

# One-Shot Quantum Information

## Theory II: Information transmission

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- Consider *transmission of information* through quantum channels in the the *one-shot setting*:
  - *transmission of quantum information.....*
  - *and - if time permits - transmission of classical information.....*

- *See how.....*
  - *some of the **smooth entropies** discussed in the previous lecture arise as **operational quantities** for these tasks.*
  - *the known results for the **asymptotic memoryless setting** can be obtained from these **one-shot results**.*

## Notations & Definitions

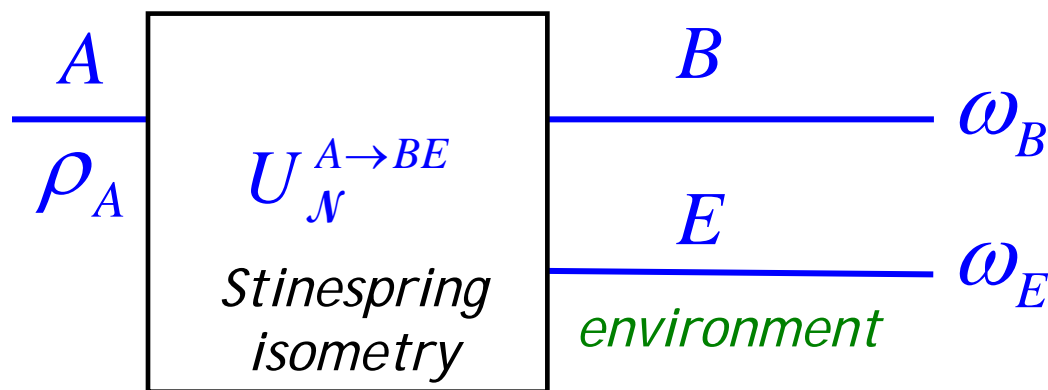
- Quantum channel :  $\mathcal{N}^{A \rightarrow B}$ .

- Stinespring isometry of  $\mathcal{N}$  :  $U_{\mathcal{N}}^{A \rightarrow BE}$

$$\omega_B := \mathcal{N}^{A \rightarrow B}(\rho_A) = \text{Tr}_E U_{\mathcal{N}}^{A \rightarrow BE}(\rho_A)$$

- Complementary channel:  $\tilde{\mathcal{N}}^{A \rightarrow E}$ ,

$$\omega_E := \tilde{\mathcal{N}}^{A \rightarrow E}(\rho_A) = \text{Tr}_B U_{\mathcal{N}}^{A \rightarrow BE}(\rho_A)$$



## (1) Choi-Jamilkowski (C-J) Isomorphism

Quantum operations  $\longleftrightarrow$  Positive operators

Let  $\mathcal{H}_R \simeq \mathcal{H}_A$  with orthonormal basis  $\{|i\rangle\}_{i=1}^d$

$$\Phi_{RA} := |\Phi_{RA}\rangle\langle\Phi_{RA}|; \quad |\Phi_{RA}\rangle = \frac{1}{\sqrt{d}} \sum_{i=1}^d |i\rangle|i\rangle \in \mathcal{H}_R \otimes \mathcal{H}_A$$

*maximally entangled state (MES)*

- C-J state of a quantum operation  $\Lambda^{A \rightarrow B}$  :

$$\sigma_{RB} := \left( \text{id}_R \otimes \Lambda^{A \rightarrow B} \right) \Phi_{RA} \in \mathcal{P}(\mathcal{H}_R \otimes \mathcal{H}_A)$$

## Smooth entropies

- *Relative entropies*  $D_{\max}(\rho \parallel \sigma), D_0(\rho \parallel \sigma), D_{\min}(\rho \parallel \sigma)$ 
  - *their smoothed versions*  $D_{\max}^{\varepsilon}(\rho \parallel \sigma), D_H^{\varepsilon}(\rho \parallel \sigma), \dots$
- *Min-/max- entropies*  $H_{\min}(A|B)_{\rho}, H_0(\rho), H_{\max}(A|B)_{\rho}$  etc
  - *their smoothed versions*  $H_{\min}^{\varepsilon}(A|B)_{\rho}, H_{\max}^{\varepsilon}(A|B)_{\rho}, \dots$

