

Multilinear Kakeya type inequalities and factorization

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Multilinear Brascamp–Lieb type inequalities and factorisation

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joint with Anthony Carbery

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Before we begin

- ▶ Let $M \geq 0$ be a function in $L^2(\mathbb{R}^2)$ (or $L^2(\mathbb{Z}^2)$ or $L^2(\mathbb{F}_q^2)$)
- ▶ Assume $\|M\|_2 = 1$

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Factorisation result

There exist $S(x, y)$, $T(x, y)$ such that

- ▶ $M(x, y) = \sqrt{S(x, y)T(x, y)}$ and
- ▶ $\sup_x \int_y S(x, y) dy \leq 1$
- ▶ $\sup_y \int_x T(x, y) dx \leq 1$

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- ▶ Follows from

$$\int_x \int_y f(x)g(y)dydx \leq \int_x f(x)dx \int_y g(y)dy$$

Outline

- ▶ Background
- ▶ A piece of argument from the literature in a model case
- ▶ Reversing the implication
- ▶ What is the general case
- ▶ Some consequences
- ▶ The argument for the general case

Where are we coming from

- ▶ Kakeya conjecture / inequality
- ▶ Multilinear Kakeya inequality, Bennett–Carbery–Tao
- ▶ Dvir introduces polynomial method on finite fields
- ▶ Guth adapts it to \mathbb{R}^d with algebraic topology

Where are we coming from, part II

- ▶ The Brascamp–Lieb inequality

$$\int_{\mathbb{R}^n} \prod_{j=1}^d f_j^{r_j}(B_j x) dx \leq C \prod_{j=1}^d \left(\int_{\mathbb{R}^{n_j}} f_j(x_j) dx_j \right)^{r_j}$$

- ▶ B_j are linear transformations $\mathbb{R}^n \rightarrow \mathbb{R}^{n_j}$
- ▶ r_j are non-negative real numbers

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- ▶ B_j are linear transformations $\mathbb{R}^n \rightarrow \mathbb{R}^{n_j}$
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- ▶ Multilinear Hölder's inequality, if $r_1 + \dots + r_d = 1$,

$$\int_{\mathbb{R}^n} \prod_{j=1}^d f_j^{r_j}(x) dx \leq \prod_{j=1}^d \left(\int_{\mathbb{R}^n} f_j(x_j) dx \right)^{r_j}$$

- ▶ Loomis-Whitney inequality

$$\int f(y, z)^{\frac{1}{2}} g(x, z)^{\frac{1}{2}} h(x, y)^{\frac{1}{2}} dx dy dz \leq \|f\|_{L^1}^{\frac{1}{2}} \|g\|_{L^1}^{\frac{1}{2}} \|h\|_{L^1}^{\frac{1}{2}}$$

- ▶ Young's convolution inequality

$$\int f(x)^{\frac{2}{3}} g(x-y)^{\frac{2}{3}} h(y)^{\frac{2}{3}} dx dy \leq C \|f\|_{L^1}^{\frac{2}{3}} \|g\|_{L^1}^{\frac{2}{3}} \|h\|_{L^1}^{\frac{2}{3}}$$

Where are we coming from, part II

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$$\int_x \int_y f(x) g(y) dy dx \leq \int_x f(x) dx \int_y g(y) dy$$

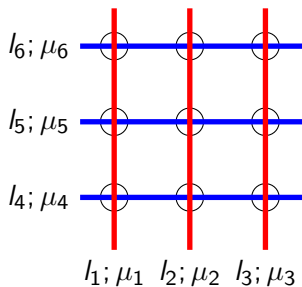
- ▶ Young's convolution inequality

$$\int f(x)^{\frac{2}{3}} g(x-y)^{\frac{2}{3}} h(y)^{\frac{2}{3}} dx dy \leq C \|f\|_{L^1}^{\frac{2}{3}} \|g\|_{L^1}^{\frac{2}{3}} \|h\|_{L^1}^{\frac{2}{3}}$$

Model case: Loomis–Whitney on a finite field

$$\sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \mu_{l_j} \right)^{\frac{1}{d-1}} \leq K_d \prod_{j=1}^d \left(\sum_{l_j \in L_j} \mu_{l_j} \right)^{\frac{1}{d-1}}$$

