

The complexity of enumeration and counting for acyclic conjunctive queries

Arnaud Durand

Cambridge, march 2012

Counting

- ▶ Output the number of solutions
- ▶ Example : $\#\text{SAT}$, $\#\text{PERFECT MATCHING}$, PERMANENT , problems on graph, knots polynomials

This talk: look at these two tasks for fragments of conjunctive (i.e. $\{\exists, \wedge\}$) queries (mainly **ACQ**)

Enumeration

- ▶ Generate all solutions one by one
- ▶ Output can be large
- ▶ Natural algorithmic task

- ▶ Enumeration
 - ▶ A tour on complexity measure for enumeration
 - ▶ Results for query problems
 - ▶ Complexity characterization for **ACQ**
- ▶ Weighted Counting
 - ▶ Polynomial representation of query
 - ▶ Counting through polynomial evaluation
 - ▶ Characterization of the tractability frontier for **ACQ**

Common point : role of free variables in formulas.

Enumeration: measure of complexity

Intractability

- ▶ **NP**-completeness of the existence of one solution (hard to start)
- ▶ hard after generation of a part of the solution set (hard to continue)

Tractability

- ▶ Polynomial total time (succeed but don't know how)
- ▶ Incremental Polynomial Time (harder as the number of generated solutions increases)
- ▶ Polynomial delay (regular process)

Example

- ▶ Generate all models of a propositional formula (**hum... intractable**)
- ▶ Generate all independant sets of maximum size of a graph (**intractable**)
- ▶ Generate all maximal (for inclusion) independant sets
 - ▶ **polynomial delay for lexicographic ordering**
 - ▶ **intractable for reverse lexicographic ordering**
- ▶ Generate all models of a 2-CNF propositional formula (**polynomial delay**)

Complexity of enumeration of satisfiability problems

- ▶ Let φ be a formula with variables x_1, \dots, x_n .
- ▶ If $\varphi \wedge \neg x_1 \in SAT$: enumerate all solutions of $\varphi \wedge \neg x_1$
- ▶ If $\varphi \wedge x_1 \in SAT$: enumerate all solutions of $\varphi \wedge x_1$

Theorem (Creignou, Hebrard'97)

In the Boolean case, there is no other efficient algorithm than the one described above. Consequently, easy (i.e. polynomial delay) cases are Horn, Anti-Horn, 2-CNF and affine.

Schnoor & Schnoor'07 The situation is more complex for CSP on arbitrary finite domain

See also **Bulatov, Dalmau, Grohe & Marx'10**

Enumeration algorithm: some precision

Algorithms in two steps: $+ \left\{ \begin{array}{l} \text{Precomputation step} \\ \text{Enumeration step(s)} \end{array} \right.$

- ▶ Computation models (in this talk) : *RAM* with uniform cost (but with bounded values in registers)
- ▶ Let \mathcal{A} be an enumeration algorithm for $\text{ENUM}(R)$ and $x \in I$.
 - ▶ $time_i(x)$ denotes the time when the algorithm finishes to write the i th solution if it exists.
 - ▶ $delay_i(x) = time_{i+1}(x) - time_i(x)$.

Delay may be : sub-exponential, polynomial, linear, constant (?)

Warning: space is an important factor!

Small classes of enumeration problems

- ▶ An enumeration algorithm \mathcal{A} is *constant delay* if there is a constant c such that for any $x \in I$ and i , $delay_i(x) \leq c$.
- ▶ $ENUM(R)$ is computable with constant delay ($ENUM(R) \in \text{CONSTANT-DELAY}$) if there is a constant delay algorithm \mathcal{A} which computes $ENUM(R)$.
- ▶ $ENUM(R) \in \text{CONSTANT-DELAY}_{lin}$ if it is reducible in linear time (in the input size) to a problem in CONSTANT-DELAY

precomputation \equiv reduction

Query

- ▶ \mathcal{L} = set of formulas
- ▶ \mathcal{S} = set of (finite) structures

Enumeration problem

ENUM(\mathcal{L}, \mathcal{S})

input: $\phi \in \mathcal{L}$ and $\mathcal{A} \in \mathcal{S}$

output: enumerate $\phi(\mathcal{A}) = \{\bar{a} \in D^k \mid (\mathcal{A}, \bar{a}) \models \phi(\bar{x})\}$

Counting problem

COUNT(\mathcal{L}, \mathcal{S})

input: $\phi \in \mathcal{L}$ and $\mathcal{A} \in \mathcal{S}$

output: $|\phi(\mathcal{A})| = |\{\bar{a} \in D^k \mid (\mathcal{A}, \bar{a}) \models \phi(\bar{x})\}|$

Framework for complexity analysis of multi-parameterized problems

Parameters

\mathcal{A} , φ (inputs)

$\varphi(\mathcal{A})$

But also: number of free variables, of quantified variables, alternation, arity...

- ▶ $|\mathcal{A}|$, $|\varphi|$ and $|\varphi(\mathcal{A})|$: **Combined Complexity**.
- ▶ $|\mathcal{A}|$ and $|\varphi(\mathcal{A})|$: **Data Complexity**
- ▶ $|\varphi|$ and $|\varphi(\mathcal{A})|$: **Expression Complexity**

Parameterized complexity: express the complexity in terms of $|\mathcal{A}|$, $|\varphi|$ and $|\varphi(\mathcal{A})|$ but consider $|\varphi|$ as a parameter.

