

U(n) Spectral Covers from Decomposition

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Based on: K-S Choi, H.H. JHEP 1206 (2012) 009
(arXiv:1203.3812[hep-th])

Motivation (1/2)

A candidate for beyond the Standard Model

→ Minimal Supersymmetric Standard Model (MSSM)

→ Grand Unified Theory (GUT) around 2×10^{16} GeV

□ Necessary components for a supersymmetric SU(5) GUT model

· Matter Contents

Matter

$$10_M \rightarrow (3, 2)_{\frac{1}{6}} + (\bar{3}, 1)_{-\frac{2}{3}} + (1, 1)_1$$
$$\bar{5}_M \rightarrow (\bar{3}, 1)_{\frac{1}{3}} + (1, 2)_{-\frac{1}{2}}$$

Higgs

$$5_H \rightarrow (3, 1)_{-\frac{1}{3}} + (1, 2)_{\frac{1}{2}}$$
$$\bar{5}_H \rightarrow (\bar{3}, 1)_{\frac{1}{3}} + (1, 2)_{-\frac{1}{2}}$$

A generic superpotential includes

$$W_{GUT} \supset y_u 10_M 10_M 5_H + y_d \bar{5}_M 10_M \bar{5}_H + \lambda \bar{5}_M 10_M \bar{5}_M$$

Necessary Yukawa interactions

Dimension-4 Proton
Decay Operator!



U(1) symmetry, discrete symmetry, et al

How can we realize the U(1) symmetry from String theory, or F-theory?

Motivation (2/2)

- The realization of a U(1) symmetry in F-theory compactifications requires a global analysis.

H.H., T. Kawano, Y. Tsuchiya, T. Watari, 1004

$$\# \text{ of U(1)'s} = h^{1,1}(\text{CY}_4) - h^{1,1}(\text{B}_3) - 1$$

Morrison, Vafa 96

Dasgupta, Rajesh, Sethi 99

$$\text{Objective: } h^{1,1}(\text{CY}_4) \rightarrow h^{1,1}(\text{CY}_4') = h^{1,1}(\text{CY}_4) + 1$$

↑

some tuning?

It is not easy to find the tuning purely from the viewpoint of F-theory compactifications.

We have found a parameterization enlightened by heterotic – F-theory duality. We have also checked the evidence that there is an unbroken U(1) symmetry purely from F-theory compactifications.

Other solutions: the split of Tate divisor

Marsano, Saulina, Schäfer-Nameki 1006, 1007

U(1)-restricted Tate model

Grimm, Weigand 1006

Grimm, Kerstan, Palti, Weigand 1107

Krause, Mayrhofer, Weigand 1109

Outline

- ✓ 1. Motivation
- 2. Decomposed Spectral Covers in Heterotic String Theory
- 3. F-theory Interpretation
- 4. Summary

Decomposed Spectral Covers (1/4)

Heterotic string theory on a background of CY_3 with a vector bundle V whose structure group H realizes a $\mathbf{N}=1$ four-dimensional low energy effective field theory with a gauge group G . ($E_8 \supset G \times H$)

Candelas, Horowitz, Strominger, Witten 85

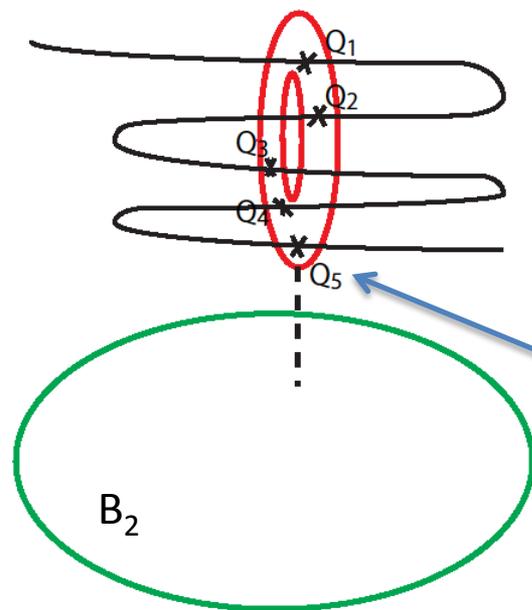
- When CY_3 has an elliptic fibration with a section (o),