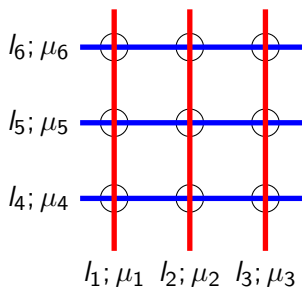
- $L_1 = \{l_1, l_2, l_3\}$, $L_2 = \{l_4, l_5, l_6\}$



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Guth's proof strategy

$$\sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{\substack{l_j \\ x \in l_j \in L_j}} \mu_{l_j} \right)^{\frac{1}{d-1}} \leq K_d \prod_{j=1}^d \left(\sum_{l_j \in L_j} \mu_{l_j} \right)^{\frac{1}{d-1}}$$

Guth's proof strategy

$$\sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{\substack{I_j \\ x \in I_j \in L_j}} \mu_{I_j} \right)^{\frac{1}{d-1}} \leq K_d^{\frac{d}{d-1}} \prod_{j=1}^d \left(\sum_{I_j \in L_j} \mu_{I_j} \right)^{\frac{1}{d-1}}$$

► Need this:

Given $M : \mathbb{F}_q^d \rightarrow \mathbb{R}_+$,

find $S_j : \mathbb{F}_q^d \rightarrow \mathbb{R}_+$, $j = 1, \dots, d$

such that $M(x) \leq \prod_{j=1}^d S_j(x)^{1/d} \quad \forall x \in \mathbb{F}_q^d$

$$\sum_{x \in I_j} S_j(x) \leq K_d \|M\|_d \quad \forall j \quad \forall I_j \in L_j$$

Guth's argument

$$\begin{aligned} \sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in I_j \in L_j} \mu_{I_j} \right)^{\frac{1}{d-1}} &= \sum_x M(x)^d = \sum_x M(x) M(x)^{d-1} \\ &\leq \sum_x \left(\prod_{j=1}^d S_j(x)^{\frac{1}{d}} \right) \left(\prod_{j=1}^d \sum_{x \in I_j \in L_j} \mu_{I_j} \right)^{\frac{1}{d}} \\ &\leq \prod_{j=1}^d \left(\sum_x S_j(x) \sum_{x \in I_j \in L_j} \mu_{I_j} \right)^{\frac{1}{d}} \\ &\leq \prod_{j=1}^d \left(\sum_{I_j \in L_j} \mu_{I_j} \sum_{x \in I_j} S_j(x) \right)^{\frac{1}{d}} \\ &\leq K_d \|M\|_d \prod_{j=1}^d \left(\sum_{I_j \in L_j} \mu_{I_j} \right)^{\frac{1}{d}} \end{aligned}$$

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$$M(x) \leq \prod_{j=1}^d S_j(x)^{1/d}$$

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$$\sum_{x \in I_j} S_j(x) \leq K_d \|M\|_d$$

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Convex optimisation

- ▶ Given M

minimise K

such that $M(x) \leq \prod_{j=1}^d S_j(x)^{1/d} \quad \forall x \in \mathbb{F}_q^d$

and $\sum_{x \in I_j} S_j(x) \leq K \|M\|_d \quad \forall j \quad \forall I_j \in L_j$

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- ▶ $d q^d + 1$ variables
- ▶ Try duality theory

The Lagrangian

$$L(K, S_j; g, \lambda_{l_j}) = K + \sum_{x \in \mathbb{F}_q^d} g(x) \left(M(x) - \prod_{j=1}^d S_j(x)^{1/d} \right) \\ + \sum_{j=1}^d \sum_{l_j \in L_j} \lambda_{l_j} \left(\sum_{x \in l_j} S_j(x) - K \|M\|_d \right)$$

The Lagrangian

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- ▶ Dual function $h(g, \lambda_{l_j}) = \inf_{K, S_j} L$

The Lagrangian

$$L = \sum_{x \in \mathbb{F}_q^d} g(x)M(x) + \sum_{x \in \mathbb{F}_q^d} \left(\sum_{j=1}^d \left(\sum_{x \in I_j \in L_j} \lambda_{I_j} \right) S_j(x) - g(x) \prod_{j=1}^d S_j(x)^{1/d} \right) \\ + \left(1 - \|M\|_d \sum_{j=1}^d \sum_{I_j \in L_j} \lambda_{I_j} \right) K$$

