

# Gaudin functions of arbitrary level

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The theory of symmetric functions mostly relies on **the Cauchy formula**, that is

$$\det \left( \frac{1}{x - y} \right) = \frac{\Delta(x)\Delta(y)}{\prod(x - y)} \quad \mathbf{1}$$

“**1**” contains all the information about symmetric functions, except what concerns the **plethysm**.

Next: **Gaudin-Izergin-Korepin determinant:**

$$\det \left( \frac{1}{(x-y)(x-ty)} \right) = \frac{\Delta(x)\Delta(y)}{\prod (x-y)(x-ty)} \mathbf{F}(\mathbf{x}, \mathbf{y})$$

Useful to enumerate **ASM** , by specialization of  $t$  to a root of unity.

NextNext: Gaudin function of level  $r$ .

$$\det \left( \frac{1}{(x-y) \cdots (x-t^r y)} \right) = \frac{\Delta(x)\Delta(y)}{\prod (x-y) \cdots (x-t^r y)} F^r(\mathbf{x}, \mathbf{y})$$

with  $F^r(\mathbf{x}, \mathbf{y})$  a function symmetrical in  $\mathbf{x}$ , and symmetrical in  $\mathbf{y}$ .

The classical case was level  $r = 1$ .

How to compute ?

Problem: difficult to experiment, the expansion of the determinant becomes too big.

```
matrix([seq([ seq(
    1/(x.i-y.j)/(x.i-t*y.j)/(x.i-t^2*y.j),
    j=1..3)],i=1..3)]);
factor(det(%));
Error, (in minor) object too large
```

Fortunately, **Newton's divided differences** allow to compute with rational functions, and in particular, to **increase the number of poles**.

$$\frac{1}{x - z_1} \partial_1 \cdots \partial_{r-1} = \frac{1}{(x - z_1) \cdots (x - z_r)}$$

where  $\partial_i$  is the divided difference

$$f \rightarrow (f - f^{s_i})(z_i - z_{i+1})^{-1}$$

and  $s_i = \text{transposition of } z_i, z_{i+1}$ .

After p. 6

	1	$z_{i+1}$
Young	1	$z_i$
Newton	0	-1
Demazure	1	0
Macdonald	$\zeta$	$z_i$

$$T_i T_{i+1} T_i = T_{i+1} T_i T_{i+1}$$

Divided difference  
like operators

We can pass from Cauchy to Gaudin by introducing  $n$  sets of  $r+1$  variables extending the  $y_j$  variables :

$$\mathbf{y}^j := \{y_j^0 = y_j, y_j^1, \dots, y_j^r\} , \quad j = 1, \dots, n ,$$

and using the products of divided differences

$$\prod_{j=1}^n \partial_0^j \cdots \partial_{r-1}^j ,$$

where  $\partial_i^j$  is relative to the pair  $y_j^i, y_j^{i+1}$ .



After p. 7

$$\frac{(1-z_2) z_1}{(1-z_1)(1-z_2)} = \frac{z_1}{(1-z_1)(1-z_2)}$$

Divided differences  
increase the number  
of poles.

Let  $z_1, \dots, z_N$  be  $N = 2n$  complex indeterminates (spectral parameters). We consider the following multiple contour integrals:

$$\begin{aligned} \Psi_{a_1, \dots, a_n} &= \prod_{1 \leq i < j \leq N} (q z_i - q^{-1} z_j) \times \\ &\times \oint \cdots \oint \prod_{\ell=1}^n \frac{dw_\ell}{2\pi i} \frac{\prod_{1 \leq \ell < m \leq n} (w_m - w_\ell)(q w_\ell - q^{-1} w_m)}{\prod_{\ell=1}^n \prod_{1 \leq i \leq a_\ell} (w_\ell - z_i) \prod_{a_\ell < i \leq N} (q w_\ell - q^{-1} z_i)} \end{aligned} \quad (3.7)$$

where  $(a_\ell)_{\ell=1, \dots, n}$  is any *non-decreasing* sequence of integers in  $\{1, \dots, N-1\}$ . The contours catch the poles at  $w_i = z_j$  but not those at  $w_i = q^{-2} z_j$ . These integrals are closely related to formulae for solutions of level 1  $q$ KZ equation in the *spin* basis, as given in e.g. [17]. In appendix B, a detailed discussion of the connection between the two types of integrals is given.

