

# The Dark OPERA

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- AEF, Marco Matone, PLB 450 (1999) 34; ... ; IJMPA 15 (2000) 1869.
- G. Bertoldi, AEF & M. Matone, CQG 17 (2000) 3925.
- AEF, EPJC 72 (2012) 1944; arXiv:1204.3185.

String Phenomenology 2012, Cambridge, 24–29 June 2012

Motivation General Relativity: → Covariance & Equivalence Principle  
→ fundamental geometrical principle

Quantum Mechanics: No Such Principle  
Axiomatic formulation ...  $P \sim |\Psi|^2$

However Quantum + Gravity Theory  
not known

Main effort: quantize GR; quantize space–time: *e.g.* superstring theory

The main successes of string theory:

- 1) Viable perturbative approach to quantum gravity
- 2) Unification of gravity, gauge & matter structures  
*i.e.* construction of phenomenologically realistic models  
→ relevant for experimental observation

State of the art: MSSM from string theory  
(AEF, Nanopoulos, Yuan, NPB 335 (1999) 347)  
(Cleaver, AEF, Nanopoulos, PLB 455 (1999) 135)

## Other approaches

### Geometrical

Greene, Kirklin, Miron, Ross (1987)

Donagi, Ovrut, Pantev, Waldram (1999)

Blumenhagen, Moster, Reinbacher, Weigand (2006)

Heckman, Vafa (2008)

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### Orbifolds

Ibanez, Nilles, Quevedo (1987)

Bailin, Love, Thomas (1987)

Kobayashi, Raby, Zhang (2004)

Lebedev, Nilles, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter (2007)

Blaszczyk, Groot-Nibbelink, Ruehle, Trapletti, Vaudrevange (2010)

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### Other CFTs

Gepner (1987)

Schellekens, Yankielowicz (1989)

Gato-Rivera, Schellekens (2009)

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### Orientifolds

Cvetic, Shiu, Uranga (2001)

Ibanez, Marchesano, Rabadan (2001)

Kiristis, Schellekens, Tsulaia (2008)

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## Adaptation of Hamilton–Jacobi theory

Hamilton's equations of motion  $\dot{q} = \frac{\partial H}{\partial p}$  ,  $\dot{p} = -\frac{\partial H}{\partial q}$

$$H(q, p) \longrightarrow K(Q, P) \equiv 0 \quad \Longrightarrow \quad \dot{Q} = \frac{\partial K}{\partial P} \equiv 0, \quad \dot{P} = -\frac{\partial K}{\partial Q} \equiv 0$$

The solution is the Classical Hamilton–Jacobi Equation

$$H(q, p) \longrightarrow K(Q, P) = H\left(q, p = \frac{\partial S}{\partial q}\right) + \frac{\partial S}{\partial t} = 0 \quad \Rightarrow \quad \text{CHJE}$$

stationary case  $\longrightarrow \frac{1}{2m} \left(\frac{\partial S_0}{\partial q}\right)^2 + V(q) - E = 0$

$(q, p) \rightarrow (Q, P)$  via canonical transformations

$q, p$  are independent. Solve. Then  $p = \frac{\partial s}{\partial q}$

Quantum mechanics:  $[q, p] = i\hbar \rightarrow q, p \rightarrow$  not independent

Assume  $H \rightarrow K$  i.e.  $W(Q) = V(Q) - E = 0$  always exists

But  $q, p$  not independent.  $p = \frac{\partial S}{\partial q}$ .

Equivalence postulate:

Consider the transformations on

$$\left( q, S_0(q), p = \frac{\partial S_0}{\partial q} \right) \longrightarrow \left( \tilde{q}, \tilde{S}_0(\tilde{q}), \tilde{p} = \frac{\partial \tilde{S}_0}{\partial \tilde{q}} \right)$$

Such that

$$W(q) \longrightarrow \tilde{W}(\tilde{q}) = 0$$

exist for all  $W(q)$

$\implies$  QHJE

$\longrightarrow$  Schrödinger equation

Implies: Covariance of HJE

But: 
$$\frac{1}{2m} \left( \frac{\partial S_0}{\partial q} \right)^2 + V(q) - E = 0$$

Is not covariant under  $q \rightarrow Q(q)$ .

