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# Excited States and Holographic Entanglement Entropy

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Based on

[1212.1164](#) (PRL 110, 091602 (2013)) , [1302.5703](#) (JHEP 05(2013)080),  
[1304.7100](#) (PRD 88, 026012, (2013)), [1308.3792](#)

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# ① Introduction

## **What is the entanglement entropy (EE) ?**

A measure how much a given quantum state is quantum mechanically entangled (or complicated).

~ **The amount of `active' degrees of freedom (or its information)**

## **Why interesting and useful ?**

At present, it seems still difficult to observe EE in real experiments (→ a developing subject).

But, recently it is very common to calculate EE in **`numerical experiments'** of cond-mat systems.

**e.g. computing central charges, detecting spin liquids**

## Advantages of EE

- EE = A quantum order parameter (~a generalization of `Wilson loops') ➡ **Classify quantum phases.**
- The entanglement entropy (EE) is a helpful bridge between gravity (string) and cond-mat physics.



- A universal quantity which characterizes the properties of non-equilibrium states.

# Information vs. Energy

**1<sup>st</sup> law of thermodynamics:**  $T \cdot dS = dE$   
Temp. Information Energy

⇒ Can we find an analogous relation in any quantum systems which are far from the equilibrium ?

Something like:  $Tent \cdot dSA = dEA$  ??  
Information in A Energy in A  
= EE

What ?

Can we observe EE ??



The main motivation of this talk.

# Contents

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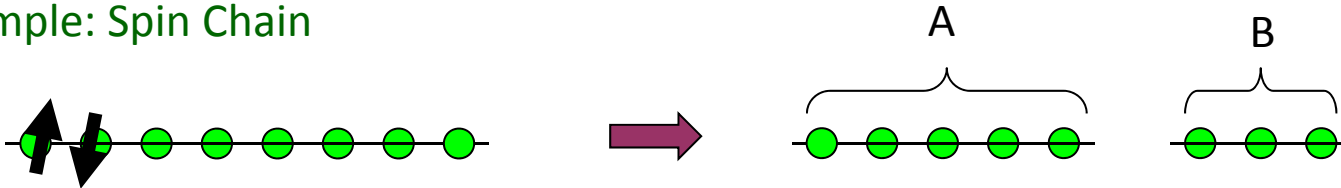
## ② Basic Facts about the Entanglement Entropy (EE)

### (2-1) Definition of Entanglement Entropy

Divide a quantum system into two subsystems A and B.

$$H_{tot} = H_A \otimes H_B .$$

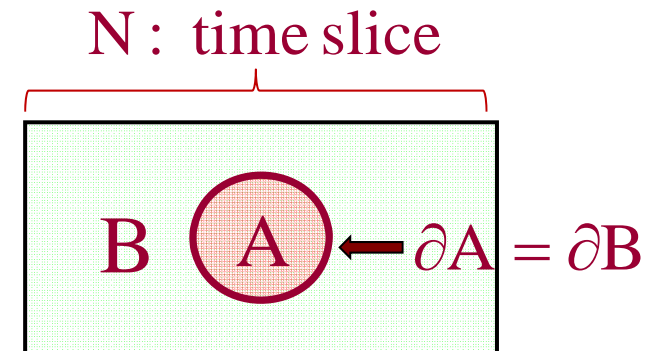
Example: Spin Chain



Define the reduced density matrix  $\rho_A$  for A by  $\rho_A = \text{Tr}_B \rho_{tot}$ , taking a trace over the Hilbert space of B.

Now the **entanglement entropy**  $S_A$  is defined by the von-Neumann entropy:

$$S_A = -\text{Tr}_A \rho_A \log \rho_A .$$



## (2-2) Basic Properties of EE

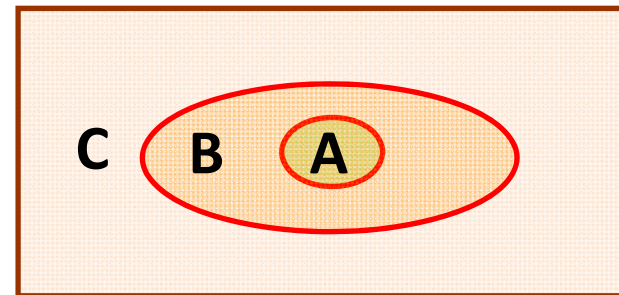
(i) If  $\rho_{tot}$  is a pure state (i.e.  $\rho_{tot} = |\Psi\rangle\langle\Psi|$ ) and  $H_{tot} = H_A \otimes H_B$ ,  
then  $S_A = S_B \Rightarrow$  EE is not extensive !

(ii) Strong Subadditivity (SSA) [Lieb-Ruskai 73]

When  $H_{tot} = H_A \otimes H_B \otimes H_C$ , for any  $\rho_{tot}$ ,

$$S_{A+B} + S_{B+C} \geq S_{A+B+C} + S_B,$$

$$S_{A+B} + S_{B+C} \geq S_A + S_C.$$



(Actually, these two inequalities are equivalent .)

The strong subadditivity can also be regarded as the **concavity** of von-Neumann entropy.

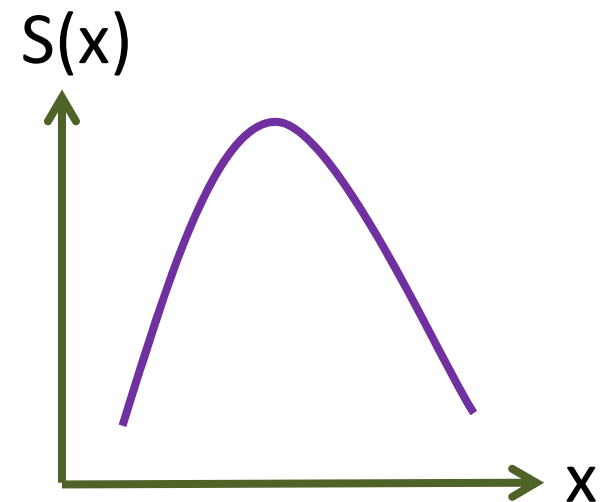
Indeed, if we assume A,B,C are numbers, then

$$S(A + B) + S(B + C) \geq S(A + B + C) + S(B),$$

$$\Rightarrow 2 \cdot S\left(\frac{x + y}{2}\right) \geq S(x) + S(y),$$

$$\Rightarrow \frac{d^2}{dx^2} S(x) \leq 0.$$

(i.e. concave function of x)





## (2-3) Holographic Entanglement Entropy (HEE)

[Ryu-TT 06]

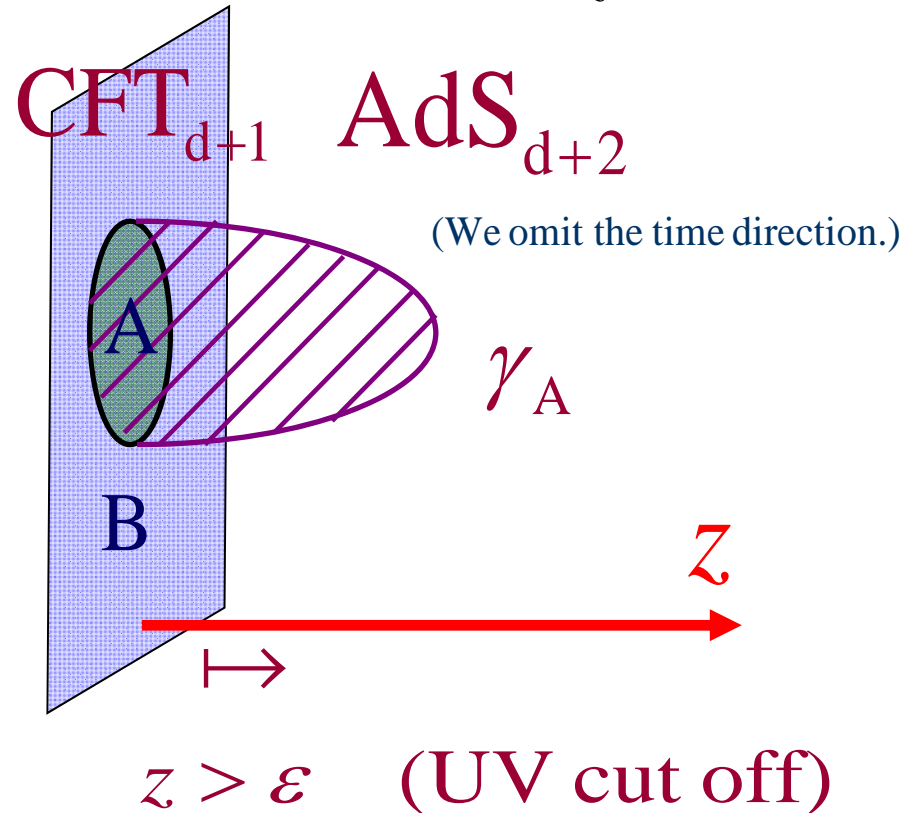
$$S_A = \frac{\text{Area}(\gamma_A)}{4G_N}$$

