

Quadratic unipotent blocks of general linear, unitary and symplectic groups

Bhama Srinivasan

University of Illinois at Chicago

June 2009

\mathbf{G} is a connected reductive algebraic group defined over \mathbf{F}_q ,
 $F : \mathbf{G} \rightarrow \mathbf{G}$ a Frobenius morphism,
 $G = \mathbf{G}^F$ is a finite reductive group.

Examples: $GL(n, q)$, $U(n, q)$, $Sp(2n, q)$, $SO^\pm(2n, q)$

G has subgroups maximal tori, Levi subgroups (centralizers of tori)

Let ℓ be a prime not dividing q .

Suppose \mathbf{L} is an F -stable Levi subgroup.

- The Deligne-Lusztig linear operator:

$$R_{\mathbf{L}}^{\mathbf{G}} : K_0(\overline{\mathbf{Q}}_l \mathbf{L}) \rightarrow K_0(\overline{\mathbf{Q}}_l \mathbf{G}).$$

- The unipotent characters of G are the irreducible characters χ in $R_{\mathbf{T}}^{\mathbf{G}}(1)$ as \mathbf{T} runs over F -stable maximal tori of \mathbf{G} .

If \mathbf{L} is in an F -stable parabolic subgroup \mathbf{P} ,

$R_{\mathbf{L}}^{\mathbf{G}}$ is just Harish-Chandra induction.

Let ℓ be a prime not dividing q .

Suppose \mathbf{L} is an F -stable Levi subgroup.

- The Deligne-Lusztig linear operator:

$$R_{\mathbf{L}}^{\mathbf{G}} : K_0(\overline{\mathbf{Q}}_l L) \rightarrow K_0(\overline{\mathbf{Q}}_l G).$$

- The unipotent characters of G are the irreducible characters χ in $R_{\mathbf{T}}^{\mathbf{G}}(1)$ as \mathbf{T} runs over F -stable maximal tori of \mathbf{G} .

If \mathbf{L} is in an F -stable parabolic subgroup \mathbf{P} ,

$R_{\mathbf{L}}^{\mathbf{G}}$ is just Harish-Chandra induction.

Lusztig classification of complex characters is in good shape.

$\text{Irr}(G) = \cup \mathcal{E}(G, (s))$, union of Lusztig series, $(s) \subset G^*$, a semisimple conjugacy class.

K a sufficiently large field of characteristic 0

\mathcal{O} a complete discrete valuation ring with quotient field K

The ordinary characters or KG -modules are partitioned into blocks corresponding to the decomposition of $\mathcal{O}G$ into indecomposable two-sided ideals called block algebras.

G is a finite reductive group, e.g. a classical group. ℓ a prime not dividing q .

Problem: Describe the ℓ -blocks of G .

A unipotent block is a block which contains unipotent characters.
Describe the unipotent blocks.

Let $G = GL(n, q)$, e the order of q mod ℓ . The unipotent characters of G are constituents of the permutation representation on the cosets of the subgroup B of upper triangular matrices. They are indexed by partitions of n . Say χ_λ corresponds to the partition λ .

Theorem (Fong-Srinivasan, 1982) χ_λ, χ_μ are in the same ℓ -block if and only if λ, μ have the same e -core.

Proof involves Deligne-Lusztig theory and Brauer theory. These two theories are compatible!

$$G = Sp(2n, q), SO(2n + 1, q), SO^\pm(2n, q),$$

A symbol Λ is a pair (S, T) of subsets of \mathbf{N} .

Notion of e -hooks, e -cohooks, e -cores of symbols defined.

$$\begin{pmatrix} 0 & 1 & 2 \\ & 1 & 3 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 4 \\ & 1 & 3 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 3 & 4 \end{pmatrix}$$

The second symbol comes from the first by adding a 2-hook.

The third symbol comes from the first by adding 2-cohook.

In $G = CSp(2n, q), SO(2n + 1, q), CSO^\pm(2n, q)$, unipotent characters are parameterized by symbols.

q and ℓ odd, e the order of q mod ℓ .