Data complexity

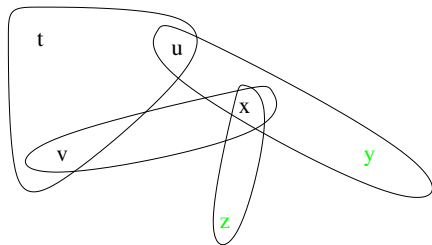
- ▶ DEG_d^τ : the class of τ -structures of maximal degree $\leq d$.
 $\text{ENUM}(\text{FO}, \text{DEG}_d^\tau) \in \text{CONSTANT-DELAY}_{lin}$. (D. Grandjean'07, Lindell'08)
Delay : triply exponential in the size of formula (Kazana, Segoufin'10)
- ▶ TW_k^τ : the class of τ -structures of treewidth at most k .
 $\text{ENUM}(\text{MSO}, \text{TW}_k^\tau) \in \text{LINEAR-DELAY}_{lin}$. (Bagan'06, Courcelle'07, Frick, ..)

Conjunctive Queries (**CQ**)

- ▶ \mathcal{L} : $\{\exists, \wedge\}$ -fragment of first-order logic i.e. formulas $\varphi(\bar{x}) \equiv \exists \bar{y} \psi(\bar{x}, \bar{y})$ where ψ is a conjunction of atoms.
- ▶ Combined complexity of model checking: **NP**-complete. No hope for enumeration.
- ▶ Tractable fragments for decision by restricting the formula (acyclicity, hypergraph decomposition methods).

Hypergraph associated to formulas

- ▶ The *hypergraph* of a formula φ is the hypergraph $H = (V, E)$ such that
 - ▶ V is the set of variables of φ
 - ▶ for each atom $R(\vec{v})$ of φ , we associate a hyperedge $\text{var}(R(\vec{v}))$



Acyclic Hypergraph

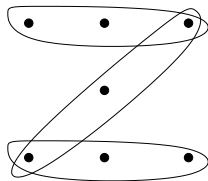
Most general notion: α -acyclicity.

An hypergraph is α -acyclic if the application of the following rules as long as possible outputs the empty hypergraph:

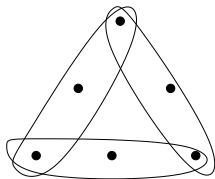
1. Remove hyperedges contained in other hyperedges;
2. Remove vertices that appear in at most one hyperedge.

A conjunctive query is acyclic if its associated hypergraph is acyclic.

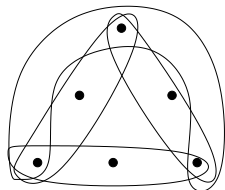
Acyclic hypergraph/query: examples



Acyclic



Cyclic



Acyclic

Large class of hypergraph/queries.

Base case for more evolved decomposition methods.

Remark: Adding an edge to a cyclic hypergraph may result in an acyclic hypergraph.

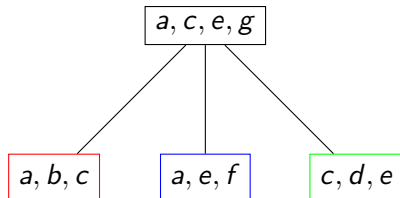
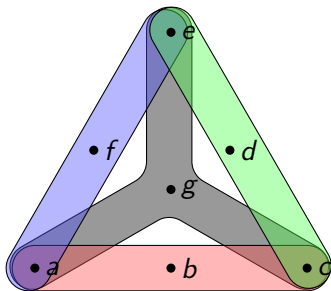
Acyclic Hypergraph: alternative definition

A join tree of a hypergraph $\mathcal{H} = (V, E)$ is a pair (\mathcal{T}, λ) where $\mathcal{T} = (V_{\mathcal{T}}, T)$ is a tree and λ is a function from $V_{\mathcal{T}}$ to E such that:

- ▶ For each $e \in E$, there is a $t \in V_{\mathcal{T}}$ such that $\lambda(t) = e$ (each vertex of \mathcal{T} is a bag containing one edge)
- ▶ For each $v \in V$, the set $\{t \in V_{\mathcal{T}} : v \in \lambda(t)\}$ is a connected subtree of T .

Equivalent characterization : \mathcal{H} is acyclic iff it has a join tree.

Acyclic Hypergraph: alternative definition



Complexity of acyclic conjunctive queries

ACQ Acyclic Conjunctive Queries.

ACQ \neq Acyclic Conjunctive Queries with inequalities

Example:

$$\varphi(x, y) \equiv \exists z \exists t \exists u (R_{xyz} \wedge R_{yzt} \wedge R_{ztu} \wedge S_{yt} \wedge x \neq u)$$

Known results

ACQ solvable in $O(|\varphi| \times |\mathcal{A}| \times |\varphi(\mathcal{A})|)$ times (Yannakakis'81)

ACQ \neq solvable in $O(2^{O(|\varphi|)} \times |\mathcal{A}| \times |\varphi(\mathcal{A})| \times \log^2(|\mathcal{A}|))$ times

(Papadimitriou & Yannakakis-99) or in $O((|\varphi|!) \times |\mathcal{A}| \times |\varphi(\mathcal{A})|)$ (Bagan-D.-Grandjean-07)

