

D-manifolds, a new theory of derived differential geometry.

Dominic Joyce, Oxford
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work in progress,
chapters of a book on it
may be downloaded from
people.ox.ac.uk/~joyce/dmanifolds.html.

See also arXiv:0910.3518

and arXiv:1001.0023.

These slides available at
people.ox.ac.uk/~joyce/talks.html.

1. Introduction

Many important areas in both differential and algebraic geometry involve forming ‘moduli spaces’ \mathcal{M} of some geometric objects, and then ‘counting’ the points in \mathcal{M} to get an ‘invariant’ $I(\mathcal{M})$ with interesting properties, for example Donaldson, Seiberg–Witten, Gromov–Witten and Donaldson–Thomas invariants. Taking the ‘invariant’ to be a vector space, category, . . . , rather than a number, Floer homology theories, contact homology, Symplectic Field Theory, and Fukaya categories also fit in this framework.

All these ‘invariants’ theories have some common features:

- You start with some geometrical space X you want to study.
- You define a moduli space \mathcal{M} of auxiliary geometric objects E on X .
- This \mathcal{M} is a topological space, hopefully compact and Hausdorff, but generally not a manifold – it may have bad singularities.
- Nevertheless, \mathcal{M} behaves *as if* it is a compact, oriented manifold of known dimension k . One defines a *virtual class* $[\mathcal{M}]_{\text{vir}}$ in $H_k(\mathcal{M}; \mathbb{Q})$, which ‘counts’ the points in \mathcal{M} .
- This $[\mathcal{M}]_{\text{vir}}$ is then independent of choices in the construction, deformations of X etc., and contains interesting information.

Methods for defining $[\mathcal{M}]_{\text{vir}}$ vary. In good cases, with generic initial data \mathcal{M} is smooth. Otherwise, we prove \mathcal{M} has some extra geometric structure \mathcal{G} , and use \mathcal{G} to define $[\mathcal{M}]_{\text{vir}}$.

- In algebraic geometry problems \mathcal{M} is a scheme or Deligne–Mumford stack with obstruction theory.
- In areas of symplectic geometry based on moduli of J -holomorphic curves – Gromov–Witten theory, Lagrangian Floer cohomology, Symplectic Field Theory, Fukaya categories – there are two main geometric structures: *Kuranishi spaces* (Fukaya–Oh–Ohta–Ono) and *polyfolds* (Hofer–Wysocki–Zehnder).

2. D-manifolds and d-orbifolds

I will describe a new class of geometric objects I call *d-manifolds* — ‘derived’ smooth manifolds. Some properties of d-manifolds:

- They form a *strict 2-category* \mathbf{dMan} . That is, we have objects X , the d-manifolds, 1-morphisms $f, g : X \rightarrow Y$, the smooth maps, and also 2-morphisms $\eta : f \Rightarrow g$.
- Smooth manifolds embed into d-manifolds as a full (2)-subcategory.
- There are also 2-categories \mathbf{dMan}^b , \mathbf{dMan}^c of d-manifolds *with boundary* and *with corners*, and orbifold versions \mathbf{dOrb} , \mathbf{dOrb}^b , \mathbf{dOrb}^c of these, *d-orbifolds*.

- Many concepts of differential geometry extend nicely to d -manifolds: submersions, immersions, orientations, submanifolds, transverse fibre products, cotangent bundles,
- Almost any moduli space used in any enumerative invariant problem over \mathbb{R} or \mathbb{C} has a d -manifold or d -orbifold structure, natural up to equivalence. There are truncation functors to d -manifolds and d -orbifolds from structures currently used – \mathbb{C} -schemes with obstruction theories, Kuranishi spaces, polyfolds.
- Virtual classes/cycles/chains can be constructed for compact oriented d -manifolds and d -orbifolds.

So, d -manifolds and d -orbifolds provide a unified framework for studying enumerative invariants and moduli spaces. They also have other applications, and are interesting and beautiful in their own right.