$\gamma_A$  is the minimal area surface (codim.=2) such that

$$\partial A = \partial \gamma_A \text{ and } A \sim \gamma_A \text{ homologous}.$$

Note: In time-dependent b.g., we need to employ the covariant version [Hubeny-Rangamani-TT 07].

$$ds_{AdS}^2 = R_{AdS}^2 \frac{-dt^2 + \sum_{i=1}^d dx_i^2 + dz^2}{z^2}$$



## Verification of HEE

- Confirmations of basic properties:  
Area law, Strong subadditivity (SSA), Conformal anomaly,....
- Direct Derivation of HEE from AdS/CFT:
  - (i) Pure AdS,  $A$  = a round sphere [Casini-Huerta-Myers 11]
  - (ii) Euclidean AdS/CFT [Lewkowycz-Maldacena 13, cf. Fursaev 06]
  - (iii) Disjoint Subsystems [Headrick 10, Faulkner 13, Hartman 13]
  - (iv) General time-dependent AdS/CFT → Not yet.  
[But, SSA: Allais-Tonni 11, Callan-He-Headrick 12, Wall 13]
- Corrections to HEE beyond the supergravity limit:  
[Higher derivatives: Hung-Myers-Smolkin 11, de Boer-Kulaxizi-Parnachev 11,..... ]  
[1/N effect: Barrella-Dong-Hartnoll-Martin 13, Faulkner-Lewkowycz-Maldacena 13]  
[Higher spin gravity: de Boer-Jottar 13, Ammon-Castro-Iqbal 13]

### ③ 'The First law' for EE of Excited States

[Bhattacharya-Nozaki-Ugajin-TT 12]

#### (3-1) Outline

Since the EE in a QFT is UV divergent, we would like to focus on the difference between the values of EE.

In other words, we will consider excited states and calculate:

$$\Delta S_A = S_A - S_A^{\text{GroundState}}.$$

This is always finite and we will compare this entropy with the energy in A:

$$\Delta E_A = \int_A dx^d T_{tt}.$$

## (3-2) Holographic Calculation

Consider an asymptotically AdS<sub>d+2</sub> background  
 (= an excited state in CFT<sub>d+1</sub>):

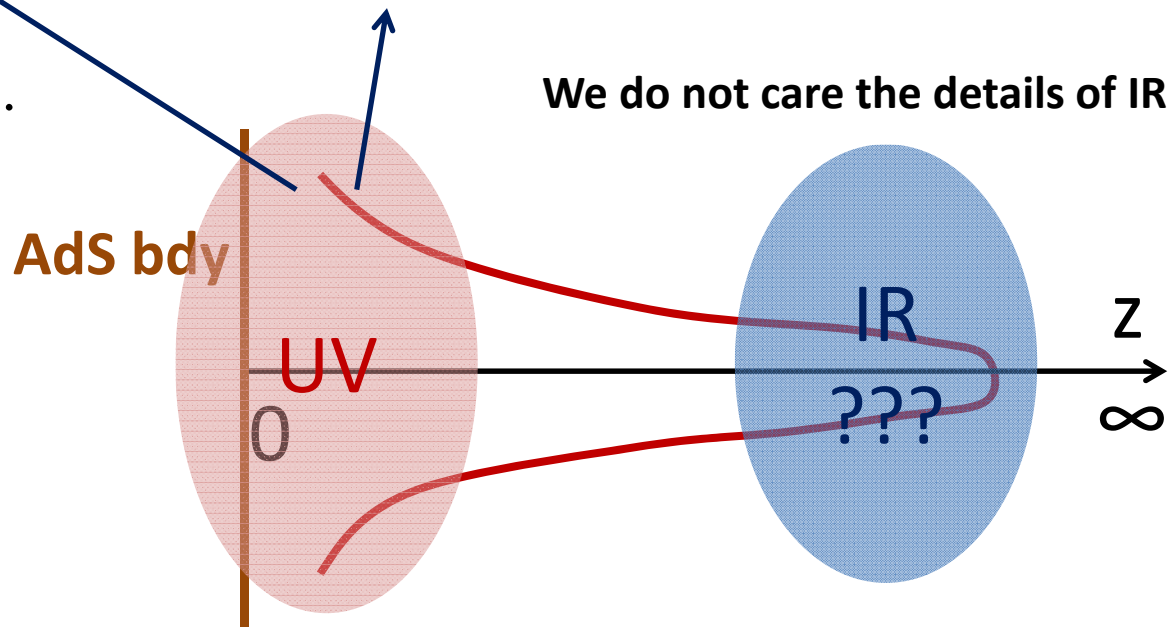
$$ds^2 = \frac{R^2}{z^2} \left( -f(z)dt^2 + g(z)dz^2 + \sum_{i=1}^d dx_i^2 \right),$$

$$f(z) = 1 - mz^{d+1} + \dots, \quad g(z) = 1 + mz^{d+1} + \dots$$

$$\Rightarrow \varepsilon = T_{tt} = \frac{dR^{d+1}m}{16\pi G_N}.$$

energy density

We do not care the details of IR.



## Holographic Entanglement Entropy Analysis

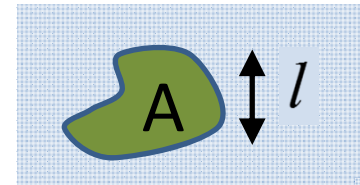
If we assume a small subsystem A with the size  $l$  such that

$$ml^{d+1} \ll 1,$$

then we can show

$$T_{ent} \cdot \Delta S_A = \Delta E_A,$$

where  $\Delta S_A = S_A - S_A^{\text{Pure AdS}}$ ,  $\Delta E_A = \int_A dx^d T_{tt}$ .



The 'entanglement temperature' is given by

$$T_{ent} = \frac{c}{l}.$$

The constant  $c$  is universal in that it only depends on the shape of the subsystem A:

*e.g.*  $c = \frac{d+2}{2\pi}$  when A = a round sphere.

## Holographic Prediction

Consider an excited state in a CFT which has an approximate translational and rotational invariance.

If the size of the subsystem A ( $= l$ ) is small enough such that

$$T_{tt} \cdot l^{d+1} \ll R^d / G_N \approx O(N^2),$$

then the following '1<sup>st</sup> law' like relation is satisfied:

$$T_{ent} \cdot \Delta S_A = \Delta E_A, \quad T_{ent} \equiv \frac{c}{l},$$

**Info.    Energy**

Note 1: The constant  $c$  depends only on the geometry of A.

Note 2: For more general critical points with the

dynamical exponent  $z$ , we have  $T_{ent} = c \cdot l^{-z}$ .

## More Progresses

If the rotational invariance is broken,  $\Delta S_A$  is a linear combination of not only Ttt but also other components of EM tensor.

[Pointed out in Guo-He-Tao 13, Allahbakhshi-Alishahiha-Naseh 13, Blanco-Casini-Hung-Myers 13;  
This problems does not occur when A= a round ball]

However, we can generally show the following equivalence

$$\Delta S_A = \Delta H_A (= -\text{Tr}[\delta\rho_A \cdot \log\rho_A]),$$
$$(\Delta H_A \equiv -\log\rho_A.)$$

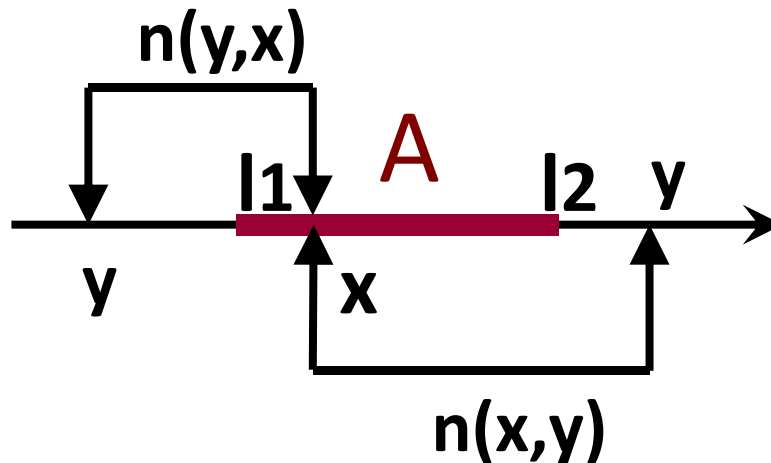
when A=a round ball, as pointed out in

[Blanco-Casini-Hung-Myers 13, Wong-Klich-Pando Zayas-Vaman 13]