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► Dual function $h(g, \lambda_{l_j}) = \inf_{K, S_j} L$

► $\|M\|_d \sum_{j=1}^d \sum_{l_j \in L_j} \lambda_{l_j} = 1$

► $\sum_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right) S_j(x) \geq d \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{1/d} \prod_{j=1}^d S_j(x)^{1/d}$

► $g(x) \leq d \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{1/d}$

The dual problem

$$\text{maximise } d \sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{1/d} M(x)$$

$$\text{such that } \|M\|_d \sum_{j=1}^d \sum_{l_j \in L_j} \lambda_{l_j} = 1$$

Estimating the dual problem

$$\begin{aligned} \sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d}} M(x) &\leq \left(\sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d-1}} \right)^{\frac{d-1}{d}} \|M\|_d \\ &\leq \left(K_d^{\frac{d}{d-1}} \prod_{j=1}^d \left(\sum_{l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d-1}} \right)^{\frac{d-1}{d}} \|M\|_d \\ &= K_d \prod_{j=1}^d \left(\sum_{l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d}} \|M\|_d \\ &\leq K_d \frac{1}{d} \sum_{j=1}^d \sum_{l_j \in L_j} \lambda_{l_j} \|M\|_d \end{aligned}$$

Estimating the dual problem

$$\begin{aligned} \sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d}} M(x) &\leq \left(\sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d-1}} \right)^{\frac{d-1}{d}} \|M\|_d \\ &\leq \left(K_d^{\frac{d}{d-1}} \prod_{j=1}^d \left(\sum_{l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d-1}} \right)^{\frac{d-1}{d}} \|M\|_d \\ &= K_d \prod_{j=1}^d \left(\sum_{l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d}} \|M\|_d \\ &\leq K_d \frac{1}{d} \sum_{j=1}^d \sum_{l_j \in L_j} \lambda_{l_j} \|M\|_d \end{aligned}$$

Estimating the dual problem

$$\begin{aligned} \sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d}} M(x) &\leq \left(\sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d-1}} \right)^{\frac{d-1}{d}} \|M\|_d \\ &\leq \left(K_d^{\frac{d}{d-1}} \prod_{j=1}^d \left(\sum_{l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d-1}} \right)^{\frac{d-1}{d}} \|M\|_d \\ &= K_d \prod_{j=1}^d \left(\sum_{l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d}} \|M\|_d \\ &\leq K_d \frac{1}{d} \sum_{j=1}^d \sum_{l_j \in L_j} \lambda_{l_j} \|M\|_d \end{aligned}$$

Estimating the dual problem

$$\begin{aligned} \sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d}} M(x) &\leq \left(\sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d-1}} \right)^{\frac{d-1}{d}} \|M\|_d \\ &\leq \left(K_d^{\frac{d}{d-1}} \prod_{j=1}^d \left(\sum_{l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d-1}} \right)^{\frac{d-1}{d}} \|M\|_d \\ &= K_d \prod_{j=1}^d \left(\sum_{l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d}} \|M\|_d \\ &\leq K_d \frac{1}{d} \sum_{j=1}^d \sum_{l_j \in L_j} \lambda_{l_j} \|M\|_d \end{aligned}$$

Estimating the dual problem

$$\begin{aligned} \sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d}} M(x) &\leq \left(\sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d-1}} \right)^{\frac{d-1}{d}} \|M\|_d \\ &\leq \left(K_d^{\frac{d}{d-1}} \prod_{j=1}^d \left(\sum_{l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d-1}} \right)^{\frac{d-1}{d}} \|M\|_d \\ &= K_d \prod_{j=1}^d \left(\sum_{l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d}} \|M\|_d \\ &\leq K_d \frac{1}{d} \sum_{j=1}^d \sum_{l_j \in L_j} \lambda_{l_j} \|M\|_d \end{aligned}$$