Let's recall their definitions and some properties

$$H_{\min}(A|B)_\rho := \max_{\sigma_B} \left\{ -D_{\max}(\rho_{AB} \| I_A \otimes \sigma_B) \right\}$$

$$= \max_{\sigma_B} \left\{ -\log \lambda_{\max} \left( (I_A \otimes \sigma_B^{-1/2}) \rho_{AB} (I_A \otimes \sigma_B^{-1/2}) \right) \right\}$$

$$H_{\max}(A|B)_\rho := \max_{\sigma_B} \left\{ -D_{\min}(\rho_{AB} \| I_A \otimes \sigma_B) \right\}$$

$$= \max_{\sigma_B} \left\{ 2 \log F(\rho_{AB}, I_A \otimes \sigma_B) \right\}$$

$$H_{\min}^\varepsilon(A|B)_\rho := \max_{\bar{\rho} \in B^\varepsilon(\rho)} H_{\min}(A|B)_{\bar{\rho}};$$

$$H_{\max}^\varepsilon(A|B)_\rho := \min_{\bar{\rho} \in B^\varepsilon(\rho)} H_{\max}(A|B)_{\bar{\rho}};$$

■ If  $\rho_{RA} = \Phi_{RA}^m$ ;      MES  $|\Phi_{RA}^m\rangle = \frac{1}{\sqrt{m}} \sum_{i=1}^m |i\rangle|i\rangle$

$$H_{\min}^\varepsilon(A|R)_\rho \geq H_{\min}(A|R)_\rho = -\log m = H_{\max}(A|R)_\rho$$

*Duality of smoothed min- and max- entropies:* [Colbeck, Renner  
Tomamichel]

For any purification  $\rho_{ABC}$  of a bipartite state  $\rho_{AB}$

$$H_{\min}^{\varepsilon}(A|B)_{\rho} = -H_{\max}^{\varepsilon}(A|C)_{\rho}$$

*Data-processing inequality:*

- e.g. If  $\tilde{\omega}_{RA} = (\text{id}_R \otimes \Lambda^{B \rightarrow A})\omega_{RB}$   
(quantum operation)

$$H_{\max}^{\varepsilon}(R|B)_{\omega} \leq H_{\max}^{\varepsilon}(R|A)_{\tilde{\omega}}$$



- Relation between smooth entropies & quantum entropies

$$\forall \varepsilon > 0: \lim_{n \rightarrow \infty} \frac{1}{n} D_{\max}^{\varepsilon}(\rho^{\otimes n} \parallel \sigma^{\otimes n}) = D(\rho \parallel \sigma)$$

[Audenaert, Mosonyi, Verstraete ; Tomamichel; ND & Renner]

$$\forall \varepsilon > 0: \lim_{n \rightarrow \infty} \frac{1}{n} H_{\min}^{\varepsilon}(A | B)_{\rho_{AB}^{\otimes n}} = H(A | B)_{\rho}$$

QAEF

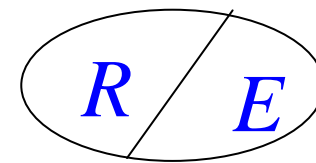
$$\forall \varepsilon > 0: \lim_{n \rightarrow \infty} \frac{1}{n} H_{\max}^{\varepsilon}(A | B)_{\rho_{AB}^{\otimes n}} = H(A | B)_{\rho}$$

[Colbeck, Renner, Tomamichel; Tomamichel]

These results allow us to recover the results of the  
*“asymptotic memoryless setting”*  
 from those of the *“one-shot setting”*

**(II) Decoupling:** -- a central concept in quantum info theory

- Has wide-ranging **applications:**
  - transmission of quantum information
  - other protocols, e.g. **state merging, coherent state merging, .....**
- thermodynamics
- black hole information theory
- etc.