## ④ Entanglement Density [Nozaki-Numasawa-TT 13]

We focus on the EE for a pure state in 2d CFTs for simplicity. Let us estimate the EE for the subsystem A (=an interval) by summing all of the EE between two infinitesimal regions:

$$S_A(l_1, l_2) = \int_{l_1}^{l_2} dx \left[ \int_{-\infty}^{l_1} dy n(y, x) + \int_{l_2}^{\infty} dy n(x, y) \right].$$





$$S_A = \int_{l_1}^{l_2} dx \left[ \int_{-\infty}^{l_1} dy n(y, x) + \int_{l_2}^{\infty} dy n(x, y) \right].$$

$$\frac{\partial S_A}{\partial l_2} = \int_{-\infty}^{l_1} dy n(y, l_2) + \int_{l_2}^{\infty} dy n(l_2, y) - \int_{l_1}^{l_2} dx n(x, l_2).$$

Therefore we find

$$\frac{\partial^2 S_A}{\partial l_1 \partial l_2} = 2n(l_1, l_2).$$

We will call  $n(l_1, l_2)$  the *entanglement density*.

Clearly this quantity should be non-negative.  
As we will see, this fact comes from the SSA.

Now, let us apply the SSA relation to the intervals:



$$S_{A+B} + S_{B+C} \geq S_{A+B+C} + S_B,$$

$$\Leftrightarrow S(l_1, l_3) + S(l_2, l_4) \geq S(l_1, l_4) + S(l_2, l_3),$$

$$\Leftrightarrow \frac{\partial^2 S(x, y)}{\partial x \partial y} \geq 0,$$

$$\Leftrightarrow n(x, y) \geq 0.$$

Note: This property is true **for any excited states**.

The 1<sup>st</sup> law and entanglement density

$$l = l_2 - l_1,$$

$$\xi = (l_1 + l_2)/2.$$

In 2d CFTs, we find the following property from the 1<sup>st</sup> law:

$$\Delta S_A(l, \xi, t) = \frac{\pi l^2}{3} T_{tt}(\xi, t) + O(l^3),$$

$$\Rightarrow \lim_{l \rightarrow 0} \int_{-\infty}^{\infty} d\xi \Delta S_A(l, \xi, t) = \text{conserved.}$$

In terms of the entanglement density, we obtain:

$$\lim_{l \rightarrow 0} \Delta n(l, \xi, t) = -\frac{\pi}{3} T_{tt}(\xi, t).$$

**Entanglement  $\leftrightarrow$  Energy**

$$\Rightarrow \int_{-\infty}^{\infty} d\xi \Delta n(0, \xi, t) = \text{conserved.}$$

## Full conservation law of entanglement density

Claim:  $\int dl_1 dl_2 \Delta n(l_1, l_2, t) = \int dl d\xi \Delta n(l_1, l_2, t) = 0.$

[Proof] We compactify the space on a circle with periodicity L.

Then we find  $S_A = S_B \Rightarrow \Delta n(l, \xi, t) = \Delta n(L-l, L-\xi, t).$

$$\int_0^L d\xi \int_0^{L/2} dl \Delta n(l, \xi, t) = \frac{1}{2} \int_0^L dl_1 \int_{l_2}^{l_1+L/2} dl_2 \frac{\partial^2 \Delta S_A(l_1, l_2)}{\partial l_1 \partial l_2}$$

$$= \frac{1}{2} \int_0^L dl_1 \left[ \underbrace{\frac{\partial}{\partial x} \Delta S_A(x, l_1 + L/2)}_{=0} - \underbrace{\frac{\partial}{\partial x} \Delta S_A(x, l_1)}_{=0} \right]_{x=l_1} = 0.$$

because  $S_A=S_B.$

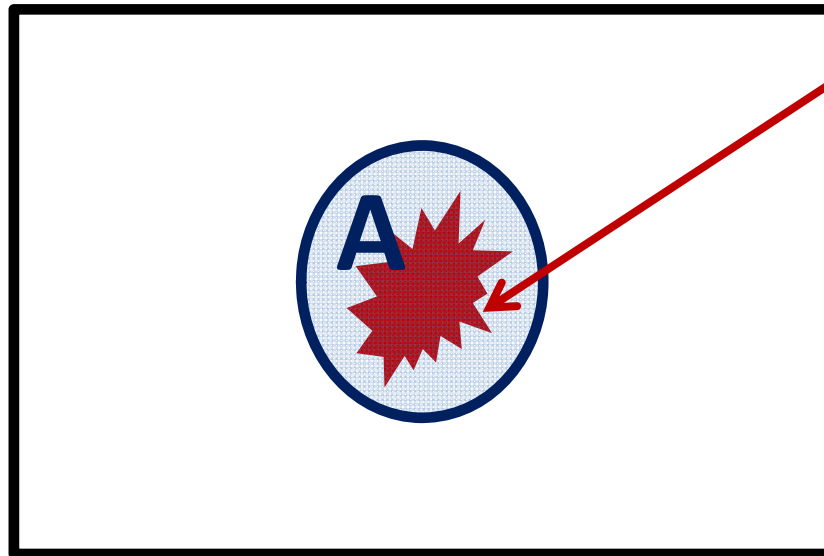
because 1<sup>st</sup> law.

# ⑤ Holographic Local Quenches and EE

[Nozaki-Numasawa-TT 13]

## (5-1) Outline

We would like to study **the relation between the EE and energy** when we excite the system **locally**.



Localized Excitations

$\Rightarrow$  Calculate  $\Delta S_A$

$\sim$ The amount of quantum Information of excitations

## (5-2) Local Quantum Quenches

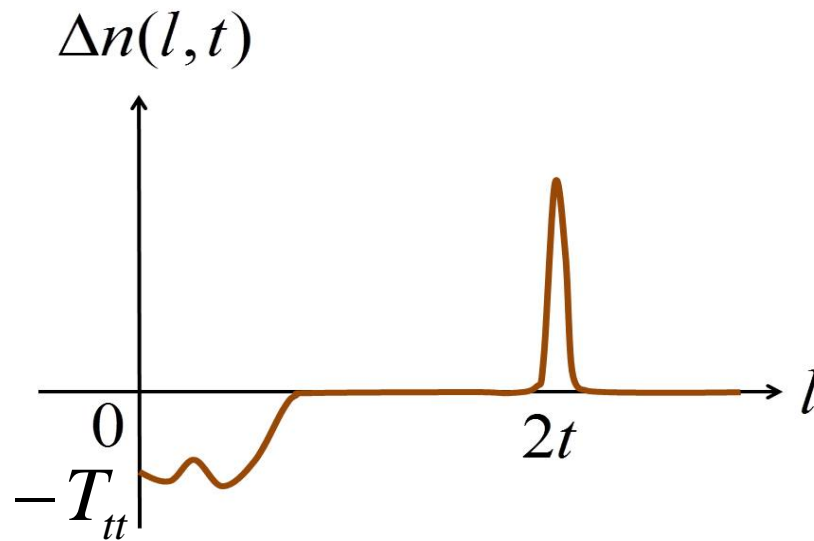
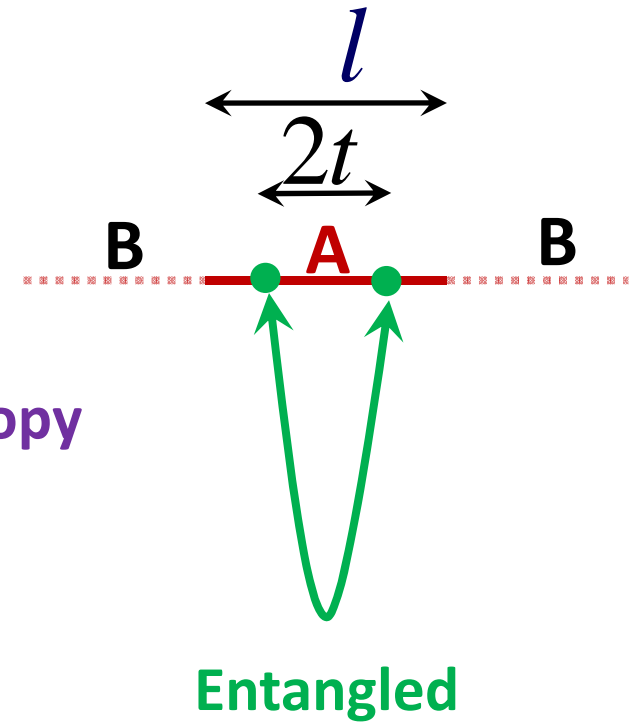
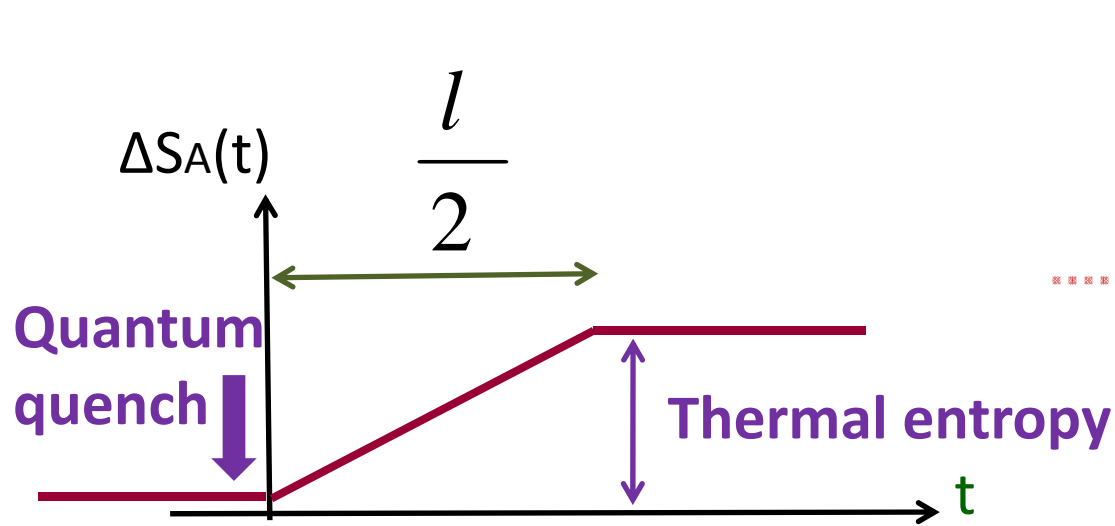
### Global Quench (Global Excitations)