We want to show the following:  $\Psi_{a_1, \dots, a_n}$  is a homogeneous polynomial in the variables  $z_1, \dots, z_N$  of degree  $n(n-1)$ . Furthermore it satisfies the wheel condition: for all ordered triplets  $i, j, k$ ,

$$\Psi_{a_1, \dots, a_n}(\dots, z_i = z, \dots, z_j = q^2 z, \dots, z_k = q^4 z, \dots) = 0 \quad 1 \leq i < j < k \leq N \quad (3.8)$$

To prove this, we first write explicitly the residue formula for Eq. (3.7):

$$\begin{aligned} \Psi_{a_1, \dots, a_n} &= \prod_{1 \leq i < j \leq n} (q z_i - q^{-1} z_j) \times \\ &\times \sum_{\substack{\{k_1, \dots, k_n\} \\ k_\ell \neq k_m, 1 \leq k_\ell \leq a_\ell}} \frac{\prod_{1 \leq \ell < m \leq n} (z_{k_m} - z_{k_\ell})(q z_{k_\ell} - q^{-1} z_{k_m})}{\prod_{\ell=1}^n \prod_{1 \leq i \leq a_\ell, i \neq k_\ell} (z_{k_\ell} - z_i) \prod_{a_\ell < i \leq N} (q z_{k_\ell} - q^{-1} z_i)} \\ &= \sum_{\substack{K = \{k_1, \dots, k_n\} \\ k_\ell \neq k_m, 1 \leq k_\ell \leq a_\ell}} (-1)^{s(k_\ell)} \frac{\prod_{1 \leq \ell < m \leq n} (q z_{k_\ell} - q^{-1} z_{k_m}) \prod_{\substack{1 \leq i < j \leq N \\ i \notin K \text{ or } i = k_\ell, j \leq a_\ell}} (q z_i - q^{-1} z_j)}{\prod_{\ell=1}^n \prod_{\substack{1 \leq i \leq a_\ell \\ i \notin K \text{ or } i > k_\ell}} (z_{k_\ell} - z_i)} \end{aligned} \quad (3.9)$$

where  $(-1)^{s(k_\ell)}$  is the sign of the permutation that places the  $k_\ell$  in increasing order.

Let us now compute the residue at  $z_i \rightarrow z_j$ . Note that at least one of the two integers  $i, j$  must belong to  $K$  for the residue of the summand to be non-zero. Two cases arise: a) terms where both  $i$  and  $j$  are in  $K$ , say  $j = k_\ell$  and  $i = k_m$  with  $k_\ell < k_m \leq a_\ell$  (and as always  $k_m \leq a_m$ ). Then one can switch  $k_\ell$  and  $k_m$ : now  $k_\ell = i$ ,  $k_m = j$ ,  $k_m < k_\ell \leq a_m$

Here is the effect of using  $\partial_0^1$  and  $\partial_0^2$ :

$$\begin{array}{c}
 \left| \begin{array}{cc} \frac{1}{x_1 - y_1^0} & \frac{1}{x_2 - y_1^0} \\ \frac{1}{x_1 - y_2^0} & \frac{1}{x_2 - y_2^0} \end{array} \right| \xrightarrow{\text{red}} \left| \begin{array}{cc} \frac{1}{(x_1 - y_1^0)(x_1 - y_1^1)} & \frac{1}{(x_2 - y_1^0)(x_2 - y_1^1)} \\ \frac{1}{x_1 - y_2^0} & \frac{1}{x_2 - y_2^0} \end{array} \right| \\
 \\
 \xrightarrow{\text{blue}} \left| \begin{array}{cc} \frac{1}{(x_1 - y_1^0)(x_1 - y_1^1)} & \frac{1}{(x_2 - y_1^0)(x_2 - y_1^1)} \\ \frac{1}{(x_1 - y_2^0)(x_1 - y_2^1)} & \frac{1}{(x_2 - y_2^0)(x_2 - y_2^1)} \end{array} \right|
 \end{array}$$