Unipotent blocks are again classified by e -cores of symbols.
(Fong-Srinivasan, 1989)

THEOREM $\psi_{\Lambda_1}, \psi_{\Lambda_2}$ are in the same ℓ -block if and only if the symbols Λ_1, Λ_2 have the same e -core.

e -Harish-Chandra theory for unipotent characters: The Lusztig series $\mathcal{E}(G, 1)$ is partitioned into families.

The characters in a family are constituents of $R_L^G(\psi)$ where L is an “ e -split Levi subgroup”, ψ a unipotent “ e -cuspidal” character of L . Then (L, ψ) is called an e -cuspidal pair.
 $e = 1$ gives the usual Harish-Chandra theory.

THEOREM (Cabanes-Enguehard) Let B be a unipotent block of G , ℓ odd. Then the unipotent characters in B are precisely the constituents of $R_L^G(\psi)$ where the pair (L, ψ) is e -cuspidal.

Thus we have a fit of Brauer theory and Lusztig theory. The subgroup $N_G(L)$ here plays the role of a "local subgroup".

EXAMPLE. $GL(n, q)$: $L \cong T_1 \times T_2 \times \dots \times T_r \times GL(m, q)$, where the T_i are tori of order $q^e - 1$ and $\psi = 1 \times \chi_\lambda$, λ an e -core.

THEOREM (Cabanes-Enguehard) Let B be a unipotent block of G , ℓ odd. Then the unipotent characters in B are precisely the constituents of $R_L^G(\psi)$ where the pair (L, ψ) is e -cuspidal.

Thus we have a fit of Brauer theory and Lusztig theory. The subgroup $N_G(L)$ here plays the role of a "local subgroup".

EXAMPLE. $GL(n, q)$: $L \cong T_1 \times T_2 \times \dots \times T_r \times GL(m, q)$, where the T_i are tori of order $q^e - 1$ and $\psi = 1 \times \chi_\lambda$, λ an e -core.

THEOREM (Cabanes-Enguehard) Let B be a unipotent block of G , ℓ odd. Then the unipotent characters in B are precisely the constituents of $R_L^G(\psi)$ where the pair (L, ψ) is e -cuspidal.

Thus we have a fit of Brauer theory and Lusztig theory. The subgroup $N_G(L)$ here plays the role of a "local subgroup".

EXAMPLE. $GL(n, q)$: $L \cong T_1 \times T_2 \times \dots \times T_r \times GL(m, q)$, where the T_i are tori of order $q^e - 1$ and $\psi = 1 \times \chi_\lambda$, λ an e -core.

Arbitrary ℓ -block B of G determines a conjugacy class (s) in a dual group G^* of G , where $s \in G^*$ is an ℓ' -semi simple element. Then one hopes for a Jordan decomposition of blocks, i.e. a unipotent block of $C_{G^*}(s)$ sharing some properties with B .

Some modern problems of modular representation theory:

G is a finite reductive group, H some related group, e.g. another finite reductive group, $N_G(L)$, L Levi in G , or $C_{G^*}(s)$ for some s .

Block B of G , block b of H

- (Broué) Establish a perfect isometry between B and b (over K)
- (BADC) (Broué's abelian defect group conjecture) derived equivalence of blocks between $\mathcal{O}B$ and $\mathcal{O}b$
- Morita equivalence between $\mathcal{O}B$ and $\mathcal{O}b$

Bonnafé-Rouquier: If B corresponds to $s \in G^*$ where $C_{G^*}(s)$ is contained in a Levi subgroup, there is a Morita equivalence between B and a unipotent block of b .

Block B of G , block b of H :

A perfect isometry is a bijection between $K_0(B)$ and $K_0(b)$ preserving certain invariants of B and b .

Leads to:

- B and b have the same number of ordinary and modular irreducible characters
- Cartan matrices of the blocks B and b define the same integral quadratic form.

Some results on perfect isometries, when the defect group of the blocks are abelian:

- Broué, Malle, Michel: perfect isometries between unipotent blocks of finite reductive groups and normalizers of Levi subgroups (abelian defect groups)
- Rouquier: Between two symmetric groups ("equal weight")
- Enguehard: Between two general linear groups ("equal weight")

Stronger results due to Chuang-Rouquier: BADC for general linear groups

Question: Perfect isometries between groups of different Lie types? A possibility?