D -manifolds and d -orbifolds are related to other classes of spaces already studied, in particular to the *Kuranishi spaces* of Fukaya–Oh–Ohta–Ono in symplectic geometry, and to David Spivak’s *derived manifolds*, from Jacob Lurie’s ‘derived algebraic geometry’ programme.

2.1. Kuranishi spaces

Kuranishi spaces were defined by Fukaya–Ono 1999 and Fukaya–Oh–Ohta–Ono 2009 as the geometric structure on moduli spaces \mathcal{M} of J -holomorphic curves in symplectic geometry. A Kuranishi space is locally modelled on the zeroes $s^{-1}(0)$ of a smooth section s of a vector bundle $E \rightarrow V$ over an orbifold V . The theory has a lot of problems, and is basically incomplete.

My starting point for this project was to find the ‘right’ definition of Kuranishi space. I claim that this is: *a Kuranishi space is (should really be) a d -orbifold with corners.*

2.2. Derived manifolds

Derived manifolds were defined by David Spivak (Duke Math. J. 153, 2010), a student of Jacob Lurie. A lot of my ideas are stolen from Spivak. D-manifolds are much simpler than derived manifolds. D-manifolds are a 2-category, using Hartshorne-level algebraic geometry. Derived manifolds are an ∞ -category, and use very advanced and scary technology – homotopy sheaves, Bousfield localization,

D-manifolds are a 2-category truncation of derived manifolds. I claim that this truncation remembers all the geometric information of importance to symplectic geometers, and other real people.

2.3. Why should dMan be a 2-category?

Here are two reasons why any class of ‘derived manifolds’ should be (at least) a 2-category. Firstly, one property we want of dMan is that it contains manifolds Man as a subcategory, and if X, Y, Z are manifolds and $g : X \rightarrow Z, h : Y \rightarrow Z$ are smooth then a fibre product $W = X \times_{g, Z, h} Y$ should exist as in dMan , characterized by a universal property in dMan , and should be a d-manifold of ‘virtual dimension’

$$\text{vdim } W = \dim X + \dim Y - \dim Z.$$

Note that g, h need not be transverse, and $\text{vdim } W$ may be negative.

Consider the case $X = Y = *$, the point, $Z = \mathbb{R}$, and $g, h : * \mapsto 0$. If \mathbf{dMan} were an ordinary category then as $*$ is a terminal object, the unique fibre product $* \times_{0, \mathbb{R}, 0} *$ would be $*$. But this has virtual dimension 0, not -1 . So \mathbf{dMan} must be some kind of higher category.

Secondly, two approximations for \mathbf{dMan} are \mathbb{C} -schemes X with obstruction theory, and quasi-smooth dg-schemes. Both of these include a ‘cotangent complex’ in $D^b \text{coh}(X)$ concentrated in two degrees $-1, 0$. It seems reasonable to capture the behaviour of such complexes in a 2-category.

3. The definition of d-manifolds

Algebraic geometry (based on algebra and polynomials) has excellent tools for studying singular spaces – the theory of schemes.

In contrast, conventional differential geometry (based on smooth real functions and calculus) deals well with nonsingular spaces – manifolds – but poorly with singular spaces.

There is a little-known theory of schemes in differential geometry, *C^∞ -schemes*, going back to Lawvere, Dubuc, Moerdijk and Reyes, ... in synthetic differential geometry in the 1960s-1980s. This will be the foundation of our d-manifolds.

3.1. C^∞ -rings

Let X be a manifold, and $C^\infty(X)$ the set of smooth functions $c : X \rightarrow \mathbb{R}$. Then $C^\infty(X)$ is an \mathbb{R} -algebra, by adding and multiplying smooth functions. But there are many more operations on $C^\infty(X)$, e.g. if $c : X \rightarrow \mathbb{R}$ is smooth then $\exp(c) : X \rightarrow \mathbb{R}$ is smooth, giving $\exp : C^\infty(X) \rightarrow C^\infty(X)$, algebraically independent of addition and multiplication.