Further:  $W(q) \equiv 0$  is a fixed state under  $q \rightarrow \tilde{q}(q)$ .

Assume: 
$$\frac{1}{2m} \left( \frac{\partial S_0}{\partial q} \right)^2 + W(q) + Q(q) = 0$$

The most general transformations

$$\tilde{W}(\tilde{q}) = \left( \frac{\partial \tilde{q}}{\partial q} \right)^{-2} W(q) + (\tilde{q}; q),$$
$$\tilde{Q}(\tilde{q}) = \left( \frac{\partial \tilde{q}}{\partial q} \right)^{-2} Q(q) - (\tilde{q}; q),$$

with  $\tilde{S}_0(\tilde{q}) = S_0(q)$  under  $q \rightarrow \tilde{q} = \tilde{q}(q)$

With:  $W^0(q^0) = 0 \rightarrow$  All:  $W(q) = (q; q^0)$

Cocycle Condition:  $(q^a; q^c) = \left( \frac{\partial q^b}{\partial q^c} \right)^2 \left[ (q^a; q^b) - (q^c; q^b) \right]$

$\Rightarrow$  Theorem  $(q^a; q^c)$  invariant under Möbius transformations  $\gamma(q^a)$

In 1D:  $(q^a; q^c) \sim \{q^a; q^c\}$  Uniquely

Schwarzian derivative  $\{h(x); x(y)\} = \left( \frac{\partial y}{\partial x} \right)^2 \{h(x); y\} - \left( \frac{\partial y}{\partial x} \right)^2 \{x; y\}.$

$$U(q) = \{h(q); q\} = \left\{ \frac{Ah + B}{Ch + D}; q \right\}$$

Invariant under Möbius transformations

Identity

$$\left(\frac{\partial S_0}{\partial q}\right)^2 = \frac{\beta^2}{2} \left( \left\{ e^{\frac{i2S_0}{\beta}}; q \right\} - \{S_0; q\} \right)$$

Make the following identifications

$$W(q) = -\frac{\beta^2}{4m} \left\{ e^{\frac{i2S_0}{\beta}}; q \right\} = V(q) - E$$

$$Q(q) = \frac{\beta^2}{4m} \{S_0; q\}$$

The Modified Hamilton–Jacobi Equation becomes

$$\frac{1}{2m} \left(\frac{\partial S_0}{\partial q}\right)^2 + V(q) - E + \frac{\beta^2}{4m} \{S_0; q\} = 0$$

in the limit  $\beta \rightarrow 0$  we get back the CSHJE and  $S_0^{cl} = \lim_{\beta \rightarrow 0} S_0$



From the properties of the SD  $\{; \}$

$$V(q) - E = -\frac{\beta^2}{4m} \left\{ e^{\frac{i2S_0}{\beta}}; q \right\}$$

is a potential of the  $2^{nd}$ -order diff. Eq.

$$\left( -\frac{\beta^2}{2m} \frac{\partial^2}{\partial q^2} + V(q) - E \right) \Psi(q) = 0 \quad \Rightarrow \quad \beta = \hbar$$

The general solution

$$\Psi(q) = \frac{1}{\sqrt{S'_0}} \left( A e^{+\frac{i}{\hbar} S_0} + B e^{-\frac{i}{\hbar} S_0} \right)$$

and

$$e^{+\frac{i2S_0}{\hbar}} = e^{i\alpha} \frac{w + i\bar{l}}{w - il} \quad w = \frac{\psi_1}{\psi_2}$$

$$l = l_1 + i l_2 \quad l_1 \neq 0 \quad \alpha \in R$$

## Generalizations:

Cocycle condition  $\rightarrow$  D-dimensional E&M metrics  
invariant under D-dimensional  
Möbius (conformal) trans.