Example: $GL(4, q)$ and $Sp(4, q)$, ℓ divides $q + 1$. There is one block correspondence between principal blocks. But $GL(4, q)$ has 5 unipotent characters in one block, $Sp(4, q)$ has 6 unipotent characters, 5 in one block and 1 in one block.

Question: Perfect isometries between groups of different Lie types? A possibility?

Example: $GL(4, q)$ and $Sp(4, q)$, ℓ divides $q + 1$. There is one block correspondence between principal blocks. But $GL(4, q)$ has 5 unipotent characters in one block, $Sp(4, q)$ has 6 unipotent characters, 5 in one block and 1 in one block.

Inspiration

p -adic groups, James Arthur: "We shall describe a classification of automorphic representations of classical groups in terms of those of general linear groups (endoscopic group)"

Waldspurger's papers

Inspiration

p -adic groups, James Arthur: "We shall describe a classification of automorphic representations of classical groups in terms of those of general linear groups (endoscopic group)"

Waldspurger's papers

Inspiration

p -adic groups, James Arthur: "We shall describe a classification of automorphic representations of classical groups in terms of those of general linear groups (endoscopic group)"

Waldspurger's papers

Enlarge the set of unipotent characters.

G is a finite reductive group.

$\text{Irr}(G) = \cup \mathcal{E}(G, (s))$, union of Lusztig series, $(s) \subset G^*$, a semisimple conjugacy class.

(Waldspurger) If $s^2 = 1$, characters in $\mathcal{E}(G, (s))$ are called quadratic unipotent (special case: unipotent, $s = 1$).

$G_n = GL(n, q)$ or $U(n, q)$, q odd.

Quadratic unipotent characters are parameterized by pairs of partitions (μ_1, μ_2) of k_i , $i = 1, 2$ resp., with $k_1 + k_2 = n$.

$H_n = Sp(2n, q)$. Unipotent characters parameterized by (equivalence classes of) symbols.

Quadratic unipotent characters parameterized by (equivalence classes of) pairs of symbols (Λ_1, Λ_2) where

Λ_1 : unordered symbol of rank k_1

Λ_2 : ordered symbol of rank k_2 , $k_1 + k_2 = n$.

$C_{G^*}(s)$ can be disconnected, e.g. $(SO(2k_1 + 1) \times SO^\pm(2k_2)) \rtimes Z_2$

Notation: $\text{Irr}(G_n)_{qu}$, $\text{Irr}(H_n)_{qu}$ for quadratic unipotent characters,
 W_n is the Weyl group of type B_n .

Waldspurger's Parametrization of $\text{Irr}(G_n)_{qu}$:

$$(\mu_1, \mu_2) \longleftrightarrow \{(m_1, m_2, \rho_1, \rho_2)\}$$

$$m_1, m_2 \in \mathbf{N}, \rho_i \in \text{Irr}(W_{N_i}), i = 1, 2$$

$$m_1(m_1 + 1)/2 + m_2(m_2 + 1)/2 + 2N_1 + 2N_2 = n$$

Here m_i, ρ_i come from the 2-core and the 2-quotient of μ_i .

Example:

$\chi_{(21,1)}$ is 2-cuspidal (no 2-core), in $\text{Irr}(GL(4))_{qu}$. In $GL(6)$, $\chi_{(41,1)}$ is obtained by Lusztig induction from $L = GL(4) \times T_{q^2-1}$, with $\rho_1 = (1, -)$. Then $(m_1, m_2, \rho_1, \rho_2) = (2, 1, (1, -), -)$.

$$\begin{pmatrix} * & * & * & * \\ * & + & + & \end{pmatrix} \rightarrow \begin{pmatrix} * & * & + & + \\ * & & & \end{pmatrix} \rightarrow \begin{pmatrix} * & * \\ * & \end{pmatrix}$$

Waldspurger's Parametrization of $\text{Irr}(H_n)_{qu}$:

$$\text{Irr}(H_n)_{qu} \longleftrightarrow \{(h_1, h_2, \rho_1, \rho_2)\}$$

$$h_1 \in \mathbf{N}, h_2 \in \mathbf{Z}, \rho_i \in \text{Irr}(W_{N_i}), i = 1, 2$$

$$h_1(h_1 + 1) + h_2^2 + N_1 + N_2 = n$$

Waldspurger's bijection:

