LOCALIZED GLUING CONSTRUCTIONS.
(Isenberg; Mazzeo; Pollack; Chruściel-Delay, Chruściel-Isenberg-Pollack)
C.

LOCAL FISCHER-MARSDEN: $\Omega \subset (M, g)$ smooth.

Suppose L_g^* has trivial kernel on Ω .

adjoint of $L_g = R_g'$,

Ω not static

$$L_g^*(f) = -(\Delta_g f)g + \text{Hess}f - f \text{Ric}(g).$$

There exists $\epsilon > 0$ s.t. for $S \in C_c^\infty(\Omega)$, $\|S\|_{C^{0,\alpha}} < \epsilon$,

there is a smooth $h \in C_c^\infty(\bar{\Omega})$ s.t. $\|h\|_{C^{2,\alpha}} \leq C\|S\|_{C^{0,\alpha}}$ and

$$R(g+h) = R(g) + S$$

STATIC VERSION (FLAT CASE): $\Omega \subset \mathbb{R}^3$ smooth

There is a nbhd. U of flat metric in $C^{4,\alpha}(\bar{\Omega})$ and
an $\epsilon > 0$ s.t. for smooth $g \in U$ and $S \in C_c^\infty(\Omega)$,

$\|S\|_{C^{0,\alpha}} < \epsilon$, there is a smooth $h \in C_c^\infty(\bar{\Omega})$ with

$\|h\|_{C^{2,\alpha}} \leq C\|S\|_{C^{0,\alpha}}$ and

$$R(g+h) - (R(g) + S) \in \mathfrak{K}$$

where $\mathfrak{K} = \ker L_S^* = \text{sp} \{1, x^1, x^2, x^3\}$, $\mathfrak{K} \cap C_c^\infty(\Omega)$. $\zeta =$ fixed bump fun

APPLICATION: C. '99/'00

(M, g) AF, $R(g) = 0$, $N \subset M$ is AF end
UI
 $E_r \cong \{ |x| \geq r \}$

Suppose $g = \left(1 + \frac{m_0}{2|x|}\right)^4 \delta + \mathcal{O}(|x|^{-2})$ (dense by Schoen-Yau)

For all $\epsilon > 0$, there is an $R > 0$ and a smooth \bar{g}

with $R(\bar{g}) = 0$, $\bar{g} = \begin{cases} g & \text{on } M \setminus E_R \\ g_S & E_{2R} \end{cases}$

↑
an appropriate Schwarzschild

IDEA OF PROOF: PATCH g to $g_S = \left(1 + \frac{m}{2|x-c|}\right)^4 \delta$

in $A_R = B_{2R} \setminus B_R$. TO KILL SCALAR CURVATURE

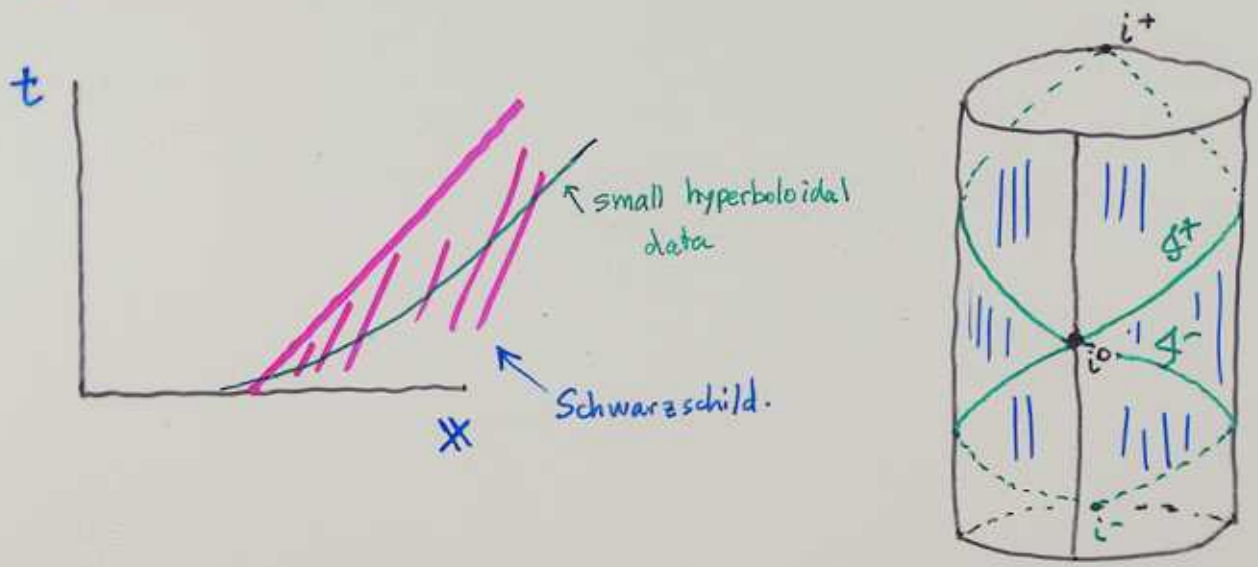
in A_R , USE LOCAL DEFORMATION TO HANDLE

PROJECTION TRANSVERSE TO \mathbb{S}^k , USE PARAMETERS

m, c TO HANDLE PROJECTION TO \mathbb{S}^k .