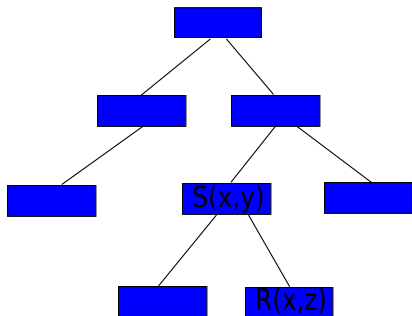
Yannakakis algorithm

Input: (\mathcal{A}, φ) , a tree decomposition T

- Take a leaf $t \in V_T$, let $R(\bar{x}, \bar{z})$ the associated atom.
- Take its father associated to $S(\bar{x}, \bar{y})$ ($\{\bar{y}\} \cap \{\bar{z}\} = \emptyset$)

Filter relation S in \mathcal{A} by relation R :

$$S := \{(\bar{a}, \bar{b}) \in S \text{ and } \exists \bar{c}(\bar{a}, \bar{c}) \in R\}$$



Yannakakis algorithm

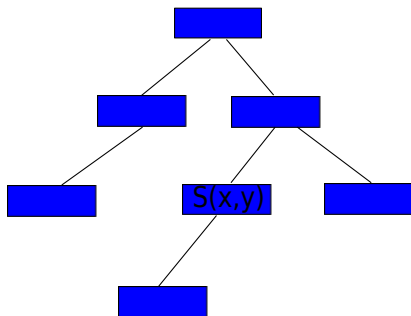
Input: (\mathcal{A}, φ) , a tree decomposition T

- Take a leaf $t \in V_T$, let $R(\bar{x}, \bar{z})$ the associated atom.
- Take its father associated to $S(\bar{x}, \bar{y})$ ($\{\bar{y}\} \cap \{\bar{z}\} = \emptyset$)

Filter relation S in \mathcal{A} by relation R :

$$S := \{(\bar{a}, \bar{b}) \in S \text{ and } \exists \bar{c}(\bar{a}, \bar{c}) \in R\}$$

Continue bottom up with new data and drop $R(\bar{x}, \bar{z})$ from the list of atoms



Enumeration of ACQ

Enumeration

Can we enumerate the results efficiently ?

Easy but not that fast!

- ▶ let $\varphi'(x_1) \equiv \exists x_2 \dots \exists x_k \exists \bar{y} \varphi(\bar{x}, \bar{y})$
- ▶ **For** $a \in \text{ENUM}(\varphi', \mathcal{A})$
 - ▶ let $\varphi_a \equiv \varphi(a, x_2, \dots, x_k, \bar{y})$
 - ▶ **For** $\bar{b} \in \text{ENUM}(\varphi_a, \mathcal{A})$: output (a, \bar{b})

Linear $O(|\varphi| \times |\mathcal{A}|)$ delay.

Similarities with the SAT enumeration in easy cases.

Enumeration of ACQ

Easy and fast if all variables are free (i.e. participate to the result).

- ▶ Compute a tree decomposition
- ▶ Filter each parent with its children so that only tuples that can be extended to a solution remain ("local" consistency)
- ▶ run through the tree and output the solutions

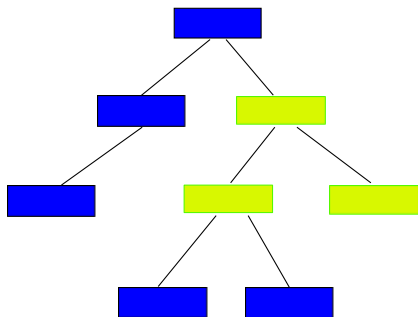
Linear $O(|\varphi| \times |\mathcal{A}|)$ precomputation and constant $O(|\varphi|)$ delay.
Works also with disequalities (but delay exponential in $|\varphi|$)

What's the point with enumeration

Tree decomposition T for φ

- Blue boxes : quantified variables only
- Yellow boxes : free variables only
- In real life : green boxes also...

Good situation

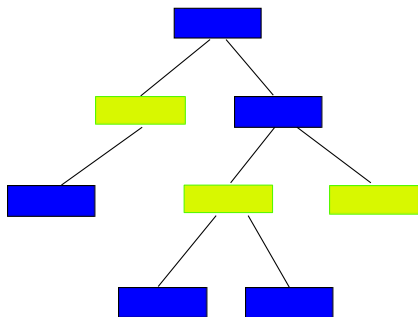


What's the point with enumeration

Tree decomposition T for φ

- Blue boxes : quantified variables only
- Yellow boxes : free variables only
- In real life : green boxes also...

Bad situation



Further question

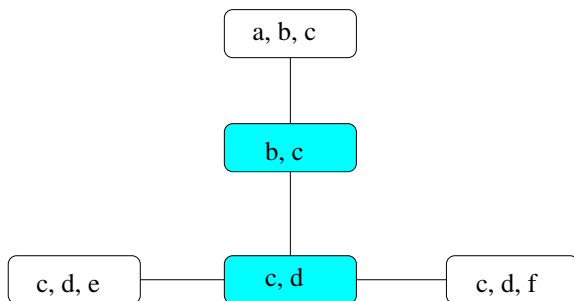
- ▶ Can we do better than linear delay (with linear precomputation) ?
- ▶ Can we find other fragments with constant delay ?
- ▶ Can we fully characterize the enumeration complexity of acyclic conjunctive queries?