To get an identity, we need to compute the image of Cauchy in a second manner. The product of Cauchy by the [denominator of the Gaudin function](#) is

$$\Delta(\mathbf{x}) \left| (y_i^0)^j R(\mathbf{x}, \mathbf{y}^i - y_i^0) \right|_{i=1, \dots, n, j=0, \dots, n-1}$$

where  $R(A, B)$  is the [resultant](#)  $\prod_{a \in A} \prod_{b \in B} (a - b)$  of two sets of indeterminates  $A, B$ , and where  $\mathbf{y}^i - y_i^0$  stands for  $\{y_j^1, \dots, y_j^r\}$ .

We have now to compute the image of each entry under divided differences, having passed from rational functions to polynomials.

$$R(A, B)$$

$$= \prod (a-b)$$

$$= S_{\mu^{\alpha}}(A-B)$$

The resultant is a Schur function of a difference of alphabets

$$\frac{\prod_b (1 - zb)}{\prod_a (1 - za)}$$

$$= \sum z^n S_n(A-B)$$

$$= \sum z^n S_n(A-B)$$

Complete functions of A-B

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It is a simple exercise to arrive to a determinant of **Schur functions** :

$$\det (S_{j \square}(\mathbf{y}^i - \mathbf{x}) ,$$

with  $j \square = j, \underbrace{n-1, \dots, n-1}_r = j, (n-1)^r$ .

Going back to the original variables  $y_i$ , one gets a **determinant of Schur functions** to express the Gaudin function :

$$F^r(\mathbf{x}, \mathbf{y}) \Delta(\mathbf{y}) = \det (S_{j \square}(y_i + \dots + t^r y_i - \mathbf{x}) .$$

For example, for  $r = 1$ ,  $n = 3$ ,

$$F^1(\mathbf{x}, \mathbf{y})\Delta(\mathbf{y}) = \begin{vmatrix} S_{022}(y_1+ty_1 - \mathbf{x}) & S_{122}(y_1+ty_1 - \mathbf{x}) & S_{222}(y_1+ty_1 - \mathbf{x}) \\ S_{022}(y_2+ty_2 - \mathbf{x}) & S_{122}(y_2+ty_2 - \mathbf{x}) & S_{222}(y_2+ty_2 - \mathbf{x}) \\ S_{022}(y_3+ty_3 - \mathbf{x}) & S_{122}(y_3+ty_3 - \mathbf{x}) & S_{222}(y_3+ty_3 - \mathbf{x}) \end{vmatrix}$$



Notice that the functions  $S_{j\Box}$  are **subresultants**. Indeed  $R(A, B)$  is equal to  $S_{\beta\alpha}(A - B)$ , with  $\alpha = \text{card}(A)$ ,  $\beta = \text{card}(B)$ .

Here, we have sets of cardinality  $r+1, n$ , and  $\Box = (n-1)^r$ . However, when  $A, B$  have a letter  $c$  in common, then  $S_{(\beta-1)\alpha-1}(A - B)$  becomes the resultant of  $A-c, B-c$ . This function vanishes if  $A, B$  have more than one letter in common.

Therefore, when specializing  $\mathbf{x}$  into a subset of

$$\{y_1, \dots, y_n, \dots, t^r y_1, \dots, t^r y_n\},$$

then each entry of the Gaudin determinant either vanishes or becomes a resultant.

This gives **enough specializations** (which are either 0 or products of linear factors  $y_j - t^k y_i$ ) to **characterize the Gaudin function**, as stated by the next theorem.