$(m_1, m_2) \longleftrightarrow (h_1, h_2)$, where

$$m_1 = \sup(h_1 + h_2, h_1 - h_2 - 1), m_2 = \sup(h_1 - h_2, h_2 - h_1 - 1)$$

$\{2 - \text{cuspidals} \in \text{Irr}(G_n)_{qu}\} \longleftrightarrow \{1 - \text{cuspidals} \in \text{Irr}(H_n)_{qu}\}$

Extend bijection to

$$\{\text{Irr}(G_n)_{qu}\} \longleftrightarrow \{\text{Irr}(H_m)_{qu}\}$$

by

$$\{(m_1, m_2, \rho_1, \rho_2)\} \longleftrightarrow \{(h_1, h_2, \rho_1, \rho_2)\}$$

$$m_1(m_1 + 1)/2 + m_2(m_2 + 1)/2 + 2N_1 + 2N_2 = n$$

$$h_1(h_1 + 1) + h_2^2 + N_1 + N_2 = m$$

Example: $|\text{Irr}(Sp(4, q))_{qu}| = 23$, bijection of 14 with $GL(4, q)$, 8 with $GL(3, q)$, 1 with $GL(2, q)$.

$$\theta_{10} \in \text{Irr}(Sp(4, q)) \longleftrightarrow \chi_{(1,1)} \in \text{Irr}(GL(2, q))_{qu}$$

Note θ_{10} unipotent, $\chi_{(1,1)} \in \mathcal{E}(G, (s))$ with s of order 2,
 $m_1 = 1, m_2 = 1, h_1 = 1, h_2 = 0$.

Example: $|\text{Irr}(GL(4, q))_{qu}| = 20$, bijection of 14 with $Sp(4, q)$, 4 with $Sp(6, q)$, 2 with $Sp(8, q)$.

Two with $Sp(8, q)$ are $\chi_{(21,1)}$, $\chi_{(1,21)}$, 2-cuspidal, also correspond to cuspidal unipotent characters of $O^-(8, q)$. Here $m_1 = 2$, $m_2 = 1$, $h_1 = 0$, $h_2 = \pm 2$.

K a sufficiently large field of characteristic 0.

L_n the category of quadratic unipotent representations of G_n over K ,

M_n the same for H_n .

THEOREM With the usual inner product, there is an isometry between $\bigoplus_{n \geq 0} K_0(L_n)$ and $\bigoplus_{n \geq 0} K_0(M_n)$.

Also: Both isomorphic to

$Z[N \times M] \times \bigoplus_{n,m \geq 0} K_0(\mathcal{H}_n - \text{mod}) \times K_0(\mathcal{H}_m - \text{mod})$, \mathcal{H}_n Hecke algebra of type B_n .

K a sufficiently large field of characteristic 0.

L_n the category of quadratic unipotent representations of G_n over K ,

M_n the same for H_n .

THEOREM With the usual inner product, there is an isometry between $\bigoplus_{n \geq 0} K_0(L_n)$ and $\bigoplus_{n \geq 0} K_0(M_n)$.

Also: Both isomorphic to

$Z[N \times M] \times \bigoplus_{n,m \geq 0} K_0(\mathcal{H}_n - \text{mod}) \times K_0(\mathcal{H}_m - \text{mod})$, \mathcal{H}_n Hecke algebra of type B_n .

K a sufficiently large field of characteristic 0.

L_n the category of quadratic unipotent representations of G_n over K ,

M_n the same for H_n .

THEOREM With the usual inner product, there is an isometry between $\bigoplus_{n \geq 0} K_0(L_n)$ and $\bigoplus_{n \geq 0} K_0(M_n)$.

Also: Both isomorphic to

$Z[N \times N] \times \bigoplus_{n,m \geq 0} K_0(\mathcal{H}_n - \text{mod}) \times K_0(\mathcal{H}_m - \text{mod})$, \mathcal{H}_n Hecke algebra of type B_n .