## Quadratic identity:

$$\alpha^2(\nabla S_0) \cdot (\nabla S_0) = \frac{\Delta(Re^{\alpha S_0})}{Re^{\alpha S_0}} - \frac{\Delta R}{R} - \alpha \left( 2 \frac{\nabla R \cdot \nabla S_0}{R} + \Delta S_0 \right),$$

or

$$\alpha^2(\partial S) \cdot (\partial S) = \frac{\partial^2(Re^{\alpha S})}{Re^{\alpha S}} - \frac{\partial^2 R}{R} - \alpha \left( 2 \frac{\partial R \cdot \partial S}{R} + \partial^2 S \right),$$

or

$$\alpha^2(\partial S - eA) \cdot (\partial S - eA) = \frac{D^2(Re^{\alpha S})}{Re^{\alpha S}} - \frac{\partial^2 R}{R} - \frac{\alpha}{R^2} \partial \cdot \left( R^2(\partial S - eA) \right),$$
$$D^\mu = \partial^\mu - \alpha e A^\mu$$

Relativistic case in 1 + 1 dimensions: Start with KG equation:

$$(-\hbar^2 c^2 \Delta + m^2 c^4 - E^2)\psi = 0, \quad \text{with} \quad \psi = \text{Re} \frac{1}{\hbar} S_0.$$

$$\implies (\nabla S_0)^2 + m^2 c^2 - \frac{E^2}{c^2} - \hbar^2 \frac{\Delta R}{R} = 0, \quad \text{RQHJE}$$

$$\nabla \cdot (R^2 \nabla S_0) = 0. \quad \text{continuity equation}$$

$$\text{with} \quad Q = -\frac{\hbar^2}{2m} \frac{\Delta R}{R}, \quad \text{and} \quad p = \nabla S_0$$

$$\implies E^2 = p^2 c^2 + m^2 c^4 + 2mQc^2.$$

In 1 + 1 D:

$$R = \frac{1}{\sqrt{S'_0}}, \quad \implies \quad Q = \frac{\hbar^2}{4m} \{S_0; q\},$$

$$\implies \left( \frac{\partial S_0}{\partial q} \right)^2 + m^2 c^2 - \frac{E^2}{c^2} + \frac{\hbar^2}{2} \{S_0; q\} = 0.$$

$$\implies \left\{ e^{\frac{2iS_0}{\hbar}}; q \right\} = - \left( m^2 c^2 - \frac{E^2}{c^2} \right)$$

$$e^{\frac{2i}{\hbar} S_0} = e^{i\alpha \frac{w + i\bar{l}}{w - i\bar{l}}}, \quad \text{where} \quad w = \psi^D / \psi$$

## Use Jacobi theorem to define time

$$t - t_0 = \frac{\partial S_0}{\partial E} = \frac{\partial}{\partial E} \int_{q_0}^q dx \frac{\partial S_0}{\partial x} = \int_{q_0}^q dx \frac{E/c^2 - mc^2 \partial_E Q}{(E^2/c^2 - m^2 c^2 - 2mc^2 Q)^{\frac{1}{2}}}$$

$$\implies \frac{dq}{dt} = \left( \frac{dt}{dq} \right)^{-1} = \frac{\partial_q S_0}{E/c^2 - mc^2 \partial_E Q}$$

$$\implies \dot{q} = \frac{p}{(E/c^2 - mc^2 \partial_E Q)} = \frac{pc^2}{E \left( 1 - \frac{m}{E} \frac{\partial Q}{\partial E} c^4 \right)} \quad \text{take } \frac{m}{E} \frac{\partial Q}{\partial E} c^4 \ll 1,$$

$$\implies \dot{q} = \frac{p}{E} c^2 \left( 1 + \frac{m}{E} \frac{\partial Q}{\partial E} c^4 \right).$$