MOTIVATION TO MAKE SUCH A CONSTRUCTION:

- INSPIRED BY CUTLER-WALD '89 : CONSTRUCTION OF SOLUTION TO EINSTEIN-MAXWELL CONSTRAINTS $R(g) = 2|B|^2$, $\text{div}_g B = 0$, s.t. B COMPACTLY SUPPORTED AND g IDENTICAL TO SCHWARZSCHILD OUTSIDE BALL CONTAINING SUPPORT OF B .
- SCALING B to ϵB , PRODUCE SMALL DATA FOR EINSTEIN EVOLUTION, SCHWARZSCHILD OUTSIDE FIXED BALL, TENDING TO MINKOWSKI DATA.
- APPLY H. FRIEDRICH STABILITY THEOREM



FIRST EXISTENCE RESULT: CHRUSCIEL-DELAY

- APPLY CONSTRUCTION TO FAMILIES OF SMALL INITIAL DATA WITH **PARITY SYMMETRY** ($x \mapsto -x$).

THE PATCHING AND COMPACT PERTURBATION CAN BE DONE PRESERVING THE SYMMETRY. HENCE,

$$\int_{A_R} x^i R(g) dx = 0 \quad i=1,2,3$$

- FOR THE PROJECTION ONTO CONSTANT FUNCTIONS, WE

USE $R(g) \approx \sum_{i,j} g_{ij,i} - g_{ii,j}$ (R large) :

$$\int_{A_R} R(g) dx \approx \int_{\partial A_R} (g_{ij,i} - g_{ii,j}) \nu^j d\mathbb{S}$$

$$\approx 16\pi (m - m_0)$$

ESTIMATE
UNIFORM
AS DATA
TENDS TO FLAT

FOR LARGE ENOUGH R (A SINGLE R WORKS FOR WHOLE FAMILY)

THE QUEST FOR MORE DATA

g AF

- Suppose $R(g) = 0$, with $g = u + \delta$ outside compact set:

$$\Delta u = 0 \quad \text{outside compact set}$$

$$u \rightarrow 1 \quad \text{at } \infty$$

center of mass

$$u(x) = 1 + \frac{m}{2|x|} - \frac{m\vec{c} \cdot \vec{x}}{2|x|^3} + \mathcal{O}(|x|^{-2}).$$

- In above construction (without imposing parity)

$$\alpha_0 \int_{A_R} x \cdot R(g) dx \approx m\vec{c} - m_0\vec{c}_0$$

↑
if $m_0 \downarrow 0$, may need to take $R \uparrow$ to force
error terms to be small enough
(for given range of c)

- "Enough": use fixed-point/degree methods to guarantee choice of (m, c) near (m_0, c_0) to make $R(g) = 0$.

- Idea: arrange asymptotics so mass dominates

Theorem (C., '05-'06) (LINEARIZATION-STABILITY-TYPE THM.)

Let $h \in C_c^\infty$ (symmetric (0,2)) satisfy $L(h) = 0$
 $\uparrow L = L_g = R'_g$

For $|\varepsilon|$ small, consider $g_\varepsilon = u_\varepsilon^4 (\delta + \varepsilon h)$ AF,
 $R(g_\varepsilon) = 0$. There is $R_0 > 0$ s.t. for all ε small
enough, there is \bar{g}_ε , $R(\bar{g}_\varepsilon) = 0$, $\bar{g}_\varepsilon = g_\varepsilon$ in B_{R_0} ,
 \bar{g}_ε is Schwarzschild in \mathbb{E}_{2R_0} , and s.t.

this data evolves to a maximal Ricci-flat spacetime
(with $(\mathbb{R}^3, \bar{g}_\varepsilon)$ as a totally geodesic Cauchy surface)
which admits a conformal compactification.

Moreover, $\frac{\partial}{\partial \varepsilon} \Big|_{\varepsilon=0} \bar{g}_\varepsilon = h$ •

REMARKS: $L(h) = -\Delta(\text{tr}h) + \text{div}(\text{div}(h))$.