Let $f : \mathbb{R}^n \rightarrow \mathbb{R}$ be smooth. Define $\Phi_f : C^\infty(X)^n \rightarrow C^\infty(X)$ by

$\Phi_f(c_1, \dots, c_n)(x) = f(c_1(x), \dots, c_n(x))$
for all $x \in X$. Addition comes from $f : \mathbb{R}^2 \rightarrow \mathbb{R}$, $f : (c_1, c_2) \mapsto c_1 + c_2$,
multiplication from $(c_1, c_2) \mapsto c_1 c_2$.

Definition. A C^∞ -ring is a set \mathfrak{C} together with n -fold operations $\Phi_f : \mathfrak{C}^n \rightarrow \mathfrak{C}$ for all smooth maps $f : \mathbb{R}^n \rightarrow \mathbb{R}$, $n \geq 0$, satisfying the following conditions:

Let $m, n \geq 0$, and $f_i : \mathbb{R}^n \rightarrow \mathbb{R}$ for $i = 1, \dots, m$ and $g : \mathbb{R}^m \rightarrow \mathbb{R}$ be smooth functions. Define $h : \mathbb{R}^n \rightarrow \mathbb{R}$ by

$$h(x_1, \dots, x_n) = g(f_1(x_1, \dots, x_n), \dots, f_m(x_1, \dots, x_n)),$$
for $(x_1, \dots, x_n) \in \mathbb{R}^n$. Then for all c_1, \dots, c_n in \mathfrak{C} we have

$$\begin{aligned} \Phi_h(c_1, \dots, c_n) = \\ \Phi_g(\Phi_{f_1}(c_1, \dots, c_n), \dots, \Phi_{f_m}(c_1, \dots, c_n)). \end{aligned}$$

Also defining $\pi_j : (x_1, \dots, x_n) \mapsto x_j$ for $j = 1, \dots, n$ we have $\Phi_{\pi_j} : (c_1, \dots, c_n) \mapsto c_j$.

A *morphism* of C^∞ -rings is $\phi : \mathfrak{C} \rightarrow \mathfrak{D}$ with $\Phi_f \circ \phi^n = \phi \circ \Phi_f : \mathfrak{C}^n \rightarrow \mathfrak{D}$ for all smooth $f : \mathbb{R}^n \rightarrow \mathbb{R}$. Write $\mathbf{C}^\infty\mathbf{Rings}$ for the category of C^∞ -rings.

Then $C^\infty(X)$ is a C^∞ -ring for any manifold X , and from $C^\infty(X)$ we can recover X up to isomorphism. If $f : X \rightarrow Y$ is smooth then $f^* : C^\infty(Y) \rightarrow C^\infty(X)$ is a morphism of C^∞ -rings. This gives a *full and faithful functor* $F : \text{Man} \rightarrow C^\infty\text{Rings}^{\text{op}}$ by $F : X \mapsto C^\infty(X)$, $F : f \mapsto f^*$. Thus, we think of manifolds as examples of C^∞ -rings, and C^∞ -rings as generalizations of manifolds. But there are many more C^∞ -rings than manifolds, e.g. $C^0(X)$ is a C^∞ -ring for any topological space X .

3.2. C^∞ -schemes

We can now develop the whole machinery of scheme theory in algebraic geometry, replacing rings or algebras by C^∞ -rings throughout — see my arXiv:1001.0023.

We obtain a category $C^\infty\text{Sch}$ of C^∞ -schemes $\underline{X} = (X, \mathcal{O}_X)$, which are topological space X equipped with a sheaf of C^∞ -rings \mathcal{O}_X locally modelled on the spectrum of a C^∞ -ring. If X is a manifold, define a C^∞ -scheme $\underline{X} = (X, \mathcal{O}_X)$ by $\mathcal{O}_X(U) = C^\infty(U)$ for all open $U \subseteq X$. This defines a full and faithful embedding $\text{Man} \hookrightarrow C^\infty\text{Sch}$.