**Theorem.**  $F_n^r(\mathbf{x}, \mathbf{y})$  is the *only linear combination of Schur functions* in  $\mathbf{x}$  (with coefficients in  $\mathbf{y}$ ) indexed by partitions contained in  $((n-1)r)^n$ , which *has the same specializations*

$$\mathbf{x} \subset \{y_1, \dots, y_n, \dots, t^r y_1, \dots, t^r y_n\}$$

than the function

$$G_n^r(\mathbf{x}, \mathbf{y}) := \frac{\Delta(\mathbf{x})}{\Delta(\mathbf{y})} \prod_i S_{\square}(y_i + \dots + t^r y_i - \mathbf{x}).$$

For a cubic root of unity, the Izergin-Korepin determinant specializes, up to evident factors, into a **Schur function in the union of  $\mathbf{x}$  and  $\mathbf{y}$** , which can be used in the enumeration of alternating sign matrices.

For an odd level  $r$ ,  $F_n^r(\mathbf{x}, \mathbf{y})$  possesses similar properties when  $t = \exp(2\pi\sqrt{-1}/(r+2))$ . The determinant is equal to

$$\det \left( \frac{x_i - y_j}{x_i^{r+2} - y_j^{r+2}} \right) = \frac{R(\mathbf{x}, \mathbf{y}) \Delta(\mathbf{x}) \Delta(\mathbf{y})}{R(\mathbf{x}^{r+2}, \mathbf{y}^{r+2})} S_{0,0,r,r,\dots,(n-1)r,(n-1)r}(\mathbf{x} + \mathbf{y})$$

morphism

$$f \longrightarrow f \square_{\omega} := \sum_{w \in \mathfrak{S}_n} \left( f \frac{\prod_{i < j} (tx_i - x_j)}{x_i - x_j} \right)^w \in \mathfrak{Sym}(\mathbf{x}).$$

$\square_{\omega}$  is a symmetrizer which sends **dominant monomials** onto **Hall-Littlewood polynomials**, up to normalization. It can be factorized in the Hecke algebra. For example, for  $n=3$ ,

$$\square_{\omega} = \square_1 \left( \square_2 - \frac{t}{1+t} \right) \square_1$$

The usual generators of the Hecke algebra are

$$T_i := \square_i - 1.$$

We also need an **affine operation**  $\theta$ , the **incrementation** of indices :

$$x_i \theta = x_{i+1}, \quad \text{periodicity } x_{i+n} = x_i t^{-1}.$$

We have now all the ingredients to cook-up the Gaudin-Izergin-Korepin function.

**Theorem.** *Let  $f$  be a function of 1 variable. Then*

$$\begin{aligned} f(x_1) R(\mathbf{x} - x_1, \mathbf{y})(1 - t\theta) \cdots (1 - t^{n-1}\theta) \square_\omega \\ = \left( f(x_1) x_2 \cdots x_n \partial_1 \cdots \partial_{n-1} \right) F_n^1(\mathbf{x}, \mathbf{y}) [n]!. \end{aligned}$$

*In particular, when  $f = 1$ ,*

$$R(\mathbf{x} - x_1, \mathbf{y})(1 - t\theta) \cdots (1 - t^{n-1}\theta) \square_\omega = F_n^1(\mathbf{x}, \mathbf{y}) [n]!$$

The proof consists in [testing the specializations](#) of both sides of the equation in the points

$$\mathbf{y} \subset \{x_1, \dots, x_n, x_2 t^{-1}, \dots, x_n t^{-1}\}.$$

Explicitely, for  $n = 4$ , one starts with

$$\begin{aligned}
& f(x_1) R(x_2+x_3+x_4, \mathbf{y})(1 - t\theta)(1 - t^2\theta)(1 - t^3\theta) \\
&= f(x_1) R(x_2+x_3+x_4, \mathbf{y}) - t \begin{bmatrix} 3 \\ 1 \end{bmatrix} f(x_2) R(x_3+x_4+x_1/t, \mathbf{y}) \\
&\quad + t^3 \begin{bmatrix} 3 \\ 2 \end{bmatrix} f(x_3) R(x_4+x_1/t+x_2/t, \mathbf{y}) \\
&\quad\quad - t^6 f(x_4) R(x_1/t+x_2/t+x_3/t, \mathbf{y})
\end{aligned}$$