Recent work: J.Algebra 184 (1996) and 319 (2008).

Theorem on unipotent blocks of $G = Sp(2n, q)$, $SO(2n + 1, q)$, $SO^\pm(2n, q)$ generalized to “quadratic unipotent” blocks.

EXAMPLE. $H_n = Sp(2n, q)$: quadratic unipotent characters in a block are constituents of $R_L^{H_n}(\psi)$,

$L \cong T_1 \times T_2 \times \dots \times T_{M_1} \times T_1 \times T_2 \times \dots \times T_{M_2} \times Sp(2m, q)$, where the T_i are tori of order $q^f - 1$ and $\psi = 1 \times \mathcal{E} \times \chi_{\Lambda_1, \Lambda_2}$, Λ_1 and Λ_2 are f -cores.

Quadratic unipotent blocks classified by e -cores of pairs of symbols and weights.

Recent work: J.Algebra 184 (1996) and 319 (2008).

Theorem on unipotent blocks of $G = Sp(2n, q)$, $SO(2n + 1, q)$, $SO^\pm(2n, q)$ generalized to “quadratic unipotent” blocks.

EXAMPLE. $H_n = Sp(2n, q)$: quadratic unipotent characters in a block are constituents of $R_L^{H_n}(\psi)$,

$L \cong T_1 \times T_2 \times \dots \times T_{M_1} \times T_1 \times T_2 \times \dots \times T_{M_2} \times Sp(2m, q)$, where the T_i are tori of order $q^f - 1$ and $\psi = 1 \times \mathcal{E} \times \chi_{\Lambda_1, \Lambda_2}$, Λ_1 and Λ_2 are f -cores.

Quadratic unipotent blocks classified by e -cores of pairs of symbols and weights.

Fix an odd prime ℓ , e the order of $q \bmod \ell$, $e = 2f$.

Let f be odd. COMPARE:

$G_n = U(n, q)$ and $H_n = Sp(2n, q)$, $q > n$, ℓ divides $q^f - 1$

$G_n = GL(n, q)$, and $H_n = Sp(2n, q)$, $q > n$,
 ℓ divides $q^f + 1$.

Also: $e = 2f$ where f is even, i.e. $e \equiv 0 \pmod{4}$ and ℓ divides $q^f + 1$. Exclude $e \equiv 2 \pmod{4}$.

THEOREM Let q, ℓ be odd, and $q > n$. There are ℓ -block correspondences between blocks B of G_n and blocks b of H_n as follows:

(i) ℓ divides $q^f - 1$, f odd, B a quadratic-unipotent ℓ -block of $U(n, q)$ and b a quadratic-unipotent ℓ -block of $Sp(2m, q)$, some m

(ii) ℓ divides $q^f + 1$, f odd, B a quadratic-unipotent ℓ -block of $GL(n, q)$ and b a quadratic-unipotent ℓ -block of $Sp(2m, q)$, some m

There is a natural bijection between quadratic-unipotent characters in B and b .

When the defect groups are abelian, the defect groups are isomorphic and there is a perfect isometry between B and b

Let $BI(G_n)_{qu}$ (resp. $BI(H_n)_{qu}$) be the set of quadratic unipotent blocks of G_n (resp. H_n), ℓ divides $q^f - 1$ or $q^f + 1$ as above.

There is a bijection

$$\coprod_{n \geq 0} BI(G_n)_{qu} \leftrightarrow \coprod_{n \geq 0} BI(H_n)_{qu},$$

such that if $B \rightarrow b$, there is a natural bijection between quadratic-unipotent characters in B and b .

IDEA

Use the following correspondences:

$$B \longleftrightarrow 2f - \text{core}(\lambda_1, \lambda_2) \longleftrightarrow \{(m_1, m_2, \rho_1, \rho_2)\} \longleftrightarrow \\ \{(h_1, h_2, \rho_1, \rho_2)\} \longleftrightarrow f - \text{core}(\Lambda_1, \Lambda_2) \longleftrightarrow b$$

$$m_1(m_1 + 1)/2 + m_2(m_2 + 1)/2 + 2N_1 + 2N_2 = n,$$

$$h_1(h_1 + 1) + h_2^2 + N_1 + N_2 = m.$$

IDEA

Perfect Isometries “across types”:

Use the paper of [BMM] to get an isotypy from B to a local subgroup of G_n of the form $N_{G_n}(L, \lambda)$, then to a local subgroup of H_n , then to b .