Free-connex acyclic formulas

- ▶ Let $H = (V, E)$ be an acyclic hypergraph and $S \subseteq V$.
- ▶ H is **S-connex acyclic** if there is an acyclic hypergraph $H' = (V, E')$ and a tree-structure T of H' such that
 - ▶ $E \subseteq E'$
 - ▶ $\forall e' \in E' \exists e \in E : e' \subseteq e$ (**H' inclusive extension of H**)
 - ▶ there is a connex subset A of vertices of T such that $\bigcup_{t \in A} \lambda(t) = S$
- ▶ A formula φ is **free-connex acyclic** or **CCQ $^\neq$** if its hypergraph is free(φ)-connex acyclic.

Example of S -connex acyclic hypergraph

- ▶ Let $H = (V, E)$ with $V = \{a, b, c, d, e, f\}$ and $E = \{\{a, b, c\}, \{c, d, e\}, \{c, d, f\}\}$ and $S = \{b, c, d\}$



Other characterisation of free-connex acyclic formulas

- ▶ Let $H = (V, E)$ be a hypergraph and $S \subseteq V$. An S -path is a path (x, y_1, \dots, y_n, z) of size at least 2 such that
 - ▶ $x \in S, z \in S$
 - ▶ for any $i, y_i \notin S$
 - ▶ there is no hyperedge $e \in E$ such that $x, z \in e$

Lemma

H is S -connex acyclic iff H is acyclic and doesn't admit any S -path. This property can be checked in polynomial time.

Free-connex acyclicity: "being grouped" up to hypergraph padding

Matrix Multiplication

Matrix Product Enumeration

Given two matrices A and B (with coefficients in some space),

- enumerate all $C_{i,j}$ or
- enumerate the indices (i,j) of $C = A \times B$ such that $C_{i,j} \neq 0$.

Boolean case: solutions of

$$\Phi(x, z) \equiv \exists y E(x, y) \wedge E(y, z).$$

Theorem: Let φ be a simple **ACQ** (resp. **ACQ** $^\neq$) formula and assume that the boolean matrix product cannot be done in linear time. Then one of the two following cases hold:

- ▶ φ is free-connex acyclic
- ▶ $\text{ENUM}(\varphi) \in \text{CONSTANT-DELAY}_{lin}$
- ▶ $\text{EVAL}(\varphi)$ can be evaluated in time $O(|\mathcal{A}| + |\varphi(\mathcal{A})|)$

or

- ▶ φ is not free-connex acyclic
- ▶ $\text{ENUM}(\varphi) \notin \text{CONSTANT-DELAY}_{lin}$
- ▶ $\text{EVAL}(\varphi)$ cannot be evaluated in time $O(|\mathcal{A}| + |\varphi(\mathcal{A})|)$

Proof of hardness

$\varphi \in \mathbf{ACQ} - \mathbf{CCQ}$ i.e., acyclic but not $\text{free}(\varphi)$ -connex.

Main steps :

- ▶ Find a S -path (recall : $S = \text{free}(\varphi)$)
- ▶ From two boolean matrices, A and B construct a structure \mathcal{A} , in linear time, such that:
 - ▶ \mathcal{A} encodes A and B .
 - ▶ Data for A and B are on each side of the S -path
 - ▶ indices of non zero coefficients of $A \times B$ are (pairs of) vertices related in the path.

Some problems to solve : the path may be arbitrary, there are other "parts" in the query, ...

▶ Skip rest of proof

Proof of hardness

$\varphi \in \mathbf{ACQ} - \mathbf{CCQ}$ i.e., acyclic but not free-connex.

H_ϕ admits a chordless S -path $P = (x, z_1, \dots, z_k, y)$ with $k \geq 1$, so that

- ▶ P is a path: there are $k + 1$ hyperedges $e_0, e_1, \dots, e_{k-1}, e_k \in E$ that contain $e'_0 = \{x, z_1\}, e'_1 = \{z_1, z_2\}, \dots, e'_{k-1} = \{z_{k-1}, z_k\}, e'_k = \{z_k, y\}$
- ▶ P is an S -path: $x, y \in S$ and $z_1, \dots, z_{k-1} \notin S$
- ▶ P is chordless: for each $e \in E, |e \cap P| \leq 1$ or $|e \cap P| = e'_i$ for some $i, (0 \leq i \leq k)$

▶ Skip rest of proof

φ simple formula φ of the form:

$$\varphi(x, y, \bar{t}) \equiv \exists z_1 \dots \exists z_k \exists \bar{u} \psi(x, y, \bar{z}, \bar{t}, \bar{u})$$

with ψ conjunction of atoms A_e , $e \in E$ of the following form ($\bar{v} \subseteq \{\bar{t}, \bar{u}\}$):

1. $R_e(x, z_1, \bar{v})$
2. $R_e(z_k, y, \bar{v})$
3. $R_e(z_i, z_{i+1}, \bar{v})$ where $1 \leq i < k$
4. $R_e(w, \bar{v})$ where $w \in \{x, y, z_1, \dots, z_k\}$
5. $R_e(\bar{v})$

Transformation r of the structure: to each σ_{AB} -structure $\mathcal{A} = (D, A, B)$ associates the following \mathcal{A}' :

- ▶ $\mathcal{A}' = (D', (R_e)_{e \in E})$
- ▶ $D' = D \cup \{\perp\}$: here \perp is a new special "padding" symbol
- ▶ For each $e \in E$ define the relation of arity p , R_e according to the form of its (unique) occurrence in φ
 1. $R_e = A \times \{\perp\}^{p-2}$
 2. $R_e = B \times \{\perp\}^{p-2}$
 3. $R_e = I \times \{\perp\}^{p-2}$ where I is the identity (equality) relation of D ($I = \{(a, a) : a \in D\}$)
 4. $R_e = D \times \{\perp\}^{p-1}$
 5. $R_e = \{\perp\}^p$

$$\Pi(\mathcal{A}) = A \times B$$

Fact 1: The map $r : \mathcal{A} \rightarrow \mathcal{A}'$ is computable in time $O(|\mathcal{A}|)$