The sum under the symmetric group can be written

$$\begin{aligned}
& \sum_w \left( f(x_1) R(x_2 + x_3 + x_4, \mathbf{y}) \frac{\Delta_t(1234)}{\Delta(1234)} \right)^w \\
& - t \begin{bmatrix} 3 \\ 1 \end{bmatrix} \sum_w \left( f(x_1) R(x_3 + x_4 + x_2 t^{-1}, \mathbf{y}) \frac{\Delta_t(2134)}{\Delta(2134)} \right)^w \\
& + t^3 \begin{bmatrix} 3 \\ 2 \end{bmatrix} \sum_w \left( f(x_1) R(x_4 + x_2 t^{-1} + x_3 t^{-1}, \mathbf{y}) \frac{\Delta_t(3214)}{\Delta(3214)} \right)^w \\
& - t^6 \sum_w \left( f(x_1) R(x_2 t^{-1} + x_3 t^{-1} + x_4 t^{-1}, \mathbf{y}) \frac{\Delta_t(4231)}{\Delta(4231)} \right)^w
\end{aligned}$$

using the Vandermonde, and the  $t$ -Vandermonde

$$\Delta_t = \prod_{i < j} (tx_i - x_j).$$

One checks the specializations of the coefficient of  $f(x_1)$  in both sides. They coincide, knowing ([Lagrange interpolation](#) !) that  $f(x_1)x_2x_3x_4\partial_1\partial_2\partial_3$  is equal to

$$\begin{aligned} & \frac{f(x_1)x_2x_3x_4}{R(x_1, x_2+x_3+x_4)} + \frac{f(x_2)x_1x_3x_4}{R(x_2, x_1+x_3+x_4)} \\ & + \frac{f(x_3)x_1x_2x_4}{R(x_3, x_1+x_2+x_4)} + \frac{f(x_4)x_1x_2x_3}{R(x_4, x_1+x_2+x_3)}. \end{aligned}$$

*Remark due to Pasquier.*  $F_n^1(\mathbf{x}, \mathbf{y})$  is a factor of the (non-symmetric) Macdonald polynomial of index  $[2n-2, \dots, 2, 0, 2n-2, \dots, 2, 0]$ , when  $q = t^6$ .

Indeed, the affine Hecke algebra is not far. To introduce it in the pedestrian way that I favoured with M.P. Schützenberger, I shall use  $\square_i := \square_{s_i}$  which is the morphism :

$$f \longrightarrow f \square_i = f(tx_i - x_{i+1}) \partial_i .$$

More generally, the *Euler-Poincaré characteristic* is the

$$f(x_1 | x_2 \dots x_{n+1})$$

symmetric

interpolation points:  $A, n+1$

$$\sum_{a \in A} \frac{f(a | A \setminus a)}{R(a | A \setminus a)}$$

$$\frac{1}{1-q} = \{1, q, q^2, q^3, \dots\}$$

Lagrange Interpolation

Taking  $f = 1$ , we shall get a statement about **Macdonald polynomials** already obtained by Warnaar.

Let us use  **$\lambda$ -rings**. Given three alphabets  $A, B, C$ , the function  $\sigma_1(AB - C)$  is by definition equal to  $\prod_c(1 - c) \prod_{a,b}(1 - ab)^{-1}$ , and therefore, one infers that

$$\sigma_1 \left( \mathbf{xy} \frac{1 - t}{1 - q} \right) = \prod_{x,y} \prod_{i \geq 0} \frac{1 - tq^i xy}{1 - q^i xy}$$

This is the generating function of the symmetric Macdonald polynomials. Let  $\tau_q$  be the following incrementation of indices:

$$x_i \tau_q = x_{i+1}, \quad \text{periodicity } x_{i+n} = qx_i.$$

Inspired by the preceding computation, we now want

$$\sigma_1 \left( \mathbf{xy} \frac{1-t}{1-q} \right) (1-t\tau_q) \cdots (1-t^n\tau_q) \square_\omega.$$

