

# Real Zeros of Chromatic and Flow Polynomials

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- Chromatic Polynomials of Graphs
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  - Planar Triangulations
  - Graphs of Bounded Maximum Degree
- Flow Polynomials of Graphs
  - Arbitrary Graphs
  - Cubic Graphs
  - Graphs of Bounded Diameter

# Chromatic Polynomials: Arbitrary Graphs

## Theorem

Let  $G$  be a loopless graph with  $n$  vertices,  $c$  components, and  $b$  blocks which are not isolated vertices. Then:

- $P_G(t)$  is non-zero with sign  $(-1)^n$  for  $t \in (-\infty, 0)$ ;
- $P_G(t)$  has a zero of multiplicity  $c$  at  $t = 0$ ;
- $P_G(t)$  is non-zero with sign  $(-1)^{n+c}$  for  $t \in (0, 1)$ ;
- $P_G(t)$  has a zero of multiplicity  $b$  at  $t = 1$ , (Woodall);
- $P_G(t)$  is non-zero with sign  $(-1)^{n+c+b}$  for  $t \in (1, \frac{32}{27}] \approx (1, 1.185]$ .

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## Theorem (Thomassen)

The real chromatic roots of graphs are dense everywhere in  $[\frac{32}{27}, \infty)$ .

# Chromatic Polynomials: 3-Connected Graphs

## Conjecture

- If  $G$  is a loopless 3-connected graph, then  $G$  has no chromatic roots in  $(1, \alpha)$  where  $\alpha \approx 1.781$  is the chromatic root of  $K_{3,4}$ .
- For all  $\epsilon > 0$ , there are only finitely many 3-connected cubic graphs with a chromatic root in  $(1, 2 - \epsilon)$ .

# Chromatic Polynomials: Planar Graphs

## Theorem

If  $G$  is a loopless planar graph, then  $P_G(t) > 0$  for  $t \in [5, \infty)$ ,  
(Birkhoff and Lewis).

## Theorem

The real chromatic roots of planar graphs are dense everywhere in  
 $[\frac{32}{27}, 3]$ . (Thomassen)

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## Conjecture

If  $G$  is a loopless planar graph, then  $P_G(t) > 0$  for  $t \in [4, \infty)$ , (Birkhoff and Lewis).

## Conjecture

The real chromatic roots of planar graphs are dense everywhere in  $[\frac{32}{27}, 4]$ . (Thomassen)

# Chromatic Polynomials: Plane (Near) Triangulations

A **near triangulation** is a plane graph with at most one face of size other than three.

## Theorem

Let  $G$  be a 3-connected plane near triangulation with  $n$  vertices. Then

- $P_G(t)$  is non-zero with sign  $(-1)^n$  for  $t \in (1, 2)$ , (Birkhoff and Lewis);
- $P_G(t)$  has a simple zero at  $t = 2$ , (Woodall);
- If  $G$  is a triangulation then  $P_G(t)$  is non-zero with sign  $(-1)^{n+1}$  for  $t \in (2, \delta)$ , where  $\delta \approx 2.546$  is the chromatic root of the octahedron in  $(2, 3)$ , (Woodall).



# Chromatic Polynomials: Plane Triangulations

## Conjecture (Woodall)

- If  $G$  is a 4-connected plane triangulation then  $P_G(t)$  has at most one zero in  $(2, \tau^2)$ , where  $\tau = \frac{1+\sqrt{5}}{2}$  and  $\tau^2 \approx 2.6180$ .
- For all  $\epsilon > 0$ , there exist only finitely many 4-connected plane triangulations with a chromatic root in  $(2, \tau^2 - \epsilon)$ .

# Chromatic Polynomials: Graphs of Bounded Maximum Degree

## Theorem (Sokal)

Let  $G$  be a loopless graph of maximum degree  $\Delta$ . Then  $P(G, z) \neq 0$  for all complex  $z$  with  $|z| \geq C\Delta$ , where  $C \approx 7.9639$ .