The thermalization under a sudden change of Hamiltonian is called **quantum quench** and has been intensively studied in condensed matter physics. [Calabrese-Cardy 05-10]

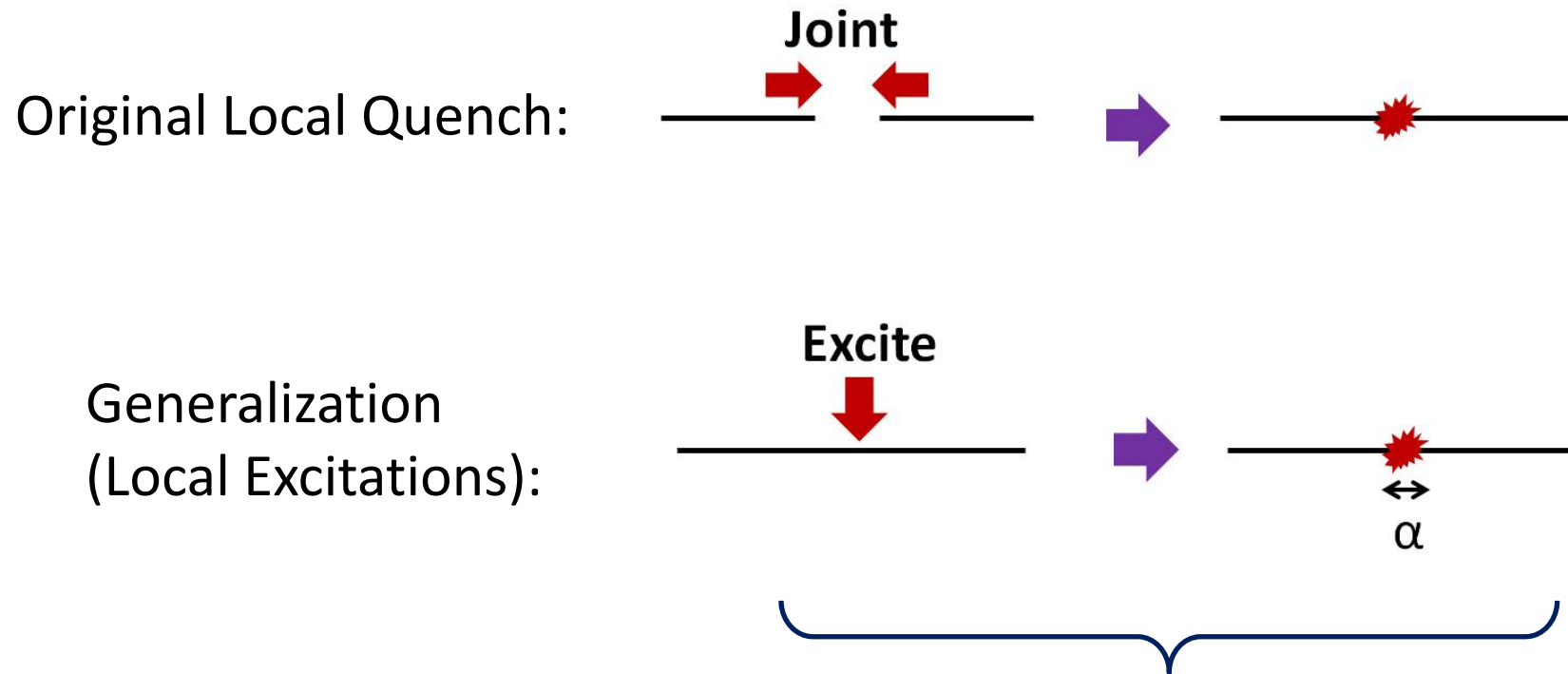
This corresponds to the time-dependent setup of AdS/CFT:

Black hole formation in AdS  $\Leftrightarrow$  Thermalization in CFT

# EE in Global Quenches and Entanglement Density in 2d CFTs



## Local Quenches (Local excitations)

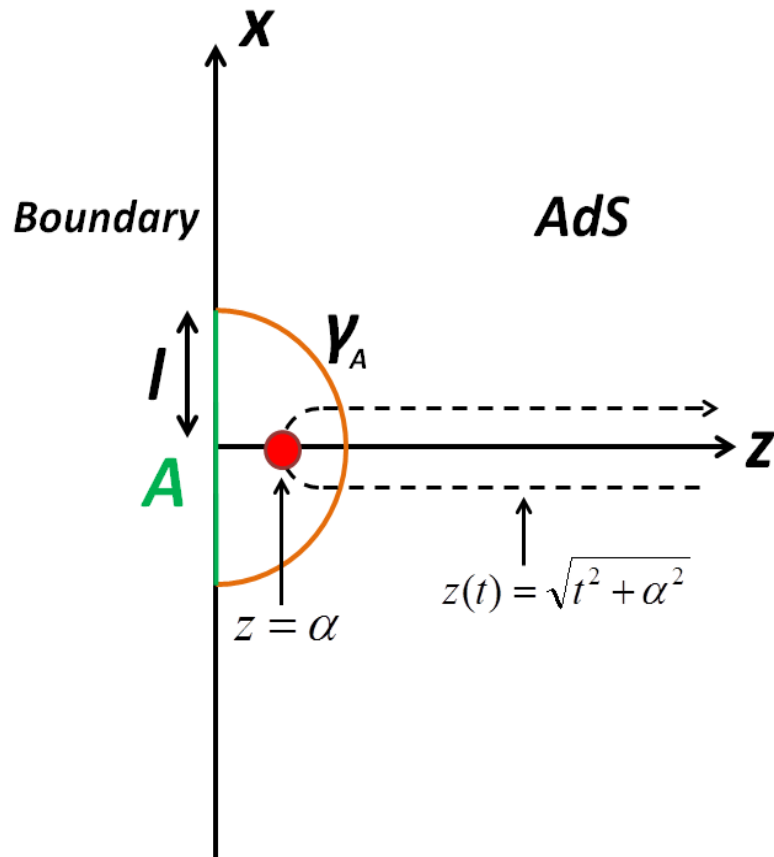


We consider this kind of example using the AdS/CFT.



## (5-3) Holographic Local Quenches

We argue that a simple model of holographic local quench is given by a free falling particle (mass  $m$ ) in AdSd+2.



$$ds^2 = R^2 \cdot \frac{dz^2 - dt^2 + d\vec{x}^2}{z^2}$$

Trajectory:  $z(t) = \sqrt{t^2 + \alpha^2}$  .