The dual problem

$$\text{maximise } d \sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{1/d} M(x)$$

$$\text{such that } \|M\|_d \sum_{j=1}^d \sum_{l_j \in L_j} \lambda_{l_j} = 1$$

$$\sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d}} M(x) \leq K_d \frac{1}{d} \sum_{j=1}^d \sum_{l_j \in L_j} \lambda_{l_j} \|M\|_d$$

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$$\sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{\frac{1}{d}} M(x) \leq K_d \frac{1}{d} \sum_{j=1}^d \sum_{l_j \in L_j} \lambda_{l_j} \|M\|_d$$

- ▶ The maximum is less than K_d

The dual problem

$$\text{maximise } d \sum_{x \in \mathbb{F}_q^d} \prod_{j=1}^d \left(\sum_{x \in l_j \in L_j} \lambda_{l_j} \right)^{1/d} M(x)$$

$$\text{such that } \|M\|_d \sum_{j=1}^d \sum_{l_j \in L_j} \lambda_{l_j} = 1$$

- ▶ The maximum is less than K_d
- ▶ Strong duality gives a solution to

$$M(x) \leq \prod_{j=1}^d S_j(x)^{1/d} \quad x \in \mathbb{F}_q^d$$

$$\sum_{x \in l_j} S_j(x) \leq K_d \|M\|_d \quad \forall j \quad \forall l_j \in L_j$$

Equivalence

$$\left. \begin{aligned} M(x) &\leq \prod_j S_j(x)^{1/d} \quad x \in \mathbb{F}_q^d \\ \sup_{l_j \in L_j} \sum_{x \in l_j} S_j(x) &\leq K \|M\|_{L^d(\mathbb{F}_q^d)} \quad \forall j \end{aligned} \right\} \Leftrightarrow \left\{ \begin{aligned} &\sum_{x \in \mathbb{F}_q^d} \prod_j \sum_{x \in l_j \in L_j} \mu_{l_j}^{1/(d-1)} \\ &\leq K^{d/(d-1)} \prod_j \left(\sum_{l_j \in L_j} \mu_{l_j} \right)^{\frac{1}{d-1}} \end{aligned} \right.$$

General version

$$\left. \begin{aligned} M(x) &\leq \prod_j S_j(x)^{1/d} \quad x \in \mathbb{F}_q^d \\ \sup_{l_j \in L_j} \sum_{x \in l_j} S_j(x) &\leq K \|M\|_{L^d(\mathbb{F}_q^d)} \quad \forall j \end{aligned} \right\} \Leftrightarrow \left\{ \begin{aligned} &\sum_{x \in \mathbb{F}_q^d} \prod_j \sum_{x \in l_j \in L_j} \mu_{l_j}^{1/(d-1)} \\ &\leq K^{\frac{d}{d-1}} \prod_j \left(\sum_{l_j \in L_j} \mu_{l_j} \right)^{\frac{1}{d-1}} \end{aligned} \right.$$

$$\left. \begin{aligned} M(x) &\leq \prod_j S_j(x)^{r_j/r} \quad \text{a.e. } x \in X \\ \|T_j^* S_j\|_{L^{p_j'}(Y_j)} &\leq K \|M\|_{L^{r'}(X)} \quad \forall j \end{aligned} \right\} \Leftrightarrow \left\{ \begin{aligned} &\int_X \prod_j T_j f_j(x)^{r_j} d\mu(x) \\ &\leq K^r \prod_j \|f_j\|_{L^{p_j}(Y_j)}^{r_j} \end{aligned} \right.$$

- ▶ $(X, d\mu)$ and $(Y_j, d\nu_j)$ for $j = 1, \dots, d$ measure spaces
- ▶ T_1, \dots, T_d positive linear operators from functions on Y_j to functions on X
- ▶ $1 \leq p_j \leq \infty$
- ▶ $r_j > 0$ for $j = 1, \dots, d$, $r = \sum_j r_j$ with $1 \leq r < \infty$
- ▶ $M \in L^{r'}$ non-negative