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## Theorem (Thomassen, Woodall)

Let  $G$  be a graph. Suppose that every simple minor of  $G$  has a vertex of degree at most  $d$ . Then  $P(G, t) > 0$  for all  $t > d$ .

# Nowhere-zero Flows

Let  $t$  be a positive integer and  $G$  be a graph.

Construct a digraph  $\vec{G}$  by giving the edges of  $G$  an arbitrary orientation.

A **nowhere-zero  $\mathbb{Z}_t$ -flow** of  $G$  (with respect to  $\vec{G}$ ) is an assignment of flow values  $1, 2, 3, t - 1$  to the arcs of  $\vec{G}$  such that the total flow entering each vertex is congruent to the total flow leaving each vertex, modulo  $t$ .

## Conjecture (Tutte)

Let  $G$  be a bridgeless graph.

- $G$  has a nowhere zero 5-flow.
- If  $G$  has no edge-cuts of size three then  $G$  has a nowhere zero 3-flow.

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## Theorem

Let  $G$  be a bridgeless graph.

- $G$  has a nowhere zero 6-flow. (Seymour)
- If  $G$  has no edge-cuts of size three then  $G$  has a nowhere zero 4-flow. (Jaeger)

# The Flow Polynomial

Let  $G = (V, E)$  be a graph. For each positive integer  $t$ , let  $F_G(t)$  be the number of nowhere-zero  $\mathbb{Z}_t$ -flows of  $G$ . (By definition  $F_G(t) \equiv 1$  if  $E = \emptyset$ .)



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## Contraction-Deletion Lemma

Let  $G$  be a graph and  $e$  be an edge of  $G$  which is not a bridge. Then

$$F_G(t) = F_{G/e}(t) - F_{G-e}(t).$$

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## Lemma (Tutte)

If  $G$  is a connected plane graph and  $G^*$  is its planar dual then

$$F_G(t) = t^{-1}P_{G^*}(t).$$

## Theorem (Wakelin)

Let  $G$  be a bridgeless graph with  $n$  vertices,  $m$  edges,  $b$  blocks, and no isolated vertices. Then:

- $F_G(t)$  is non-zero with sign  $(-1)^{m-n+1}$  for  $t \in (-\infty, 1)$ ;
- $F_G(t)$  has a zero of multiplicity  $b$  at  $t = 1$ ;
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Edwards, Hierons and BJ subsequently obtained a common extension of both this theorem, and the analogous theorem for chromatic polynomials, to matroids.

# Flow Polynomials: Arbitrary Graphs

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The real flow roots of graphs are dense everywhere in  $[\frac{32}{27}, 3)$ .

# Flow Polynomials: Arbitrary Graphs

## Conjecture (Thomassen)

The flow roots of graphs are dense in  $[\frac{32}{27}, 4]$ .

## Conjecture (Welsh)

If  $G$  is a bridgeless graph, then  $F_G(t) > 0$  for all  $t > 4$ .

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## Theorem

Let  $G$  be a bridgeless graph. If every every 3-edge-connected minor of  $G$  has a cycle of length at most  $d$ , then  $F_G(t) > 0$  for all  $t > d$ .



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## Corollary

Let  $G$  be a bridgeless graph with  $n$  vertices. Then  $F_G(t) > 0$  for all  $t > 2 \log_2 n$ .

# Flow Polynomials: (Near) Cubic Graphs

A graph  $G$  is **near cubic** if it has at most one vertex of degree other than three.

## Theorem

Let  $G$  be a 3-connected near cubic with  $n$  vertices and  $m$  edges.

Then:

- $F_G(t)$  is non-zero with sign  $(-1)^{m-n}$  for  $t \in (1, 2)$ ;
- $F_G(t)$  has a simple zero at  $t = 2$ ;
- $F_G(t)$  is non-zero with sign  $(-1)^{m-n+1}$  for  $t \in (2, \rho]$ , where  $\rho \approx 2.225$  is the root of  $t^4 - 8t^3 + 22t^2 - 28t + 17$  in  $(2, 3)$ ;
- If  $G$  is cubic then  $F_G(t)$  has no zeros in  $(2, \delta]$ , where  $\delta \approx 2.546$  is the flow root of the cube in  $(2, 3)$ .