Endoscopic groups

Enguehard has defined for a finite reductive group G , $s \in G^*$, a group $G(s)$ (can be called an endoscopy group).

Example: For $H_n = Sp(2n, q)$, s with $s^2 = 1$,
 $H_n(s) = Sp(2m, q) \times O(2n - 2m, q)$.

We also have correspondences between *unipotent* blocks of suitable $G_n(s)$ and $H_n(s)$.

Endoscopic groups

Enguehard has defined for a finite reductive group G , $s \in G^*$, a group $G(s)$ (can be called an endoscopy group).

Example: For $H_n = Sp(2n, q)$, s with $s^2 = 1$,

$$H_n(s) = Sp(2m, q) \times O(2n - 2m, q).$$

We also have correspondences between *unipotent* blocks of suitable $G_n(s)$ and $H_n(s)$.

Endoscopic groups

Enguehard has defined for a finite reductive group G , $s \in G^*$, a group $G(s)$ (can be called an endoscopy group).

Example: For $H_n = Sp(2n, q)$, s with $s^2 = 1$,

$$H_n(s) = Sp(2m, q) \times O(2n - 2m, q).$$

We also have correspondences between *unipotent* blocks of suitable $G_n(s)$ and $H_n(s)$.

Here $BI(G_n)_u$ denotes the set of unipotent blocks of G_n .

There is a bijection

$$\coprod_{n_1, n_2 \geq 0} BI(G_{n_1} \times G_{n_2})_u \leftrightarrow \coprod_{n_1, n_2 \geq 0} BI(Sp_{2n_1} \times O_{2n_2})_u,$$

such that if $B \rightarrow b$, there is a natural bijection between quadratic-unipotent characters in B and b .

SUMMARY

$$\bigoplus_{n \geq 0} K_0(GL_n - \text{mod})_{qu} \cong \bigoplus_{n \geq 0} K_0(Sp_{2n} - \text{mod})_{qu}.$$

$$\bigoplus_{n_1, n_2 \geq 0} K_0((GL_{n_1} \times GL_{n_2}) - \text{mod})_u \cong$$

$$\bigoplus_{n_1, n_2 \geq 0} K_0((Sp_{2n_1} \times O_{2n_2}) - \text{mod})_u.$$

SUMMARY

For suitable ℓ : $\coprod_{n \geq 0} BI(GL_n)_{qu} \leftrightarrow \coprod_{n \geq 0} BI(Sp_{2n})_{qu}$

$\coprod_{n_1, n_2 \geq 0} BI(G_{n_1} \times G_{n_2})_u \leftrightarrow \coprod_{n_1, n_2 \geq 0} BI(Sp_{2n_1} \times O_{2n_2})_u$

What more can we say about this correspondence between blocks of general linear/unitary groups and blocks of symplectic groups?






Are corresponding blocks derived equivalent? Morita equivalent?

Has the symplectic group reached equal status with the general linear group, her "all-embracing majesty"?

What more can we say about this correspondence between blocks of general linear/unitary groups and blocks of symplectic groups?

Are corresponding blocks derived equivalent? Morita equivalent?

Has the symplectic group reached equal status with the general linear group, her "all-embracing majesty"?

-  M. Broué, G. Malle & J. Michel, Représentations Unipotentes génériques et blocs des groupes réductifs finis, Astérisque 212 (1993).
-  M.Enguehard, Vers une dcomposition de Jordan des blocs des groupes rductifs finis, Preprint available at <http://math.jussieu.fr/enguehar/>
-  B. Srinivasan, Isolated Blocks in Classical Groups, J. Algebra 184 (1996), 912-926.
-  B. Srinivasan, Isolated Blocks in Classical Groups, II, J. Algebra (2008)
-  J.-L. Waldspurger, Une conjecture de Lusztig pour les groupes classiques, Mém . Soc. Math. Fr 96 (2004).