Stable $SU(n)$ vector bundle $V \leftarrow$ "Spectral cover" + line bundle on it

Friedman, Morgan, Witten 97

Donagi 97

- Spectral Cover



5-fold cover $\rightarrow SU(5)$ vector bundle

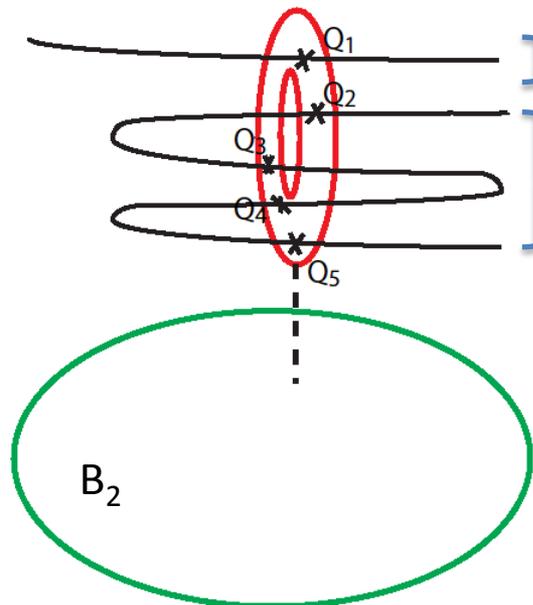
Spectral cover intersects with the elliptic fiber at 5 points

$$Q_1 \boxplus Q_2 \boxplus Q_3 \boxplus Q_4 \boxplus Q_5 = o \text{ for } \det V = \mathcal{O}$$

Decomposed Spectral Covers (2/4)

- If the structure group reduces to $S(U(1) \times U(4))$, a $U(1)$ symmetry can be present in a low energy effective field theory.

Blumenhagen, Honecker, Weigand 05
Tatar, Watari 06



1-fold cover $Q_1 \neq 0$

4-fold cover $Q_2 \boxplus Q_3 \boxplus Q_4 \boxplus Q_5 \neq 0$

$$\text{And } Q_1 \boxplus Q_2 \boxplus Q_3 \boxplus Q_4 \boxplus Q_5 = 0$$

However, a naïve factorization of the spectral cover equation does not achieve this configuration.

This is essentially because there is no elliptic function which has only one zero point.

- We have found that one can realize the configuration by **tuning the complex structure moduli** of CY_3 so that the elliptic fibration admits **another section** different from the zero section.

1-fold cover \leftarrow another section

Decomposed Spectral Covers (3/4)

Elliptically fibered CY_3 : $y^2 = x^3 + fx + g$

$$f \in \Gamma(B_2; \mathcal{O}(-4K_{B_2})), \quad g \in \Gamma(B_2; \mathcal{O}(-6K_{B_2}))$$

Spectral cover: $a_0 + a_2x + a_3y + a_4x^2 + a_5xy = 0$

$$a_r \in \Gamma(B_2; \mathcal{O}(rK_{B_2} + \eta))$$

• The Higgs bundle ansatz:

$$a_0s^5 + a_2s^3 + a_3s^2 + a_4s + a_5 = 0$$

$$\rightarrow (b_0s + b_1)(d_0s^4 + d_1s^3 + d_2s^2 + d_3s + d_4) = 0$$

$$\begin{aligned} a_0 &= b_0d_0, & 0 &= b_0d_1 + b_1d_0, & a_2 &= b_0d_2 + b_1d_1 \\ a_3 &= b_0d_3 + b_1d_2, & a_4 &= b_0d_4 + b_1d_3, & a_5 &= b_1d_4 \end{aligned}$$

Tatar , Tsuchiya, Watari 0905

Marsano, Saulina, Schäfer-Namaki 0906 0912

Blumenhagen, Grimm, Jurke, Weigand 0908

Then, one of the root of the spectral surface is $Q_1 : (x, y) = \left(\frac{b_0^2}{b_1^2}, -\frac{b_0^3}{b_1^3} \right)$

We can require this point to be a global section.

Decomposed Spectral Covers (4/4)

In order to make Q_1 a global section of the elliptic fibration, Q_1 should be a point on the elliptic fibration regardless of f and g .

Choi, HH 12

$$b_0^2 f + b_1^2 g = 0$$

This equation can be solved by

$$f = b_1^2 F, \quad g = -b_0^2 F, \quad (d_0 = b_0 d, \quad d_1 = -b_1 d)$$

To ensure that this parameterization indeed has a global section at Q_1 , one can rewrite the elliptic fibration equation as

$$(b_1^3 y - b_0^3)(b_1^3 y + b_0^3) = (b_1^2 x - b_0^2)(b_1^4 x^2 + b_1^2 b_0^2 x + b_0^4 + b_1^6 F)$$

By using the similar logic, we have also constructed spectral covers for the vector bundle whose structure group is $S(U(2) \times U(3))$ and $S(U(1) \times U(1) \times U(3))$. We also constructed another $S(U(1) \times U(4))$ spectral cover which is not equivalent to the one presented here.