## (II) Decoupling:

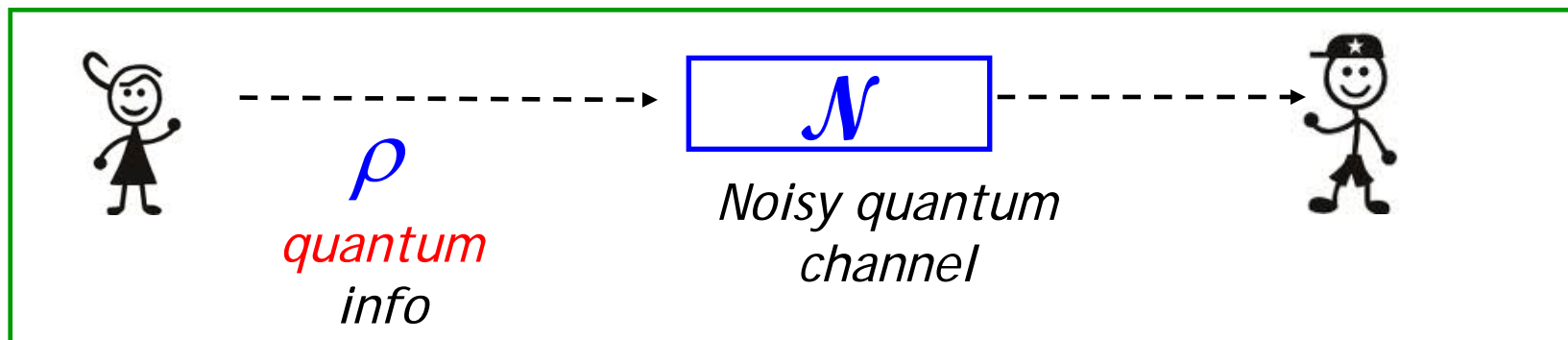
- Consider a composite system  $RE$  in a joint state  $\omega_{RE}$
- The subsystem  $R$  is **decoupled** (or **uncorrelated**) from  $E$