We also define *vector bundles*, *coherent sheaves* $\text{coh}(\underline{X})$ and *quasi-coherent sheaves* $\text{qcoh}(\underline{X})$, and the *cotangent sheaf* $T^*\underline{X}$ on \underline{X} . Then $\text{qcoh}(\underline{X})$ is an abelian category.

Some differences with conventional algebraic geometry:

- affine schemes are Hausdorff. No need to introduce étale topology.
- partitions of unity exist subordinate to any open cover of a (nice) C^∞ -scheme \underline{X} .
- C^∞ -rings such as $C^\infty(\mathbb{R}^n)$ are not noetherian as \mathbb{R} -algebras. Causes problems with coherent sheaves: $\text{coh}(\underline{X})$ is not closed under kernels, so not an abelian category.

3.3. The 2-category of d-spaces

We define d-manifolds as a 2-subcategory of a larger 2-category of *d-spaces*. These are ‘derived’ versions of C^∞ -schemes.

Definition. A *d-space* is a quintuple $\mathbf{X} = (\underline{X}, \mathcal{O}'_X, \mathcal{E}_X, \iota_X, j_X)$ where $\underline{X} = (X, \mathcal{O}_X)$ is a separated, second countable, locally fair C^∞ -scheme, \mathcal{O}'_X is a second sheaf of C^∞ -rings on X , and \mathcal{E}_X is a quasi-coherent sheaf on \underline{X} , and $\iota_X : \mathcal{O}'_X \rightarrow \mathcal{O}_X$ is a surjective morphism of sheaves of C^∞ -rings whose kernel \mathcal{I}_X is a sheaf of *square zero ideals* in \mathcal{O}'_X , and $j_X : \mathcal{E}_X \rightarrow \mathcal{I}_X$ is a surjective morphism in $\text{qcoh}(\underline{X})$, so we have an exact sequence of sheaves on X :

$$\mathcal{E}_X \xrightarrow{j_X} \mathcal{O}'_X \xrightarrow{\iota_X} \mathcal{O}_X \rightarrow 0.$$

A 1-morphism $f : X \rightarrow Y$ is a triple $f = (\underline{f}, f', f'')$, where $\underline{f} = (f, f^\#) : \underline{X} \rightarrow \underline{Y}$ is a morphism of C^∞ -schemes and $f' : f^{-1}(\mathcal{O}'_Y) \rightarrow \mathcal{O}'_X$, $f'' : f^*(\mathcal{E}_Y) \rightarrow \mathcal{E}_X$ are sheaf morphisms such that the following commutes:

$$\begin{array}{ccccccc} f^{-1}(\mathcal{E}_Y) & \longrightarrow & f^{-1}(\mathcal{O}'_Y) & \longrightarrow & f^{-1}(\mathcal{O}_X) & \longrightarrow & 0 \\ \downarrow f'' & f^{-1}(j_Y) & \downarrow f' & f^{-1}(i_Y) & \downarrow f^\# & & \\ \mathcal{E}_X & \xrightarrow{j_X} & \mathcal{O}'_X & \xrightarrow{i_X} & \mathcal{O}_X & \longrightarrow & 0. \end{array}$$

Let $f, g : X \rightarrow Y$ be 1-morphisms with $f = (\underline{f}, f', f'')$, $g = (\underline{g}, g', g'')$. Suppose $\underline{f} = \underline{g}$. A 2-morphism $\eta : f \Rightarrow g$ is a morphism

$$\eta : f^{-1}(\Omega_{\mathcal{O}'_Y}) \otimes_{f^{-1}(\mathcal{O}'_Y)} \mathcal{O}_X \longrightarrow \mathcal{E}_X$$

in $\text{qcoh}(\underline{X})$, where $\Omega_{\mathcal{O}'_Y}$ is the sheaf of cotangent modules of \mathcal{O}'_Y , such that $g' = f' + j_X \circ \eta \circ \Pi_{XY}$ and $g'' = f'' + \eta \circ f^*(\phi_Y)$, for natural morphisms Π_{XY}, ϕ_Y .