Fact 2: $\varphi'(\mathcal{A}') = \Pi(\mathcal{A}) \times \{\perp\}^m$

Fact 3: The projection $(a, b, \bar{c}) \rightarrow (a, b)$ is a one-one mapping from $\varphi(\mathcal{A}')$ onto $\Pi(\mathcal{A})$

Conclusion for enumeration

- ▶ Preceding result shows some dichotomy in the complexity of enumeration (not in the same sense as usual dichotomy results in complexity).
- ▶ Dichotomy distinguishes tractable and very tractable cases
- ▶ Dichotomy based on positions of free variables in the formula

Here, situation differs more drastically if quantified variables are allowed.

Theorem (Pichler, Skritek'11)

- ▶ *If only quantifier free formulas are authorized as inputs, then #ACQ is computable in polynomial time.*
- ▶ *#ACQ is #P-complete even for formulas with only one existentially quantified variable.*

Hardness result (interpretation)

Given bipartite graph G , one constructs a structure \mathcal{A}_G and an acyclic conjunctive formula $\varphi_G(\bar{x}) = \exists y \phi(\bar{x}, y)$ such that :
The number of perfect matchings in $G = |\varphi_G(\mathcal{A}_G)|$

End of the story for #ACQ?

- ▶ What about weighted counting for **ACQ**?
- ▶ Hardness or tractability for counting the disjunction (or conjunction) of, say, two **ACQ**?
- ▶ One quantifier is enough to design hard instance but is that all we can say?
- ▶ Can we isolate island of tractability for #ACQ with quantified variables? or better chart the tractability frontier for quantified #ACQ?

Weighted counting

- ▶ \mathbb{F} : ring with operations $+$ and \times
- ▶ \mathcal{S} : finite structure of domain D
- ▶ \mathbb{F} -weight function for \mathcal{S} : mapping $w : D \rightarrow \mathbb{F}$
- ▶ If $\bar{a} \in D^k$, the weight of \bar{a} is

$$w(\bar{a}) = \prod_{i=1}^k w(a_i).$$

$\#_{\mathbb{F}}\text{CQ}$ (resp. $\#_{\mathbb{F}}\text{ACQ}$)

Input: A conjunctive query (resp. acyclic) $\Phi = (\mathcal{S}, \phi)$ and an \mathbb{F} -weighted function w

Output: $\sum_{\bar{a} \in \phi(\mathcal{S})} w(\bar{a})$.

When w is the constant function 1, this value is equal to $|\phi(\mathcal{S})|$.

What is the complexity of $\#_{\mathbb{F}}\text{ACQ}$ for quantifier free formulas?

Either in terms of number of symbolic operation or in number of bits (for spaces where iterated multiplication and addition is polynomial time)

Strategy

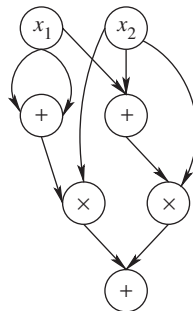
- ▶ Consider query instances as polynomials
- ▶ Construct efficiently **arithmetic circuits** that "recognize" these polynomials
- ▶ Evaluate the polynomial to solve the weighted counting problem

Arithmetic circuit over \mathbb{F}

- ▶ Labeled directed acyclic graph (DAG) with vertices (*gates*) with indegree (*fanin*) 0 or 2.
- ▶ **Input gates** have fanin 0 and are labeled with constants from \mathbb{F} or variables X_1, X_2, \dots, X_n .
- ▶ **Computation gates** have fanin 2 and are labeled with \times or $+$.
- ▶ One gate with fanout 0: the **output gate**
- ▶ The *size* of the circuit is the number of gates
- ▶ The *depth* is the length of the longest path from an input gate to the output gate

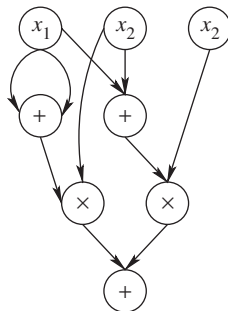
Arithmetic circuit: example

$$\begin{aligned} P(X_1, X_2) &= 2X_1X_2 + (X_1 + X_2)X_2 \\ &= 3X_1X_2 + X_2^2 \end{aligned}$$



Multiplicatively disjoint circuits

A circuit is *multiplicatively disjoint* if, for each \times -gate, its two input subcircuits are disjoint.



Valiant's setting for computation of families of polynomials by families of circuits.

Same computational power

- ▶ polynomial size circuits of polynomial degree
- ▶ multiplicatively disjoint circuits
- ▶ logarithmic depth semi-unbounded circuits.

Algebraic equivalent of **LOGCFL**...

See: Valiant, Burgisser, Koiran, Malod, ...

Polynomials representing queries

Q-Polynomials

$\Phi = (\mathcal{S}, \phi)$: conjunctive query with domain D . The following polynomial $Q(\Phi)$ in the variables $\{X_d \mid d \in D\}$ is assigned to Φ :

$$Q(\Phi) := \sum_{a \in \phi(\mathcal{S})} \prod_{x \in \text{var}(\phi)} X_{a(x)} = \sum_{a \in \phi(\mathcal{S})} \prod_{d \in D} X_d^{\mu_d(a)},$$

where $\mu_d(a) = |\{x \in \text{var}(\phi) \mid a(x) = d\}|$ is the number of variables mapped to d by a .