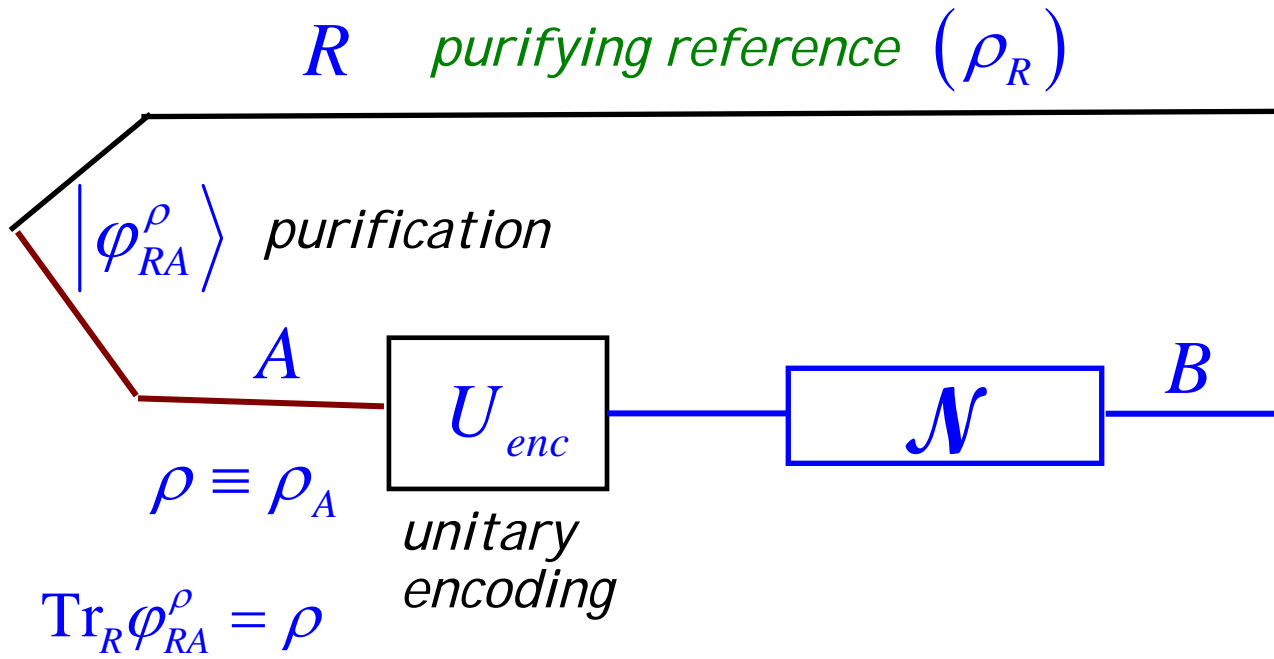
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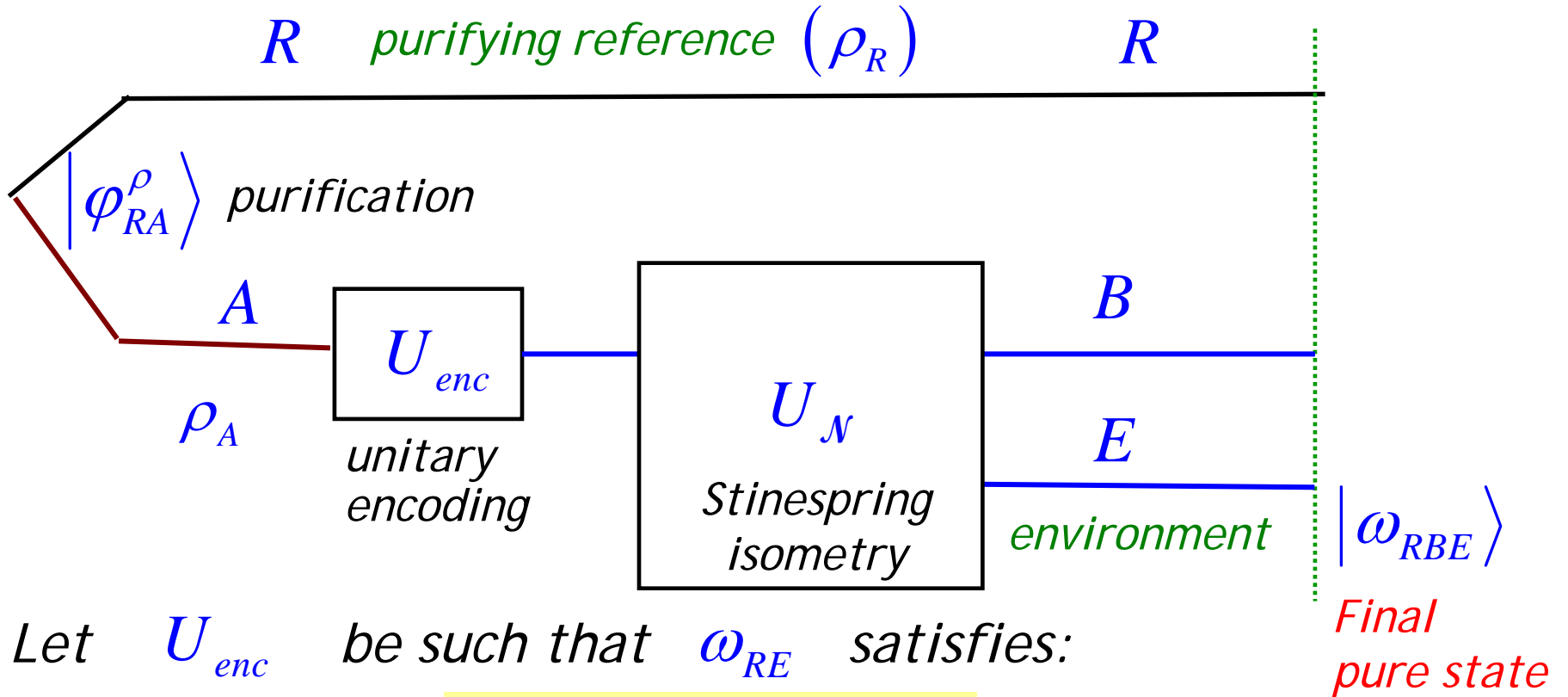
$$\omega_{RE} = \rho_R \otimes \sigma_E$$