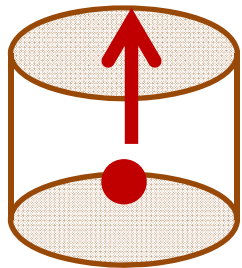
$\alpha \sim$  the size of localized excitations

## Construction of Back-reacted Solutions

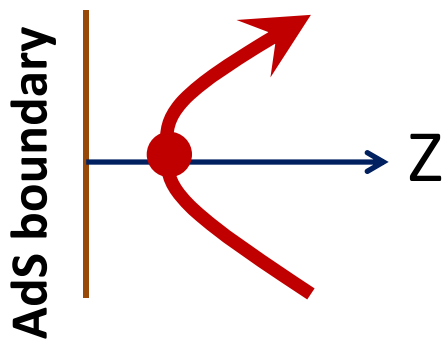
We use the method first noticed by [\[Horowitz-Itzhaki 99\]](#).

Start with the global AdS BH:

$$ds^2 = -f(r)d\tau^2 + \frac{R^2}{f(r)}dr^2 + r^2 d\Omega_d^2, \quad f(r) = r^2 + R^2 - M/r^{d-2}.$$



Coordinate transformation



$$\sqrt{R^2 + r^2} \cdot \cos \tau = \frac{R^2 e^\beta + e^{-\beta} (z^2 + x^2 - t^2)}{2z},$$

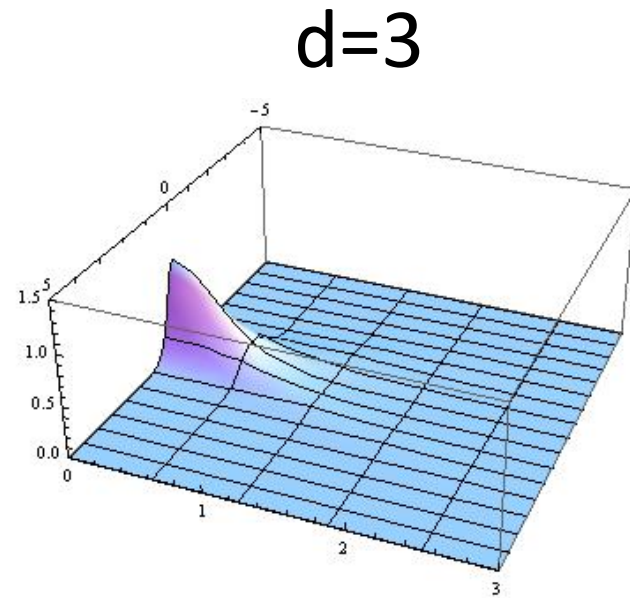
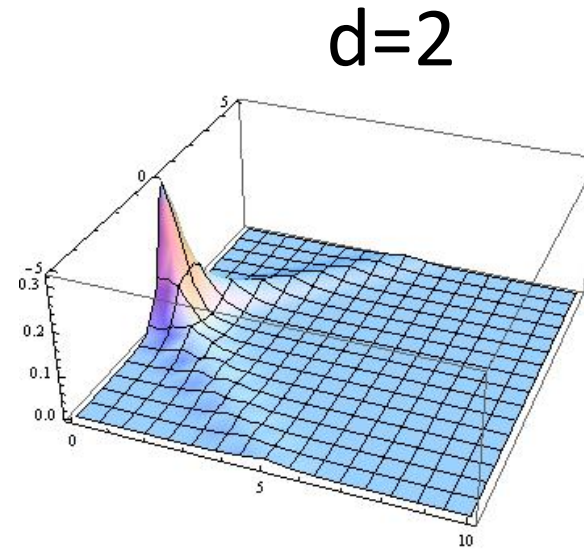
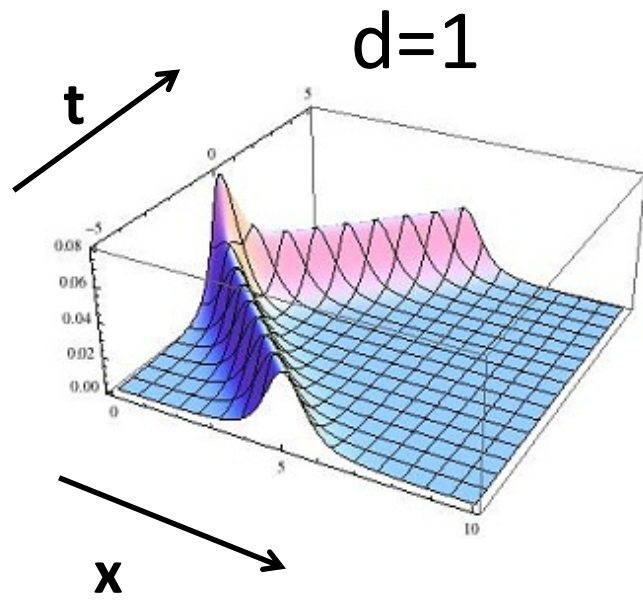
$$\sqrt{R^2 + r^2} \cdot \sin \tau = \frac{Rt}{z},$$

$$r\Omega_i = \frac{Rx_i}{z} \quad (i = 1, 2, \dots, d),$$

$$r\Omega_{d+1} = \frac{-R^2 e^\beta + e^{-\beta} (z^2 + x^2 - t^2)}{2z}.$$

Asymptotic AdS space (M=0 → Pure AdS)

# Energy density via AdS/CFT



## Analysis of HEE

(i) Perturbation theory w.r.t  $M \rightarrow$  Any dim.  $d$ .

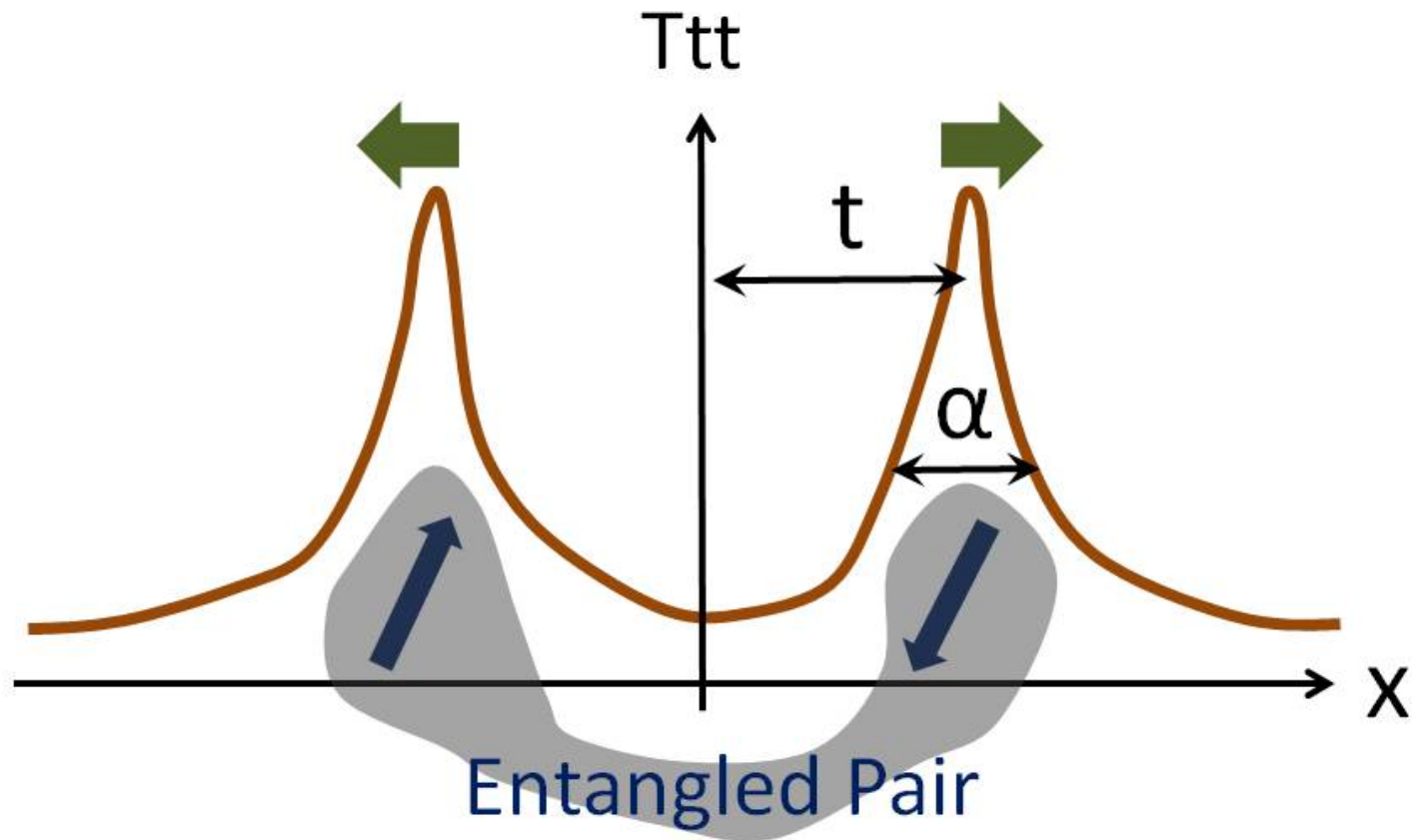
$\rightarrow$  We can confirm the '1<sup>st</sup> law' in the small size limit.