$\implies$  modified dispersion relations

For KGE  $\psi = \sin(kq)$   $\psi_D = \cos(kq)$

$$\frac{4m}{\hbar^2} Q(q) = \frac{k^2}{4 \left( \cos^2(kq) + (\ell_1^2 + \ell_2^2) \sin^2(kq) + \ell_2 \sin(2kq) \right)^2} \cdot \left( 3 - 6\ell_1^2 + 3\ell_1^4 + 6\ell_2^2 + 6\ell_1^2\ell_2^2 + 3\ell_2^4 - 4(-1 + \ell_1^4 + 2\ell_1^2\ell_2^2 + \ell_2^4) \cos(2kq) + (1 + \ell_1^4 - 6\ell_2^2 + \ell_2^4 + 2\ell_1^2(-1 + \ell_2^2)) \cos(4kq) + 8\ell_2 \sin(2kq) + 8\ell_1^2\ell_2 \sin(2kq) + 8\ell_2^3 \sin(2kq) + 4\ell_2 \sin(4kq) - 4\ell_1^2\ell_2 \sin(4kq) - 4\ell_2^3 \sin(4kq) \right)$$

$\implies$  Modified dispersion relations, but not necessarily superluminal

## Quantum potential as a curvature term:

Using the property of the Schwarzian derivative

$$\{S_0; q\} = - \left( \frac{\partial S_0}{\partial q} \right)^2 \{q; S_0\},$$

We can rewrite the Quantum Stationary Hamilton Jacobi Equation as

$$\frac{1}{2m} \left( \frac{\partial S_0}{\partial \hat{q}} \right)^2 + V(\hat{q}) - E = 0,$$

where

$$\hat{q} = \int^q \frac{dx}{\sqrt{1 - \frac{\hbar^2}{2} \{q; S_0\}}}.$$

Flanders: J. Diff. Geom. 1970, 575  $\rightarrow \{ ; \}$   $\rightarrow$  a curvature term

In higher dimensions  $Q(q) \sim \frac{\Delta R(q)}{R} \rightarrow$  curvature of  $R(q)$

## Length Scale

For  $W^0(q^0) = 0$

$$\frac{\partial^2 \Psi}{\partial q^2} = 0 \Rightarrow \psi_1 = q^0 \quad ; \quad \psi_2 = \text{const}$$

$\Rightarrow$  duality implies a length scale

$$\Rightarrow e^{\frac{2i}{\hbar} S_0^0} = e^{i\alpha \frac{q^0 + i\bar{\ell}_0}{q^0 - i\ell_0}},$$

$$p_0 = \frac{\partial S_0^0}{\partial q^0} = \pm \frac{\hbar(\ell_0 + \bar{\ell}_0)}{2|q^0 - i\ell_0|^2}.$$

$$\text{Max}|p_0| = \frac{\hbar}{\text{Re}\ell_0} \rightarrow \text{Re}\ell_0 \neq 0 \rightarrow \text{ultraviolet cutoff}$$

$$\lim_{\hbar \rightarrow 0} p_0 = 0 \Rightarrow \text{Re}\ell_0 = \lambda_p = \sqrt{\frac{\hbar G}{c^3}}.$$

$\ell_0 = \lambda_p \rightarrow$  choice consistent with the classical limit

$$Q^0 = \frac{\hbar^2}{4m} \{S_0^0, q^0\} = -\frac{\hbar^2 (\text{Re}\ell_0)^2}{2m} \frac{1}{|q^0 - i\ell_0|^4}.$$

Equivalence postulate  $\implies q \longrightarrow q^0 = w = \frac{\psi_1}{\psi_2} = \frac{\psi^D}{\psi}$

Consistency  $\implies q^0 = \psi^D/\psi$  is continuous on  $\hat{R} = R \cup \{\infty\}$