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$$\left. \begin{aligned} M(x) &\leq \prod_j S_j(x)^{1/d} \quad x \in \mathbb{F}_q^d \\ \sup_{l_j \in L_j} \sum_{x \in l_j} S_j(x) &\leq K \|M\|_{L^d(\mathbb{F}_q^d)} \quad \forall j \end{aligned} \right\} \Leftrightarrow \left\{ \begin{aligned} &\sum_{x \in \mathbb{F}_q^d} \prod_j \sum_{x \in l_j \in L_j} \mu_{l_j}^{1/(d-1)} \\ &\leq K^{\frac{d}{d-1}} \prod_j \left(\sum_{l_j \in L_j} \mu_{l_j} \right)^{\frac{1}{d-1}} \end{aligned} \right.$$

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The Brascamp–Lieb inequality

$$\left\| \int_{Y_j^\perp} S_j(y, \tilde{y}) d\tilde{y} \right\|_{L^\infty(\mathbb{R}^{n_j})} \leq K \|M\|_{L^{r'}(\mathbb{R}^n)} \left\{ \begin{array}{l} M(x) \leq \prod_j S_j(x)^{r_j/r} \quad \text{a.e. } x \in \mathbb{R}^n \\ \int_{\mathbb{R}^n} \prod_j f_j(B_j x)^{r_j} dx \\ \leq K^r \prod_j \|f_j\|_{L^1(\mathbb{R}^{n_j})}^{r_j} \end{array} \right\} \Leftrightarrow$$

- ▶ $X \simeq \mathbb{R}^n$, $Y_j \simeq \mathbb{R}^{n_j}$, $Y_j \subset X$
- ▶ $B_j : X \rightarrow Y_j$ projection
- ▶ $0 < r_j \leq 1$, $((B_j), (r_j))$ Brascamp–Lieb datum
- ▶ $T_j f_j(x) = f_j(B_j x)$ so $T_j^* S_j(y) = \int_{Y_j^\perp} S_j(y, \tilde{y}) d\tilde{y}$
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The Brascamp–Lieb factorisation

$$\left\| \left. \begin{aligned} M(x) &\leq \prod_j S_j(x)^{r_j/r} \quad \text{a.e. } x \in \mathbb{R}^n \\ \left\| \int_{Y_j^\perp} S_j(y, \tilde{y}) d\tilde{y} \right\|_{L^\infty(\mathbb{R}^{n_j})} &\leq K \|M\|_{L^{r'}(\mathbb{R}^n)} \end{aligned} \right\} \Leftrightarrow \left\{ \begin{aligned} &\int_{\mathbb{R}^n} \prod_j f_j(B_j x)^{r_j} dx \\ &\leq K^r \prod_j \|f_j\|_{L^1(\mathbb{R}^{n_j})}^{r_j} \end{aligned} \right.$$

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Loomis–Whitney

- ▶ Two dimensions (Fubini)
 - ▶ $M \in L^2(\mathbb{R}^2)$, $\|M\|_2 = 1$
 - ▶ $M(x, y) = \sqrt{S(x, y)T(x, y)}$.
 - ▶ $\sup_x \int_y S(x, y) dy \leq 1$
 - ▶ $\sup_y \int_x T(x, y) dx \leq 1$

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- ▶ $\sup_y \int_x T(x, y) dx \leq 1$

- ▶ Three dimensions

- ▶ $M \in L^3(\mathbb{R}^3)$, $\|M\|_3 = 1$
- ▶ $M(x, y, z) = \sqrt[3]{S(x, y, z)T(x, y, z)U(x, y, z)}$.
- ▶ $\sup_{x,y} \int_z S(x, y, z) dz \leq 1$
- ▶ $\sup_{x,z} \int_y T(x, y, z) dy \leq 1$
- ▶ $\sup_{y,z} \int_x U(x, y, z) dx \leq 1$

Geometric Brascamp–Lieb

- ▶ $Y_j \simeq \mathbb{R}^{n_j}$ subspaces of $X \simeq \mathbb{R}^n$, B_j projection onto Y_j
- ▶ $0 < r_j \leq 1$, $r = \sum r_j$
- ▶ $\sum_{j=1}^d r_j B_j^* B_j = \text{Id}_{\mathbb{R}^n}$
- ▶ $\int \prod_{j=1}^d f_j^{r_j}(B_j x) dx \leq \prod_{j=1}^d \left(\int_{Y_j} f_j \right)^{r_j}$

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Thank you