(ii) Exact analysis  $\rightarrow$  So far only for AdS3 ( $d=3$ ).

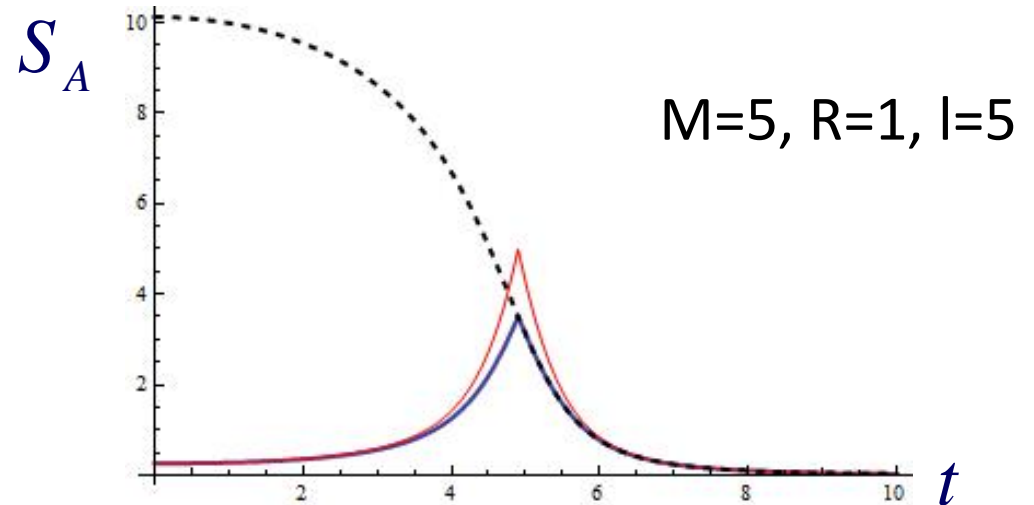
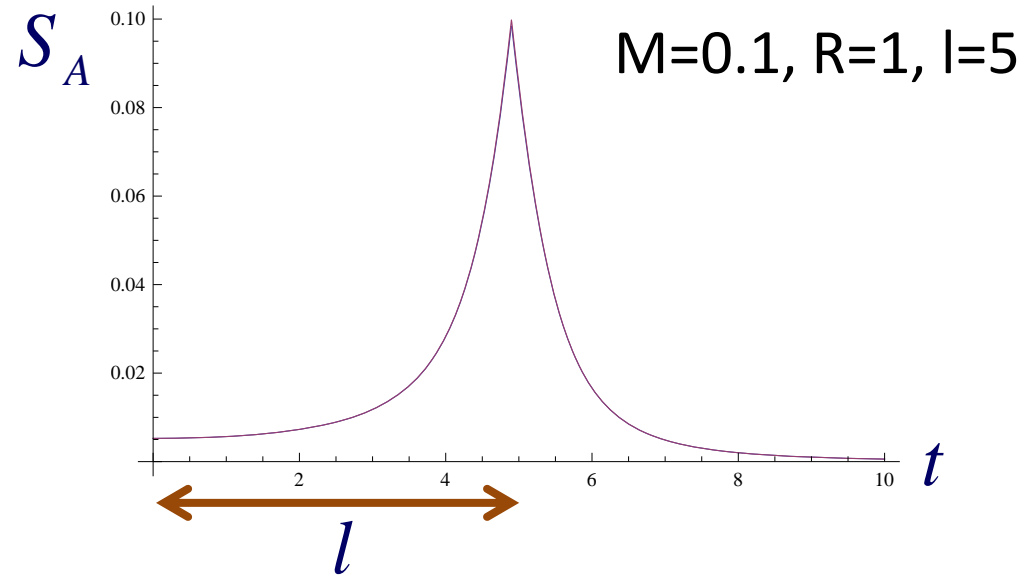
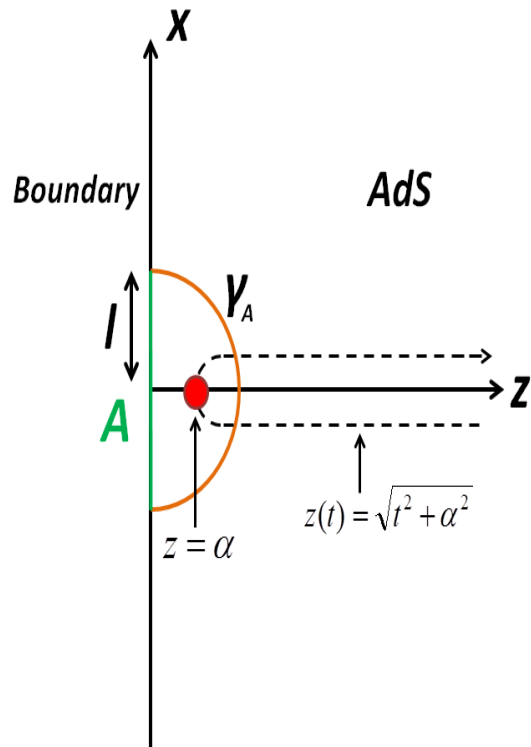
$$E_{particle} = \frac{R \cdot m}{\alpha},$$

$$M = mG_N R^2 \cdot \frac{8\Gamma(d/2)}{(d-1)\pi^{d/2-1}}.$$

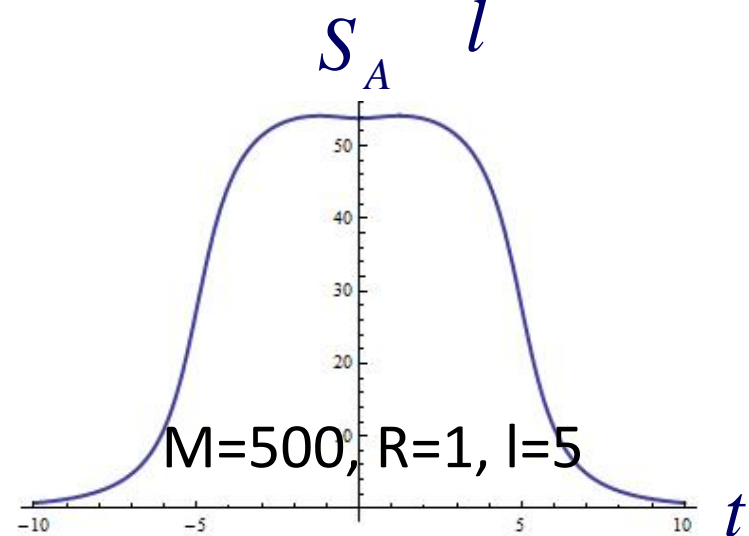
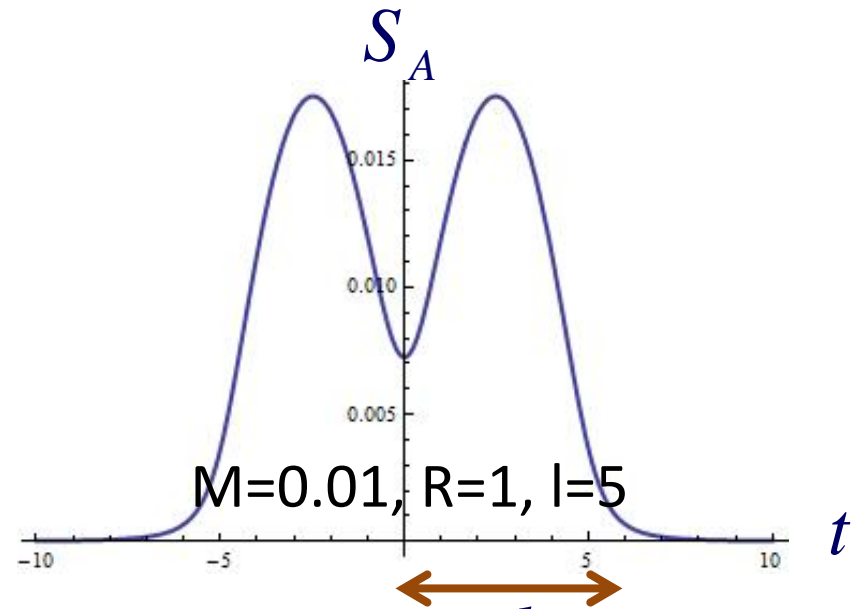
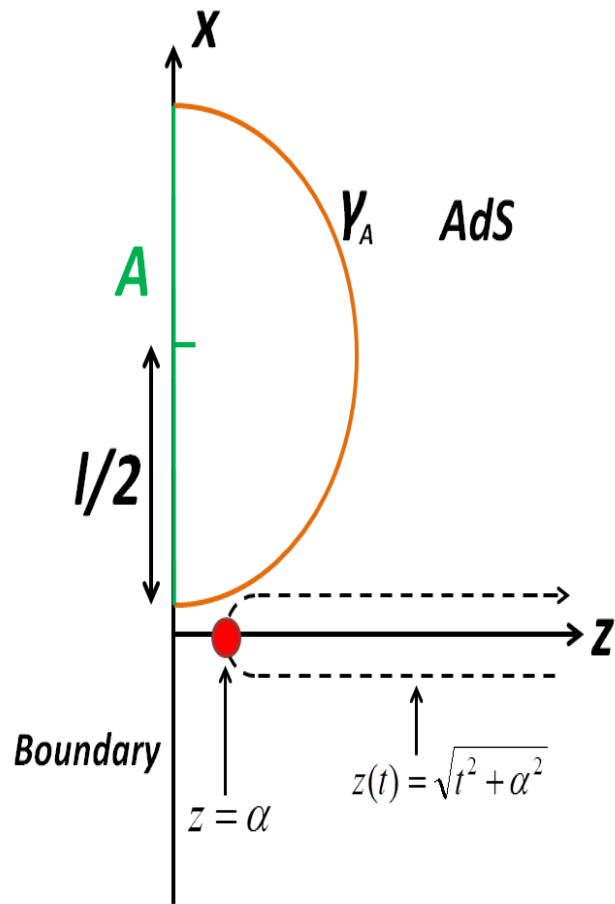
## Basic picture