Example

$\Phi = (\mathcal{S}, \phi)$ such that

$\phi(\mathcal{S}) = \{(1, 3, 2, 5), (1, 2, 1, 3), (5, 2, 3, 1), (1, 1, 2, 1), (4, 2, 1, 2)\}$.

Then:

$$Q(\Phi) := 2X_1X_2X_3X_5 + X_1^2X_2X_3 + X_1^3X_2 + X_2^2X_1X_4.$$

Polynomials representing queries

- ▶ The number of variables in $Q(\Phi)$ is $|D|$, the size of the domain of \mathcal{S}
- ▶ $Q(\Phi)$ is homogeneous of degree $|\text{free}(\Phi)|$
- ▶ No bijective correspondence between solutions of the query and monomials
- ▶ Existential quantification in formulas does not correspond to projection on some polynomial variable.

$\#_{\mathbb{F}}\text{ACQ}$ for quantifier free acyclic formulas

Here and after: joint work with **Stefan Mengel**.

$$\|\Phi\| = |\mathcal{S}| + |\phi|$$

Theorem

Given an acyclic quantifier free conjunctive query $\Phi = (\mathcal{S}, \phi)$, we can in time polynomial in $\|\Phi\|$ compute a multiplicatively disjoint arithmetic circuit C that computes $Q(\Phi)$.

Corollary

The problem $\#_{\mathbb{F}}\text{ACQ}$ is computable in polynomial time

▶ Skip proof

Computation of $Q(\Phi)$ for Φ acyclic: proof

By induction, adapting Yannakakis algorithms for **ACQ**

- ▶ $\Phi = (\mathcal{S}, \phi)$: the input
- ▶ (\mathcal{T}, λ) : the join tree associated with ϕ
- ▶ For $t \in V_{\mathcal{T}}$, ϕ_t : conjunction of constraints corresponding to the subtree \mathcal{T}_t rooted at t
- ▶ Set $e_t = \text{var}(\phi_t) = \bigcup_{t' \in \mathcal{T}_t} \text{var}(\lambda(t'))$

▶ Skip rest of proof

Computation of $Q(\Phi)$ for Φ acyclic: proof

Compute the more general polynomial:

$$f_{t, \bar{a}, c} = \sum_{\substack{\bar{\alpha} \in \phi_t(\mathcal{S}) \\ \bar{\alpha} \sim \bar{a}}} \prod_{x \in c} x_{\alpha(x)}.$$

Where $c \subseteq \lambda(t)$, \bar{a} is an assignment of some variable of ϕ and $\bar{\alpha} \sim \bar{a}$ means " $\bar{\alpha}$ agrees with \bar{a} on common variables".

Strategy and Problems

- ▶ Compute inductively from some f_{t_i, \bar{a}_i, c_i} where t_i is a child of t .
- ▶ If two children share a variable there is a risk of overcounting so...
- ▶ Partition the variables in $\lambda(t)$ and assign parts to children

▶ Skip rest of proof

Computation of $Q(\Phi)$ for Φ acyclic: proof

- ▶ The case of a leaf $t \in V_T$ is immediate.
- ▶ Let $t \in V_T$ and t_1, \dots, t_k its children in V_T
- ▶ c_0, c_1, \dots, c_k : partition of c into disjoint sets such that $c_i \subseteq e_i \cap c$, for $i = 1, \dots, k$ and $c_0 \subseteq c \setminus \bigcup_{i=1}^k e_i$

$$\begin{aligned} f_{t, \bar{a}, c} &= \sum_{\substack{\bar{\alpha} \in \phi_t(\mathcal{S}) \\ \bar{\alpha} \sim \bar{a}}} \prod_{x \in c} X_{\alpha(x)} \\ &= \sum_{\substack{\bar{\alpha} \in \phi_t(\mathcal{S}) \\ \bar{\alpha} \sim \bar{a}}} \prod_{x \in c_1} X_{\bar{\alpha}(x)} \cdots \prod_{x \in c_k} X_{\bar{\alpha}(x)} \prod_{x \in c_0} X_{\bar{\alpha}(x)} \end{aligned}$$

Computation of $Q(\Phi)$ for Φ acyclic: proof

Last remark

- ▶ Let $A_t = ((\lambda_t(\mathcal{S}) \times \phi_{t_1}(\mathcal{S})) \times \phi_{t_2}(\mathcal{S})) \times \dots \times \phi_{t_k}(\mathcal{S})$.
- ▶ Each solution $\bar{\alpha} \in \phi_t(\mathcal{S})$ can be uniquely expressed as the natural join of a $\bar{\beta} \in A_t$ with some $\bar{\alpha}_i \in \phi_{t_i}(\mathcal{S})$, $i = 1, \dots, k$, compatible with β (converse also true)