Theorem 1. *This defines a strict 2-category dSpa . All fibre products exist in dSpa .*

We can map $\mathbf{C}^\infty\mathbf{Sch}$ into \mathbf{dSpa} by taking a C^∞ -scheme $\underline{X} = (X, \mathcal{O}_X)$ to the d-space $\mathbf{X} = (\underline{X}, \mathcal{O}_X, 0, \text{id}_{\mathcal{O}_X}, 0)$, with exact sequence

$$0 \xrightarrow{0} \mathcal{O}_X \xrightarrow{\text{id}_{\mathcal{O}_X}} \mathcal{O}_X \longrightarrow 0.$$

This embeds $\mathbf{C}^\infty\mathbf{Sch}$, and hence manifolds \mathbf{Man} , as discrete 2-subcategories of \mathbf{dSpa} . For *transverse* fibre products of manifolds, the fibre products in \mathbf{Man} and \mathbf{dSpa} agree. The use of *square zero extensions* in defining \mathbf{dSpa} seems to be key in defining a good 2-category, and in nicely truncating Spivak's ∞ -category. I'm not sure why this works.

3.4. The 2-subcategory of d-manifolds

Definition. A d-space \mathbf{X} is a *d-manifold of dimension* $n \in \mathbb{Z}$ if \mathbf{X} may be covered by open d-subspaces \mathbf{Y} equivalent in \mathbf{dSpa} to a fibre product $\mathbf{U} \times_{\mathbf{W}} \mathbf{V}$, where $\mathbf{U}, \mathbf{V}, \mathbf{W}$ are manifolds without boundary and $\dim \mathbf{U} + \dim \mathbf{V} - \dim \mathbf{W} = n$. We allow $n < 0$.

Think of a d-manifold $\mathbf{X} = (\underline{X}, \mathcal{O}'_X, \mathcal{E}_X, \iota_X, \jmath_X)$ as a ‘classical’ C^∞ -scheme \underline{X} , with extra ‘derived’ data $\mathcal{O}'_X, \mathcal{E}_X, \iota_X, \jmath_X$.

Write \mathbf{dMan} for the full 2-subcategory of d-manifolds in \mathbf{dSpa} . It is not closed under fibre products in \mathbf{dSpa} , but we can say:

Theorem 2. *All fibre products of the form $\mathbf{X} \times_{\mathbf{Z}} \mathbf{Y}$ with \mathbf{X}, \mathbf{Y} d-manifolds and \mathbf{Z} a manifold exist in the 2-category \mathbf{dMan} .*

4. Properties of d-manifolds

4.1. Gluing by equivalences

A 1-morphism $f : X \rightarrow Y$ in dMan is an *equivalence* if there exist a 1-morphism $g : Y \rightarrow X$ and 2-morphisms $\eta : g \circ f \Rightarrow \text{id}_X$ and $\zeta : f \circ g \Rightarrow \text{id}_Y$.

Theorem 3. *Let X, Y be d-manifolds, $\emptyset \neq U \subseteq X$, $\emptyset \neq V \subseteq Y$ open d-submanifolds, and $f : U \rightarrow V$ an equivalence. Suppose the topological space $Z = X \cup_{U=V} Y$ made by gluing X, Y using f is Hausdorff.*

Then there exists a d-manifold Z , unique up to equivalence, open $\hat{X}, \hat{Y} \subseteq Z$ with $Z = \hat{X} \cup \hat{Y}$, equivalences $g : X \rightarrow \hat{X}$ and $h : Y \rightarrow \hat{Y}$, and a 2-morphism $\eta : g|_U \Rightarrow h \circ f$.

Equivalence is the natural notion of when two objects in \mathbf{dMan} are ‘the same’. In Theorem 3, Z is a *pushout* $X \amalg_{\text{id}_U, U, f} Y$ in \mathbf{dMan} . Theorem 3 generalizes to gluing families of d -manifolds $X_i : i \in I$ by equivalences on double overlaps $X_i \cap X_j$, with (weak) conditions on triple overlaps $X_i \cap X_j \cap X_k$.