# Time Evolution of HEE in AdS3/CFT2 (Case 1)

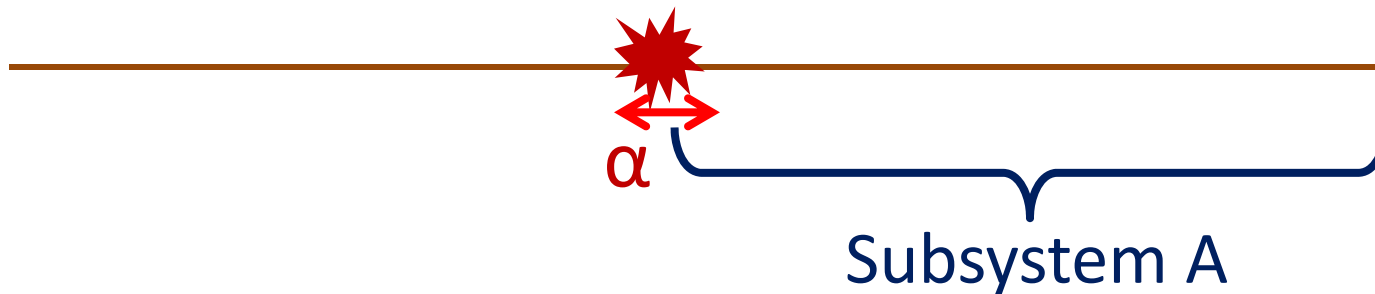


# Time Evolution of HEE in AdS3/CFT2 (Case 2)



If we take the limit  $l \gg t \gg \alpha$  in the case 2, we find

$$S_A = \frac{c}{6} \log \frac{t}{\alpha} + \frac{c}{3} \log \frac{l}{a} + \text{const.}$$

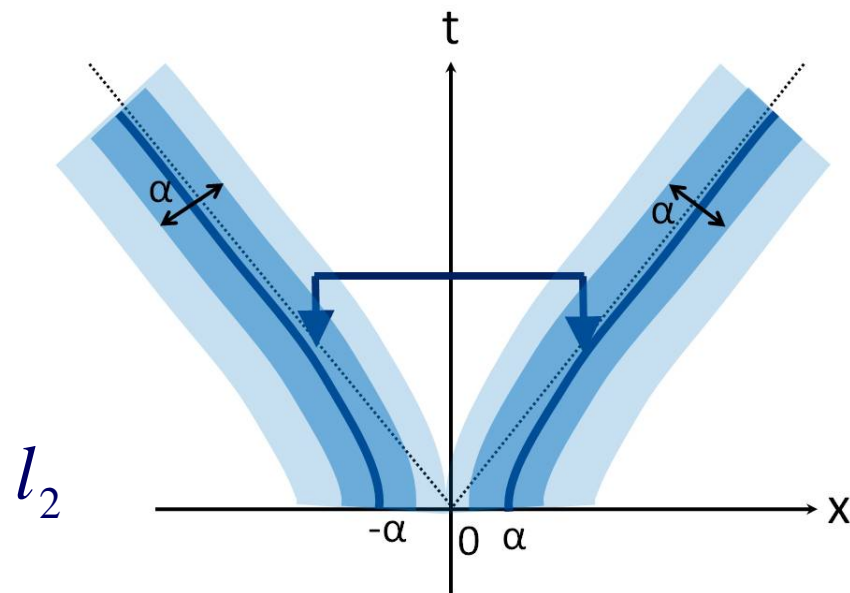
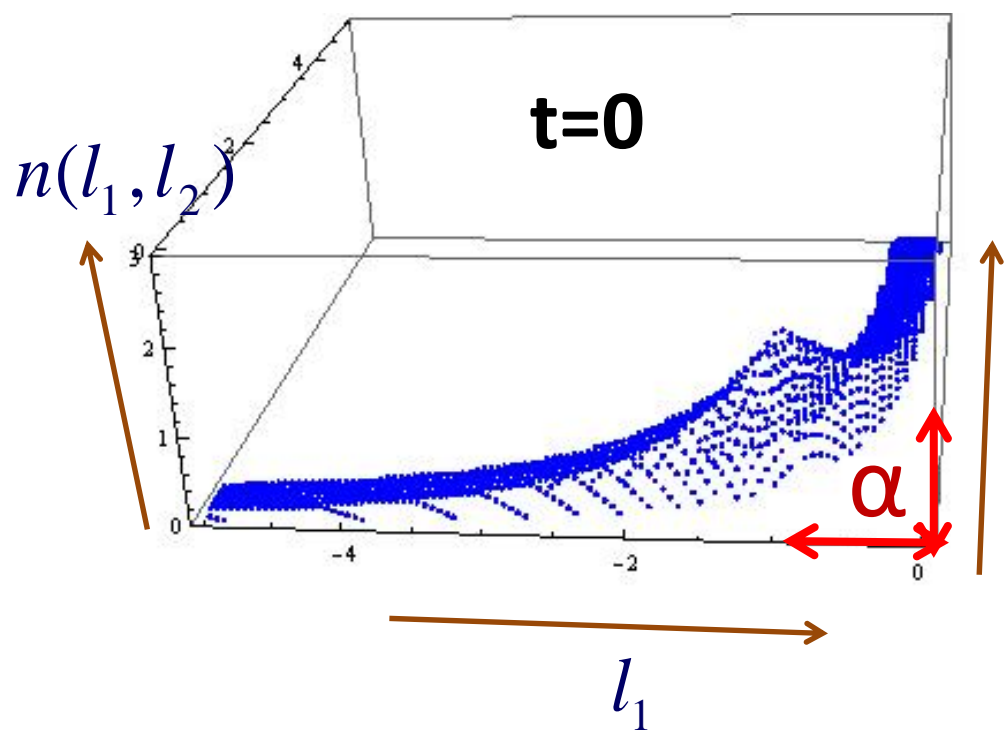


*cf.* 2d CFT result for local quenches by the joint procedure

$$S_A = \frac{c}{3} \log \frac{t}{a} + \frac{c}{6} \log \frac{l}{\alpha} + \text{const.}$$



# Entanglement Density



## (5-4) Quantum Information and Energy

We can estimate the maximal value of  $\Delta S_A$  when we varies  $l$  and  $t$ . For a small  $M$ , we find for any dim.

$$1 \ll \Delta \ll c$$

$$\Delta S^{\max} = C_d \cdot mR \approx C_d \cdot \Delta.$$

$$(C_1 = 2, C_2 = \pi / 2, C_3 = 4)$$

$\Rightarrow$  #Microstates of

Localized Excitations  $\sim e^{C_s \cdot \Delta}$ .