$\implies$  Energy quantisation

Taking  $m \sim 100 GeV;$

$$\text{Re } \ell_0 = \lambda_p \approx 10^{-35} m;$$

$$q^0 \sim 93 Ly,$$

$$\implies |Q| \sim 10^{-202} eV.$$

For  $q^0 \sim 1m$   $|Q| \sim 10^{-96} eV.$



## The multiparticle case:

$$\frac{1}{2m_1}(\nabla_1 S_0)^2 + \frac{1}{2m_2}(\nabla_2 S_0)^2 - E - \frac{\hbar^2}{2m_1} \frac{\Delta_1 R}{R} - \frac{\hbar^2}{2m_2} \frac{\Delta_2 R}{R} = 0.$$

$$\frac{1}{m_1} \nabla_1 \cdot (R^2 \nabla_1 S_0) + \frac{1}{m_2} \nabla_2 \cdot (R^2 \nabla_2 S_0) = 0.$$

$$\text{set } r = r_1 - r_2, \quad r_{c.m.} = \frac{m_1 r_1 + m_2 r_2}{m_1 + m_2}, \quad \mu = \frac{m_1 m_2}{m_1 + m_2},$$

$$\frac{1}{2(m_1 + m_2)} (\nabla_{r_{c.m.}} S_0)^2 + \frac{1}{2\mu} (\nabla S_0)^2 - E - \frac{\hbar^2}{2(m_1 + m_2)} \frac{\Delta_{r_{c.m.}} R}{R} - \frac{\hbar^2}{2\mu} \frac{\Delta R}{R} = 0,$$

$$\frac{1}{m_1 + m_2} \nabla_{r_{c.m.}} \cdot (R^2 \nabla_{r_{c.m.}} S_0) + \frac{1}{\mu} \nabla \cdot (R^2 \nabla S_0) = 0,$$

## The centre of mass motion

$$\frac{1}{2(m_1 + m_2)} (\nabla_{r_{c.m.}} S_0)^2 - \tilde{E} - \frac{\hbar^2}{2(m_1 + m_2)} \frac{\Delta_{r_{c.m.}} R}{R} = 0,$$

$$\frac{1}{(m_1 + m_2)} \nabla_{r_{c.m.}} \cdot (R^2 \nabla_{r_{c.m.}} S_0) = 0.$$

$\implies m$  is commulative *i.e.*  $m \sim \sum_i m_i$

## conclusions :

The equivalence postulate  $\implies S_0 \neq \text{const} \Leftrightarrow \hbar \neq 0$

$$\Psi(q) = \frac{1}{\sqrt{S'_0}} \left( A e^{+\frac{i}{\hbar} S_0} + B e^{-\frac{i}{\hbar} S_0} \right)$$

$\text{Rel}_0 = \lambda_P \rightarrow$  fundamental length scale

$$Q(q) = -\frac{\hbar^2}{4m} \{S_0; q\} \quad \text{and} \quad Q(q) = -\frac{\hbar^2}{2m} \frac{\Delta R(q)}{R(q)}$$

Intrinsic curvature terms of elementary particles

$$S_0 \neq Aq + B \implies Q(q) \neq 0 \text{ Always}$$

$\implies$  Intrinsic energy of elementary particles which is never vanishing

## Outlook

EP and phase space duality  $\leftrightarrow$   $T$ -duality

EP  $\rightarrow$  axiomatic approach to quantum gravity

Energy quantization:

Probability:  $\implies (\Psi, \Psi')$  continuous ;  $\Psi \in L^2(R)$

$\implies$  quantization, bound states

What are the conditions on the trivializing transformations?

$$q^0 = w = \frac{\psi_1}{\psi_2} = \frac{\psi^D}{\psi}$$

we have  $\{w, q\} = -\frac{4m}{\hbar^2}(V(q) - E)$

$\implies w \neq \text{const}$  ;  $w \in C^2(R)$  and  $w''$  differentiable on  $R$