$$\begin{aligned} f_{t,\bar{a},c} &= \sum_{\substack{\bar{\alpha} \in \phi_t(\mathcal{S}) \\ \bar{\alpha} \sim \bar{a}}} \prod_{x \in c_1} X_{\bar{\alpha}(x)} \cdots \prod_{x \in c_k} X_{\bar{\alpha}(x)} \prod_{x \in c_0} X_{\bar{\alpha}(x)} \\ &= \sum_{\substack{\bar{\beta} \in A_t \\ \bar{\beta} \sim \bar{a}}} \sum_{\substack{\bar{\alpha}_1 \in \phi_{t_1}(\mathcal{S}) \\ \bar{\alpha}_1 \sim \bar{\beta}}} \cdots \sum_{\substack{\bar{\alpha}_k \in \phi_{t_k}(\mathcal{S}) \\ \bar{\alpha}_k \sim \bar{\beta}}} \prod_{x \in c_1} X_{\bar{\alpha}_1(x)} \cdots \prod_{x \in c_k} X_{\bar{\alpha}_k(x)} \prod_{x \in c_0} X_{\bar{\beta}(x)} \\ &= \sum_{\substack{\bar{\beta} \in A_t \\ \bar{\beta} \sim \bar{a}}} f_{t_1,\beta,c_1} \cdots f_{t_k,\beta,c_k} \cdot \prod_{x \in c_0} X_{\bar{\beta}(x)} \end{aligned}$$

Theorem

Computing the size of the union and the intersection of query results to two quantifier free $\#ACQ$ -instances are both $\#P$ -complete. This result remains true for $\#ACQ$ on boolean domain and arity at most 3.

Proof by chain of reductions

- ▶ $\#circuitSAT$
- ▶ $\#(\wedge\text{-}\neg\text{-grid})\text{-circuitSAT}$: circuit sat with \wedge and \neg gate on a grid.
- ▶ $\#CQ$ on a grid

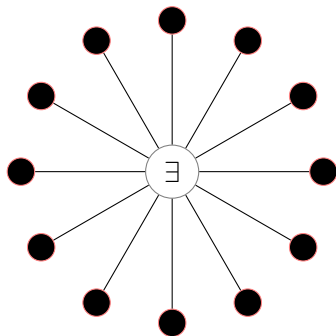
Pause to ponder

Bad news

- ▶ The conjunction (or disjunction) of two **ACQ** makes counting hard
- ▶ Idem for **ACQ** for one existentially quantified variable.

But... formula in Pichler and Skritek's hardness proof looks like that:

Is it a sign of hardness?



A hypergraph $\mathcal{H} = (V, E)$ and $S \subseteq V$.

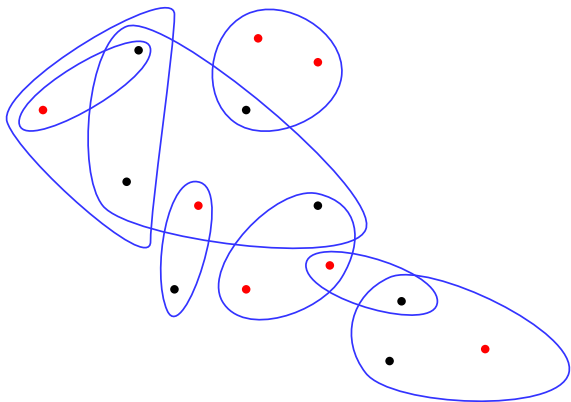
Let $E_{\not\subseteq S} = \{e \in E : e \not\subseteq S\}$.

S-component

The *S-component* of $e \in E_{\not\subseteq S}$ is the hypergraph $\mathcal{H}[E']$ where E' is the set of all edges $e' \in E_{\not\subseteq S}$ such that there is a path from $e - S$ to $e' - S$ in $\mathcal{H}[V - S]$.

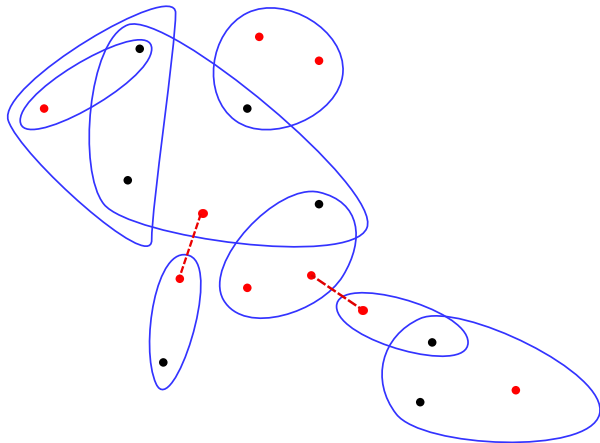
A subhypergraph \mathcal{H}' of \mathcal{H} is an *S-component* if there is an edge $e \in E_{\not\subseteq S}$ such that \mathcal{H}' is the *S-component* of e .

Decomposition into S -components: example



S vertices in red

Decomposition into S -components: example



S vertices in red

Definition (*S*-*k*-star, *S*-star size)

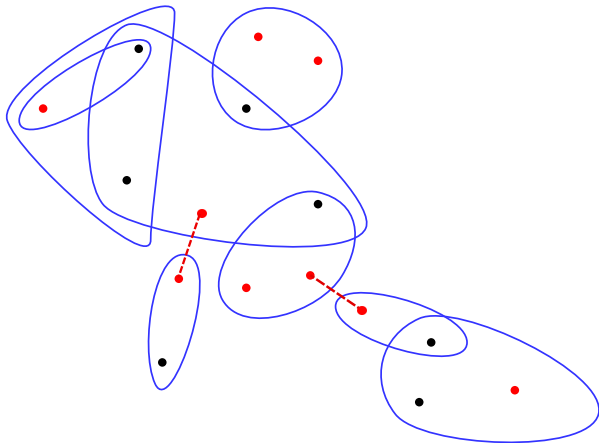
Let $\mathcal{H} = (V, E)$ be a hypergraph, $S \subseteq V$ and $k \in \mathbb{N}$. The subhypergraph $\mathcal{H}' = (V', E')$ of \mathcal{H} is a *S*-*k*-star if:

- ▶ \mathcal{H}' is an *S*-component of \mathcal{H} .
- ▶ there exist $y_1, \dots, y_k \in V' \cap S$ such that there is no edge $e \in E$ that contains more than one of the y_i .

y_1, \dots, y_k are "independant" and form the *S*-*k*-star.