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F-theory Interpretation (1/3)

Heterotic String on elliptic CY_3 with a vector bundle

\Updownarrow dual

F-theory on elliptic K3 fibered CY_4 in **the stable degeneration limit**

(Base S)

$$K3 \rightarrow RES \cup_E RES$$

$\# \text{ of } U(1)\text{'s} = h^{1,1}(CY_4) - h^{1,1}(B_3) - 1$

\rightarrow 2-form has one leg on elliptic fiber and the other leg on 3-fold base

\Updownarrow Poincaré dual

monodromy invariant 2-cycle (1-cycle is elliptic fiber) over the base S

Curio, Donagi 98

Donagi, Wijnholt 0802

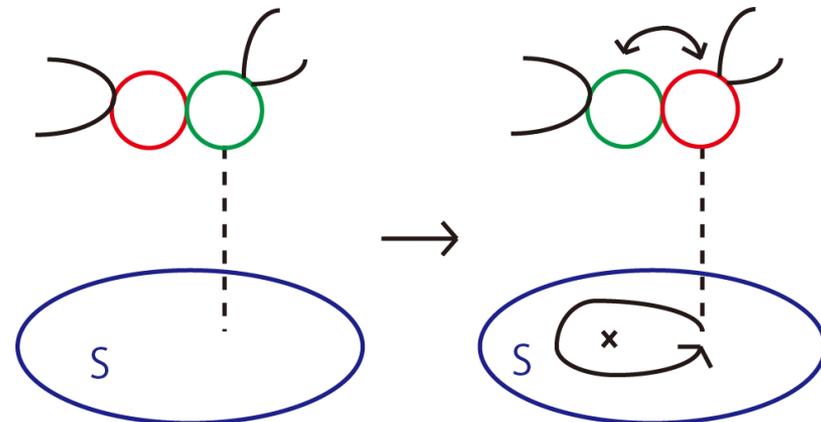
HH, Tatar, Toda, Watari, Yamazaki 0805

RES \rightarrow 10 2-cycles

= 8 (simple roots of E_8)

+ 1 (elliptic fiber)

+ 1 (base P^1)



F-theory Interpretation (2/3)

Monodromy of 2-cycles \leftrightarrow Monodromy of the locations of 7-branes

• Elliptic K3 fibered CY_4 with an A_4 singularity in the stable degeneration limit

$$\begin{aligned}
 y^2 = x^3 &+ a_0 z^5 + g z^6 \\
 &+ a_2 z^3 x + \underbrace{f z^4 x}_{\text{Complex structure moduli in heterotic string}} \\
 &+ a_3 z^2 y \\
 &+ a_4 z x^2 \\
 &+ \underbrace{a_5 x y}_{\text{Bundle moduli in heterotic string}}
 \end{aligned}$$

Bershadsky et al 96

Katz, Mayr, Vafa 97
Berglund, Mayr 98

Bundle moduli in heterotic string

The discriminant

$$\Delta = z^5 \chi \text{ [degree 7 polynomial in } z \text{]}$$

5 7-branes for $SU(5)$

The locations of the other 7 7-branes

The coefficients are functions of sections a_i, f, g

Furthermore, we take the discriminant of the degree 7 polynomial

F-theory Interpretation (3/3)

- The discriminant of the discriminant

$$\tilde{\Delta} = 16777216a_5^5 \underbrace{A^3 B}$$

Functions of the sections in a_r, f, g

The monodromy here is supposed to give S_5

- Apply the parameterization of the $S(U(1) \times U(4))$ spectral cover equation

$$B \rightarrow B_1^2 B_2$$

↑

Choi, HH 12

A quadratic component appears!

This may imply the reduction of the monodromy.

We also checked explicitly that the monodromy indeed reduces to Z_2 from S_3 in a subspace of a moduli space in the case of E_6 gauge theories with $S(U(1) \times U(2)) (\subset SU(3))$ structure group.

From the computation, the monodromy coming from the quadratic component is trivial, and this indicates that the appearance of the quadratic factor would be essential for the reduction of the monodromy.

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Summary

- We have explicitly constructed $S(U(1) \times U(4))$ (and $S(U(2) \times U(3))$, $S(U(1) \times U(1) \times U(3))$) spectral covers
- In the construction, we tune the complex structure moduli of Calabi-Yau threefolds, and associate the 1-fold cover to a global section.
- In F-theory compactifications, the parameterization makes the discriminant factorize with a quadratic component, which could imply that the monodromy may get reduced.
- The reduction of the monodromy was checked at least in a subspace of the moduli space in the case of the breaking $E_8 \rightarrow E_6 \times SU(3)$ with the $(1+2)$ decomposition.