In addition from the properties of  $\{, \}$   $\rightarrow \{w, q^{-1}\} = q^4\{w, q\}$

$\implies w \neq \text{const}$  ;  $w \in C^2(\hat{R})$  and  $w''$  differentiable on  $\hat{R}$

where  $\hat{R} = R \cup \{\infty\}$

$$\implies w(-\infty) = \begin{cases} w(+\infty), & \text{for } w(-\infty) \neq \pm \infty, \\ -w(+\infty), & \text{for } w(-\infty) = \pm \infty \end{cases}$$



Equivalence postulate  $\implies$  continuity of  $(\psi^D, \psi)$  and  $(\psi^{D'}, \psi')$

Theorem:

$$\text{if } V(q) - E = \begin{cases} P_-^2 > 0 & \text{for } q < q_- \\ P_+^2 > 0 & \text{for } q > q_+ \end{cases}$$

then the ratio  $w = \psi^D / \psi$  is continuous on  $\hat{R}$  iff  
the corresponding Schrödinger equation admits  
an  $L^2(\mathbb{R})$  solution

$$1) \quad \psi \in L^2(\mathbb{R}) \implies \psi^D \notin L^2(\mathbb{R})$$

$$w = \frac{A\psi_D + B\psi}{C\psi_D + D\psi} \implies \lim_{q \rightarrow \pm\infty} w = \frac{A}{C}$$
$$\implies w(-\infty) = w(+\infty)$$

2) ...

Potential Well:

$$V(q) = \begin{cases} 0 & |q| \leq L \\ V_0 & |q| > L \end{cases}$$

$$k = \frac{\sqrt{2mE}}{\hbar} \quad K = \frac{\sqrt{2m(V_0 - E)}}{\hbar}$$

$$|q| \leq L$$

$$\Psi_1^1 = \cos kq$$

$$\Psi_2^1 = \sin kq$$

$$q > L$$

$$\Psi_1^2 = e^{-Kq}$$

$$\Psi_2^2 = e^{Kq}$$

The solution at  $q < L$  is fixed by parity

four possibilities (1, 1) (2, 1) / (1, 2) (2, 2)

take (1, 1) :  $\Psi, \Psi'$  continuous  $\Rightarrow k \tan kL = K$

Use  $\Psi^D = c \Psi \int_{q_0}^q dx \Psi^{-2}(x) + d \Psi$

$$\Rightarrow w = \frac{1}{[k \sin(2kL)]} \begin{cases} \cos(2kL) - e^{-2K(q+L)} & q < -L \\ \sin(2kL) \tan(kq) & |q| \leq L \\ e^{2K(q-L)} - \cos(2kL) & q > L \end{cases}$$

$$\lim_{q \rightarrow \pm} \frac{\psi^D}{\psi} = \pm\infty \quad \Longrightarrow \quad E_n(k \tan kL = K) \text{ are admissible solutions}$$

$$\text{take (1, 2) : } \Psi, \Psi' \text{ continuous} \quad \Longrightarrow \quad k \tan(kl) = -K$$

$$\Longrightarrow w = \frac{1}{[k \sin(2kL)]} \begin{cases} \cos(2kL) - e^{-2K(q+L)} & q < -L \\ \sin(2kL) \tan(kq) & |q| \leq L \\ e^{2K(q-L)} - \cos(2kL) & q > L \end{cases}$$

$$\lim_{q \rightarrow \pm} \frac{\psi^D}{\psi} = \mp \frac{1}{k} \cot(2kL) \quad \Longrightarrow \quad w(-\infty) \neq w(+\infty)$$

$(k^{-1}(\cot 2kL) = 0$  is not compatible with  $k \tan(kL) = -K$ )

$$\Longrightarrow E_n(k \tan kL = -K) \text{ are not admissible solutions}$$



We can understand the

$$\Psi \in L^2(\mathbb{R})$$

condition

+ existence of bound states

with quantized energy eigenvalues

as a consequence of the

postulated equivalence principle.