The **S-star size** of \mathcal{H} is the *maximum* k such that there is a *S*-*k*-star in \mathcal{H} .

S-star size : example



S-star size is 4

Quantified star size

The *quantified star size* of an acyclic conjunctive formula $\phi(\bar{x})$ is the S -star size of the hypergraph \mathcal{H} associated to $\phi(\bar{x})$, where S is the set of free variables in $\phi(\bar{x})$.

quantified-star size: example

Formula $\phi(x, y) \equiv \exists t \exists z R(x, y, t) \wedge S(x, z, t)$

Quantified star size = 1

Path formulas (of arbitrary length), e.g.

$\phi(x, y, z) \equiv \exists t_1 \exists t_2 R(x, t_1) \wedge R(t_1, z) \wedge R(z, t_2) \wedge R(t_2, y)$

Quantified star size = 2.

Star formulas, e.g.

$\phi(x, y, z, t) \equiv \exists u R(u, x) \wedge R(u, y) \wedge R(u, z) \wedge R(u, t)$

Quantified star size = degree of the center of the star (here 4).

S-star size: main results I

Query evaluation is easy

Theorem

There is an algorithm that given an acyclic conjunctive query Φ computes an arithmetic circuit C that computes $Q(\Phi)$. The runtime of the algorithm is $\|\Phi\|^{O(k)}$ where k is the quantified star size of Φ .

Corollary

There is an algorithm for the problem $\#ACQ$ that runs in time $\|\Phi\|^{O(k)}$ where k is the quantified star size of the input query Φ .

Some remarks on the proof

Star size expresses how pieces of the result are spread

- ▶ Computation of the result is made component by component
- ▶ For each component, if quantified-star size is k and $R_1(\bar{z}_1), \dots, R_k(\bar{z}_k)$ atoms of ϕ containing all free variables of ϕ , one needs to pre-compute all k -tuples

$$\bar{a}_1 \in R_1, \dots, \bar{a}_k \in R_k$$

and check if they lead to a solution of $\phi(\mathcal{S})$ (by the method for $Q(\Phi)$ when Φ acyclic and quantified free)

S-star size: main results II

Computing S-star is easy

Theorem

There is a polynomial time algorithm that, given a hypergraph $\mathcal{H} = (V, E)$ and $S \subseteq V$, computes the S-star size of \mathcal{H} .

Proof

Equivalent to finding a maximal independent set (and a minimal edge cover) in an acyclic hypergraph.

Ad hoc algorithm.

Conclusion: Classes of $\#ACQ$ -instances of bounded quantified star size are efficiently decidable.

▶ Go to: star size needed

Parametrizations of $\#ACQ$

- ▶ p -star- $\#ACQ$: counting parameterized by the quantified star size,
- ▶ p -var- $\#ACQ$: counting parameterized by the number of free variables,
- ▶ p - $\#ACQ$: counting parameterized by the size of the conjunctive formula.

Lemma

p -star- $\#ACQ$, p -var- $\#ACQ$ and p - $\#ACQ$ are all $\#W[1]$ -hard.

Bounded quantified star size is necessary

S -hypergraph: pair (\mathcal{H}, S) where $\mathcal{H} = (V, E)$ is a hypergraph and $S \subseteq V$.

Definition

$\#ACQ$ is tractable for a class \mathcal{G} of S -hypergraphs if for all $\#ACQ$ instances Φ with the associated hypergraph \mathcal{H} of Φ and the set S of free variables of Φ with $(\mathcal{H}, S) \in \mathcal{G}$ we can solve $\#ACQ$ in polynomial time

Bounded quantified star size is necessary

Theorem

Assume $\mathbf{FPT} \neq \#\mathbf{W}[1]$, and let \mathcal{G} be a recursively enumerable class of acyclic S -hypergraphs. Then $\#\mathbf{ACQ}$ is polynomial time solvable for \mathcal{G} if and only if \mathcal{G} is of bounded S -star size.

Proof sketch

- ▶ First prove that $\#ACQ$ is hard on \mathcal{G}_S the class of k -stars (in the graph sense)
- ▶ Consider an instance $\Phi = (\mathcal{A}, \varphi(\bar{x}))$ with
$$\varphi(\bar{x}) = \exists y \bigwedge_{i=1}^k R_i(y, x_i)$$
- ▶ Suppose there is a class \mathcal{G} of unbounded S -star size which is tractable.
- ▶ Embed efficiently Φ into a suitable instance (with big enough star) Ψ whose associated hypergraph is in \mathcal{G} .
- ▶ Deduce that $\#ACQ$ is not hard on \mathcal{G}_S .

- ▶ Complete characterization of tractability frontier for $\#ACQ$
- ▶ Easy evaluation for counting on bounded S -star size extend to generalized hypertree width
- ▶ S - k -star size recognition seem to depend on k for some decomposition