This is very useful for proving existence of d -manifold structures on moduli spaces.

4.2. Virtual vector bundles

Vector bundle and cotangent bundles have good 2-category generalizations. Let \underline{X} be a C^∞ -scheme. Define a 2-category $\text{vqcoh}(\underline{X})$ of *virtual quasicoherent sheaves* to have objects morphisms $\phi : \mathcal{E}^1 \rightarrow \mathcal{E}^2$ in $\text{qcoh}(\underline{X})$. If $\phi : \mathcal{E}^1 \rightarrow \mathcal{E}^2$ and $\psi : \mathcal{F}^1 \rightarrow \mathcal{F}^2$ are objects, a 1-morphism $(f^1, f^2) : \phi \rightarrow \psi$ is morphisms $f^j : \mathcal{E}^j \rightarrow \mathcal{F}^j$ in $\text{qcoh}(\underline{X})$ for $j = 1, 2$ with $\psi \circ f^1 = f^2 \circ \phi$. If $(f^1, f^2), (g^1, g^2)$ are 1-morphisms $\phi \rightarrow \psi$, a 2-morphism $\eta : (f^1, f^2) \Rightarrow (g^1, g^2)$ is a morphism $\eta : \mathcal{E}^2 \rightarrow \mathcal{F}^1$ in $\text{qcoh}(\underline{X})$ with $g^1 = f^1 + \eta \circ \phi$ and $g^2 = f^2 + \psi \circ \eta$.

Call $\phi : \mathcal{E}^1 \rightarrow \mathcal{E}^2$ a *virtual vector bundle* on \underline{X} of rank $k \in \mathbb{Z}$ if \underline{X} may be covered by open $\underline{U} \subseteq \underline{X}$ such that $\phi|_{\underline{U}} : \mathcal{E}^1|_{\underline{U}} \rightarrow \mathcal{E}^2|_{\underline{U}}$ is equivalent in the 2-category $\text{vqcoh}(\underline{U})$ to $\psi : \mathcal{F}^1 \rightarrow \mathcal{F}^2$, where $\mathcal{F}^1, \mathcal{F}^2$ are vector bundles on \underline{U} with $\text{rank } \mathcal{F}^2 - \text{rank } \mathcal{F}^1 = k$. Write $\text{vvect}(\underline{X})$ for the full 2-subcategory of virtual vector bundles on $\text{vqcoh}(\underline{X})$.

If \mathbf{X} is a d -manifold, it has a natural *virtual cotangent bundle* $T^*\mathbf{X}$ in $\text{vvect}(\underline{X})$, of rank $\text{vdim } \mathbf{X}$.

If $f : \mathbf{X} \rightarrow \mathbf{Y}$ is a 1-morphism in $d\text{Man}$, there is a natural 1-morphism $\Omega_f : \underline{f}^*(T^*\mathbf{Y}) \rightarrow T^*\mathbf{X}$ in $\text{vvect}(\underline{X})$.

Then f is *étale* (a local equivalence) if and only if Ω_f is an equivalence in $\mathbf{vVect}(\underline{X})$. Similarly, f is an *immersion* or *submersion* if Ω_f is surjective or injective in a suitable sense. If $\phi : \mathcal{E}^1 \rightarrow \mathcal{E}^2$ lies in $\mathbf{vVect}(\underline{X})$ we can define a line bundle \mathcal{L}_ϕ on \underline{X} analogous to the ‘top exterior power’ of $\phi : \mathcal{E}^1 \rightarrow \mathcal{E}^2$. So for a d -manifold \mathbf{X} , $\mathcal{L}_{T^*\mathbf{X}}$ is a line bundle on \underline{X} which we think of as $\Lambda^{\text{top}}T^*\mathbf{X}$. An *orientation* on \mathbf{X} is an orientation on the line bundle $\mathcal{L}_{T^*\mathbf{X}}$. Orientations have the properties one would expect from the manifold case.