Since

$$\mathbf{x} \frac{1-t}{1-q} \tau_q = \mathbf{x} \frac{1-t}{1-q} + x_1(t-1),$$

$q$  disappears from the computation ! Indeed

$$\begin{aligned} & \sigma_1 \left( \mathbf{xy} \frac{1-t}{1-q} \right) (1-t\tau_q) \cdots (1-t^n\tau_q) \square_\omega \\ &= \sigma_1 (\mathbf{xy}(1-t)) (1-t\tau_0) \cdots (1-t^n\tau_0) \square_\omega \sigma_1 \left( \mathbf{xy} q \frac{1-t}{1-q} \right) \end{aligned}$$

Thus the starting function is now

$$\sigma_1(\mathbf{xy}(1-t)) = \prod (1 - txy)(1 - xy)^{-1}$$

that one rewrites, using the variables  $y_i^\vee = y_i^{-1}$ , as

$$R(tx_1 + \cdots + tx_n, \mathbf{y}^\vee) / R(\mathbf{x}, \mathbf{y}^\vee).$$

We are back to the functions used in the preceding theorem, which gives, as a corollary, that

$$\sigma_1(\mathbf{xy}(1-t))(1 - t\tau_0) \cdots (1 - t^n \tau_0) \square_\omega = \sigma_1(\mathbf{xy}) \tilde{F}_n^1(\mathbf{x}, \mathbf{y}) [n]!,$$

where  $\tilde{F}_n^1(\mathbf{x}, \mathbf{y})$  is the Gaudin function

$$(x_1 \cdots x_n)^{n-1} F_n^1(\mathbf{x}^\vee, \mathbf{y}).$$



Notice that  $\sigma_1(\mathbf{xy}(1-t))$  is the generating function of Hall-Littlewood polynomials. Reintroducing the factor in  $q$ , we get the action on the generating function of Macdonald polynomials :

$$\begin{aligned} \sigma_1 \left( \mathbf{xy} \frac{1-t}{1-q} \right) (1 - t\tau_q) \cdots (1 - t^n \tau_q) \square_\omega \\ = \sigma_1 \left( \mathbf{xy} \frac{1-t}{1-q} \right) \sigma_1(t\mathbf{xy}) \tilde{F}_n^1(\mathbf{x}, \mathbf{y}) . \end{aligned}$$

Warnaar writes differently the LHS. Indeed, there exists commuting operators  $\xi_1, \dots, \xi_n$  which act **diagonally** on the basis of non-symmetric Macdonald polynomials. The eigenvalues are  $q^{\lambda_1} t^{n-1}, \dots, q^{\lambda_n} t^0$  for the polynomial  $M_\lambda$  indexed by  $\lambda : \lambda_1 \geq \dots \geq \lambda_n \geq 0$ . The symmetric functions in  $\xi_1, \dots, \xi_n$  act therefore **diagonally** on the symmetric Macdonald polynomials. Knowing that  $\square_\omega \xi_i \square_\omega = \square_\omega t^{n-i} \tau_q \square_\omega$ , one has

$$\begin{aligned}
 P_\lambda(\mathbf{x}; q, t) \prod_{i=1}^n (1 - q^{\lambda_i} t^{n-i+1}) \\
 = P_\lambda(\mathbf{x}; q, t) (1 - t\tau_q) \cdots (1 - t^n \tau_q) \square_\omega .
 \end{aligned}$$

In final, the preceding theorem is **Warnaar's** theorem :

$$\sum_{\lambda} b_{\lambda} P_{\lambda}(\mathbf{x}; q, t) P_{\lambda}(\mathbf{y}; q, t) \prod_{i=1}^n (1 - q^{\lambda_i} t^{n-i+1})$$

$$= \sigma_1 \left( \mathbf{xy} \frac{1-t}{1-q} \right) \sigma_1(t\mathbf{xy}) \tilde{F}_n^1(\mathbf{x}, \mathbf{y}),$$

up to notations, signs and missing factorials.

This shows another link between the **Izergin-Korepin determinant** and **Macdonald polynomials**.