## Conjecture

- If  $G$  is a cyclically-4-connected cubic graph then  $F_G(t)$  has at most one zero in  $(2, \tau^2)$ , where  $\tau = \frac{1+\sqrt{5}}{2}$  and  $\tau^2 \approx 2.6180$ .
- For all  $\epsilon > 0$ , there exist only finitely many cyclically 4-connected cubic graphs with a flow root in  $(2, \tau^2 - \epsilon)$ .

# Flow Polynomials: Graphs of Bounded Diameter

Let  $G = (V, E)$  be a graph and  $Z \subset E$ . Then  $Z$  is a  $\mathbb{Z}_2$ -**cycle** of  $G$  if each vertex of  $G$  is incident to an even number of edges of  $Z$ . The set of  $\mathbb{Z}_2$ -cycles of  $G$  is closed under symmetric difference and hence forms a vector space over  $\mathbb{Z}_2$ , the **cycle space**,  $\mathcal{Z}(G)$ , of  $G$ . For each basis  $B$  of  $\mathcal{Z}(G)$ , let  $\Lambda(B)$  be the maximum size of a  $\mathbb{Z}_2$ -cycle in  $B$ . Let  $\Lambda(G)$  be the minimum of  $\Lambda(B)$  over all bases  $B$  for  $\mathcal{Z}(G)$ .

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## Conjecture (Sokal and BJ)

There exists a constant  $c > 0$  such that, for all bridgeless graphs  $G$ , and all complex  $z$  with  $|z| > c\Lambda(G)$ , we have  $F_G(z) \neq 0$ .

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## Conjecture (Sokal and BJ)

There exists a constant  $c > 0$  such that, for all bridgeless graphs  $G$  of diameter  $D$ , and all complex  $z$  with  $|z| > cD$ , we have  $F_G(z) \neq 0$ .

# The Multivariate Tutte Polynomial

The **multivariate Tutte polynomial** of a graph  $G = (V, E)$  is the  $(|E| + 1)$ -variable polynomial given by

$$Z_G(q, \mathbf{w}) = \sum_{A \subseteq E} q^{k(A)} \prod_{e \in A} w_e,$$

where  $\mathbf{w} = (w_e)_{e \in E}$  is a vector of indeterminates.  
We have  $P_G(q) = Z_G(q, -\mathbf{1})$ .

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## Theorem (Sokal and BJ)

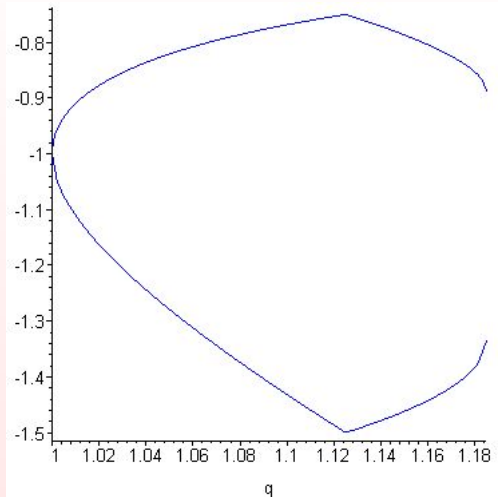
Suppose  $G = (V, E)$  is a loopless 2-connected graph and  $q \in (1, 32/27]$ . Let  $w_\diamond$  be the root of  $w^3 - 2wq - q^2$  which lies in  $(-1, 0)$ . Put

$$L_q = \begin{cases} (-q - \sqrt{q^2 - q}, -q + \sqrt{q^2 - q}) & \text{if } q \in (1, 9/8] \\ (q/w_\diamond, w_\diamond) & \text{if } q \in (9/8, 32/27] \end{cases}$$

If  $w_e \in L_q$  for all  $e \in E$ , then  $(-1)^{|V|} Z_G(q, \mathbf{w}) > 0$ .



# The Interval $L_q$ for $1 < q < 32/27$



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