- TT-tensors belong to $\ker(L)$.
- Easy to construct examples with compact support
- General formulas for TT-tensors (at flat metric) are available (Beig; Dain-Friedrich)

weighted function space

Prop: Suppose $h_0 \in X_K$, with $L(h_0) = 0$.

Given $\varepsilon > 0$, there is $R_0 > 0$, and h_1 supported in B_{2R_0} s.t. $L(h_1) = 0$ and $\|h_0 - h_1\|_{X_K} < \varepsilon$.

Idea of proof: Cut-off h_0 to ψh_0 . Use variational "small" method to solve $L(h) - f \in \mathcal{S}_K$, where $f = -L(\psi h_0)$.

Projection shows $L(h) = f$, i.e. $L(h + \psi h_0) = 0$.

Basic ideas of construction of small data :

- Arrange asymptotics so mass dominates.

① Lower bound on mass for small data (Bartnik)

$$m(g) \geq \int_{\mathbb{R}^3} (R(g) + \frac{1}{8} |\partial g|^2) dx$$

② Estimates on conformal metric :

$$\gamma_h = \delta + h, \quad \Delta h = 0, \quad h \text{ cpt support.}$$

$$\text{Let } g_h = u_h^4 \gamma_h, \quad \Delta_{\gamma_h} u_h = \frac{1}{8} \underbrace{R(\delta + h)}_{\uparrow \|c\|_{X_K}^2} u_h$$

Write $u_h = 1 + w_h$, explicit formula for w_h using fundamental solution for Δ :

$$u_h(x) = 1 + \frac{m_h}{2|x|} + |x|^{-2} \mathcal{O}(\|h\|^2).$$

- $\|h\|_{X_K}^2 \gtrsim m_h \gtrsim \|\partial h\|_{L^2}^2 - \|h\|_{X_K}^4$

- Given a fixed h ($Lh=0$, compact support), scale to εh , obtain

- $m_\varepsilon \gtrsim \varepsilon^2$
 - $|x|^2 \left| W_\varepsilon - \frac{m_\varepsilon}{2|x|} \right| \lesssim \varepsilon^2$

- The projection to Σ_K is like

$$(m - m_0, mC - m_0 C_0) \quad (\text{plus error terms in } R^{-1})$$

\uparrow
 scale $\sim \varepsilon^2 \lesssim m_\varepsilon$

- Can solve gluing problems at some large R_0 , independent of $|\varepsilon|$ small.

- $\frac{\partial \bar{g}_\varepsilon}{\partial \varepsilon} \Big|_0 = h$ (wlog, $B_{R_0} \supseteq \text{supp}(h)$)

Pf: Let $v = \frac{\partial u_\varepsilon}{\partial \varepsilon} \Big|_0$. $\frac{\partial \bar{g}_\varepsilon}{\partial \varepsilon} = h + 4v\delta$.

$$\Delta_{g_\varepsilon} u_\varepsilon - \frac{1}{8} R(g_\varepsilon) u_\varepsilon = 0 \Rightarrow \Delta v = 0$$

\uparrow
 $\sim \varepsilon^2$

$v \rightarrow 0$ at ∞ . \equiv

LOCALIZED DEFORMATION

LOHKAMP: (M^n, g) ($n \geq 3$) smooth Riemannian.

$U \subset M$ open. $f \in C^\infty(M)$, $f = R(g)$ on $M \setminus U$,

$f < R(g)$ on U . Given $\epsilon > 0$, there is a smooth g_ϵ which agrees with g outside U_ϵ (ϵ -nbhd of U)

satisfies $\|g_\epsilon - g\|_{C^0(M)} < \epsilon$ and

$$f - \epsilon \leq R(g_\epsilon) \leq f.$$

"Scalar curvature
hammocks"

REMARK: WE CANNOT IN GENERAL EXPECT TO BE

ABLE TO PUSH SCALAR CURVATURE UP: E.G.