## Exact result in AdS3

$$\Delta S^{\max} = \frac{c}{3} \log \left[ \frac{R}{\sqrt{R^2 - M}} \sin \left( \frac{\pi \sqrt{R^2 - M}}{2R} \right) \right] \approx 2\Delta.$$

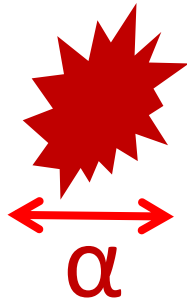
Summary:

**The amount of quantum information**

**of Localized excitations**  
**(`fire ball' of gluons)**

$$\sim \mathbf{E} \cdot \mathbf{\alpha}$$

**Energy**      **Size**



Cf. Bekenstein Bound

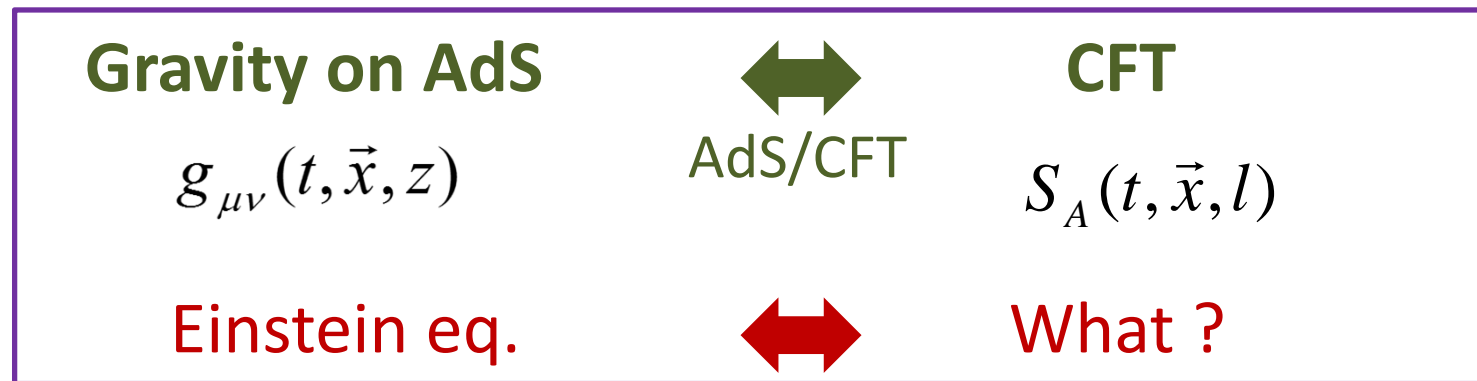
## ⑥ What is the Einstein equation for HEE ?

[Nozaki-Numasawa-Prudenziati-TT 13, Bhattacharya-TT 13]

The '1<sup>st</sup> law-like' relation appears only when the size of A is small.

➡ What can we say if the size of subsystem is not small ?

This is related to a basic question in the AdS/CFT:



Below we study a **HEE counterpart of perturbative Einstein eq.** assuming small excitations of a CFT.

(see also [Lashkari-McDermott-Raamsdonk 13](#) for a different approach)

## How can we compute $\Delta S_A$ ?

We can calculate the area perturbation of the minimal surface from the minimal surface before the metric perturbation:

$$\Delta \text{Area} = \frac{1}{2} \int_{\gamma_A^{(0)}} d\xi^d \sqrt{G^{(0)}} \text{Tr} \left[ G^{(1)} (G^{(0)})^{-1} \right] ,$$

where

$\gamma_A^{(0)}$  : minimal surface before the metric perturbation.

$\gamma_A$  : minimal surface after the metric perturbation.

$G^{(0)}$  is the induced metric on  $\gamma_A^{(0)}$ .




$G^{(1)}$  is the perturbative contribution to the induced metric on  $\gamma_A$ .

## AdS4/CFT3

Let  $A$  be a round ball with radius  $l$ . Its center is situated at  $(t, \vec{x})$ .

The perturbative Einstein equation is rewritten as follows

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} + \Lambda g_{\mu\nu} = T_{\mu\nu}$$

Kinetic term  c.c.  Matter field contributions   $\phi \leftrightarrow O$

$$\left( \partial_t^2 - \partial_l^2 - \partial_{\vec{x}}^2 - \frac{3}{l^2} \right) \Delta S_A(t, \vec{x}, l) = \langle O \rangle \langle O \rangle$$

Note: There are **no time derivatives**.

$\Rightarrow$  This gives a **constraint** for HEE at a fixed time.

The time evolution is determined by IR bdy conditions.

## AdS4 Schwarzschild BH

When the size of the subsystem is very large , we find

$$\left(\partial_l^2 - \partial_l - \partial_{\vec{x}}^2\right) \Delta S_A(t, \vec{x}, l) = \langle O \rangle \langle O \rangle.$$

This coincides with the holographic result for flat space.

## AdS3/CFT2

In AdS3 gravity, we have two constraints:

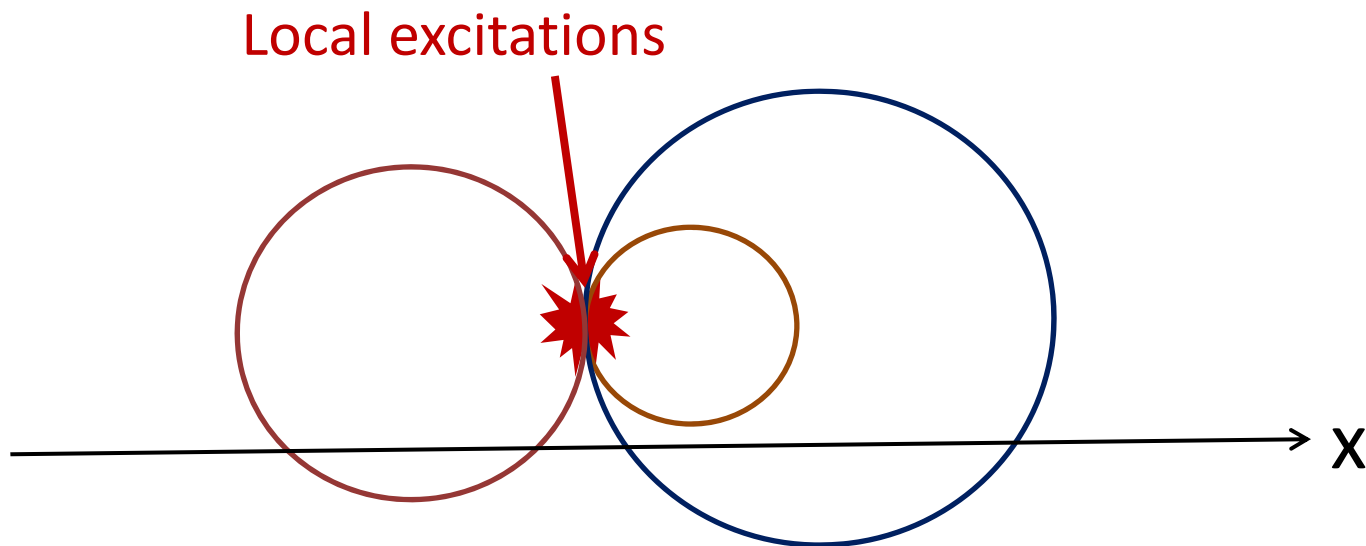
$$\left(\partial_x^2 - \partial_t^2\right) \Delta S_A(t, x, l) = \langle O \rangle \langle O \rangle,$$
$$\left(\partial_l^2 - \partial_t^2 - \frac{2}{l^2}\right) \Delta S_A(t, x, l) = \langle O \rangle \langle O \rangle.$$



[Confirmed in CFT2 by Wong-Klich-Pando Zayas-Vaman 13]

## Intuitive interpretation of these constraints

Hyperbolic PDE:  $(\partial_l^2 - \partial_{\vec{x}}^2) \Delta S_A(t, \vec{x}, l) \approx 0$   
 $\Rightarrow \Delta S_A \propto f(l - |x|) + g(l + |x|).$



$\Delta S_A$  becomes non-trivial only when  $\partial A$  intersects with the excited region  $\Leftrightarrow l = \pm |x|$ .



## ⑦ Conclusions

- The amount of quantum information  $\sim$  energy in CFTs.
    - Analogous to the thermodynamical first law.
  - A simple holographic model of local quenches and its HEE.
  - A counterpart of Einstein equation for entanglement entropy.
- Can we employ these to study the holography in other spacetimes (e.g. de-Sitter spaces) ?
- Can we formulate quantum gravity in terms of HEE ?