(\mathbb{R}^3, δ) DOES **NOT** ADMIT A COMPACTLY
SUPPORTED PERTURBATION h WITH

$R(\delta+h) \geq 0$ (NOT $\equiv 0$), BY

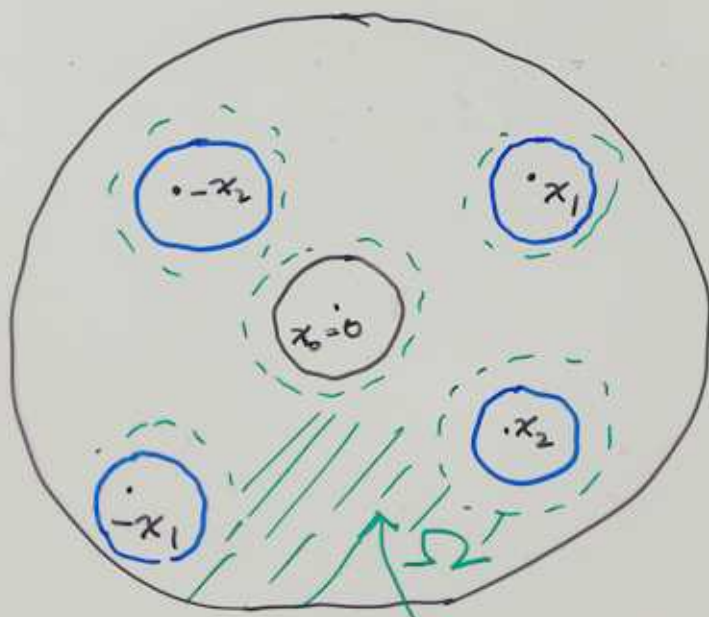
POSITIVE MASS THEOREM / SCHOEN-YAU \mathbb{T}^3 -THEOREM

Application: Scalar-flat data on \mathbb{R}^3 with multiple minimal spheres

- * Combination of constructions by Chruściel-Delay, and P. Miao

Thm. (Chruściel-Delay) There exist metrics on $\mathbb{R}^3 \setminus \{0 = x_0, \pm x_1, \dots, \pm x_k\}$ of zero scalar curvature of the following form: there are radii r, r_i , masses m, m_i , s.t. in $M \cap B(\pm x_i, r_i)$ the metric is Schwarzschild of mass m_i centered at $\pm x_i$; outside $B(0, r)$, metric is Schw. of mass m , centered at 0 . The masses and radii are chosen so the metric contains minimal spheres $|x \mp x_i| = \frac{m_i}{2}$ ($r_i > \frac{m_i}{2}$).

- *: Existence of scalar-flat AF metric on \mathbb{R}^3 with a horizon due to Beig-O'Murchadha.
- Also more recent work of Yu Yan.



Parity-
Symmetric

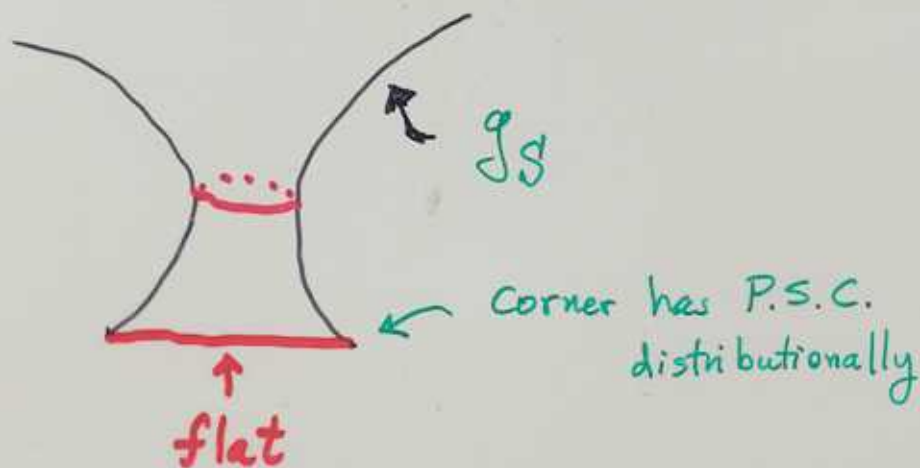
• Key idea: Make metric near flat by using small masses.

• Try to do local gluing: by parity symmetry,
need only project onto constant functions:

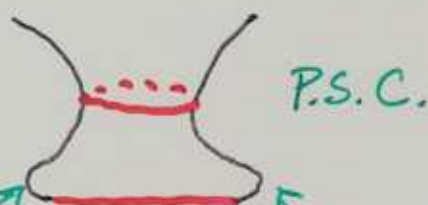
$$\int_{\Omega} R(g+h) dx = 16\pi \left(m - m_0 - \sum_{i=1}^k 2m_i \right) + O(\delta^2)$$

\uparrow
 $0 < m, m_i < \delta$

- Adjust topology by P. Miao's construction :



- Mollify conformal factor :



- Now apply Lohkamp

- Push scalar curvature down into range $(-\epsilon, 0]$

not too far!

- Local deformation : metric controlled in C^0

- Finally, use a conformal deformation to move metric to $R(u_\epsilon^4 g_\epsilon) = 0$

- Good estimates on $u_\epsilon \rightsquigarrow$ horizon persists! (Barrier)