- The **outcome of any measurement** on  $R$  is statistically **independent** of any measurement on  $E$
- The system  $R$  does not give any information about system  $E$

# (I) Transmission of quantum information





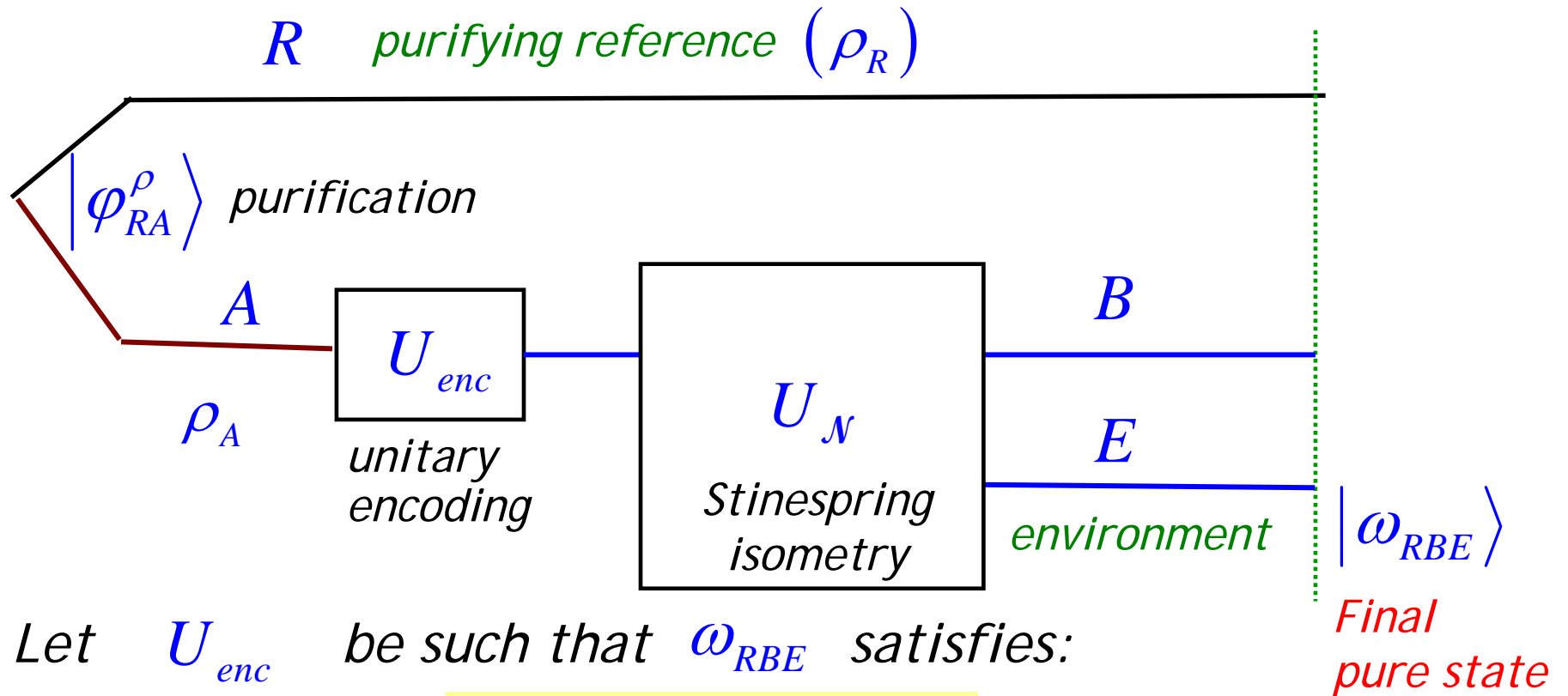


- Let  $U_{enc}$  be such that  $\omega_{RE}$  satisfies:

$$\omega_{RE} = \rho_R \otimes \sigma_E$$

(decoupled)

for some state  $\sigma_E$

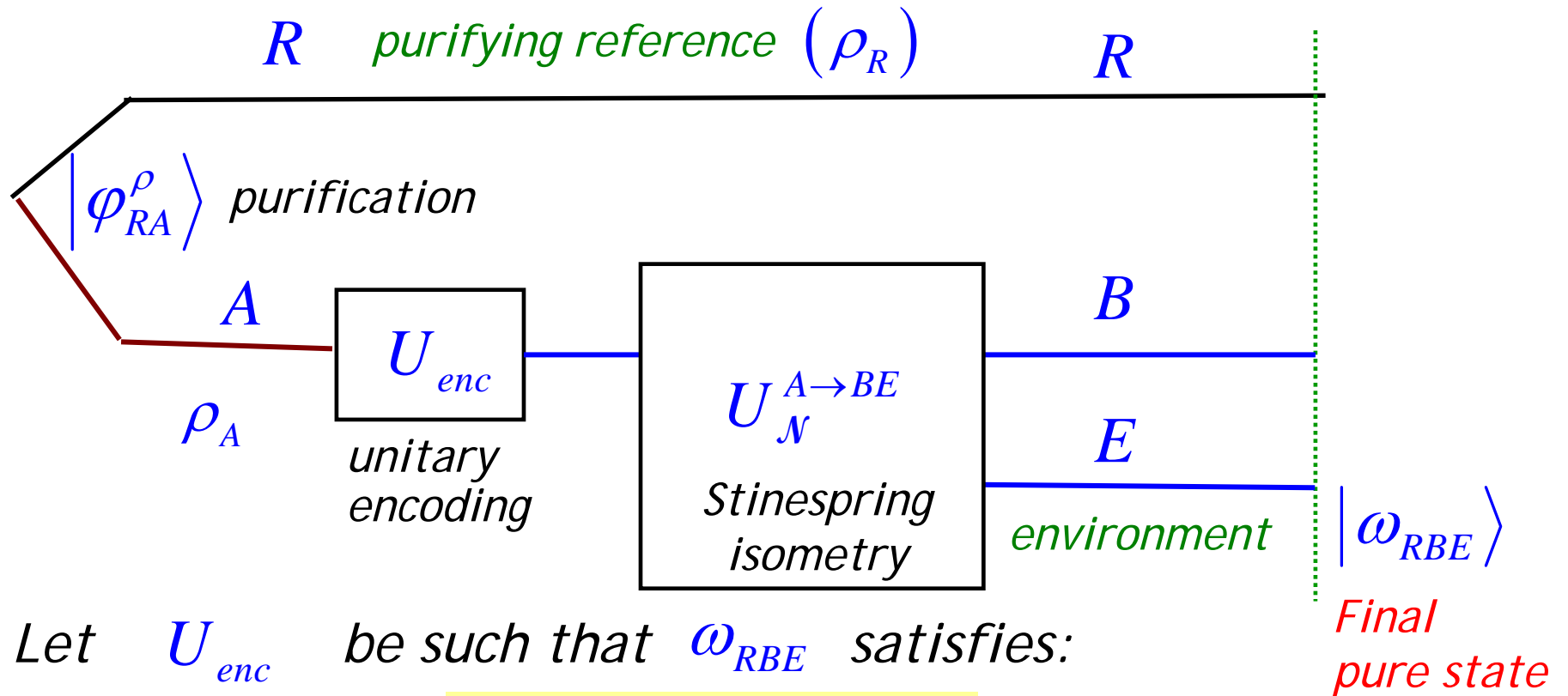


$$\omega_{RE} = \rho_R \otimes \sigma_E \quad (\text{decoupled})$$

for some state  $\sigma_E$

purifications

$|\omega_{RBE}\rangle$  ← related by a partial isometry →  $|\varphi_{RA}^\rho\rangle \otimes |\sigma_{EE'}\rangle$ :



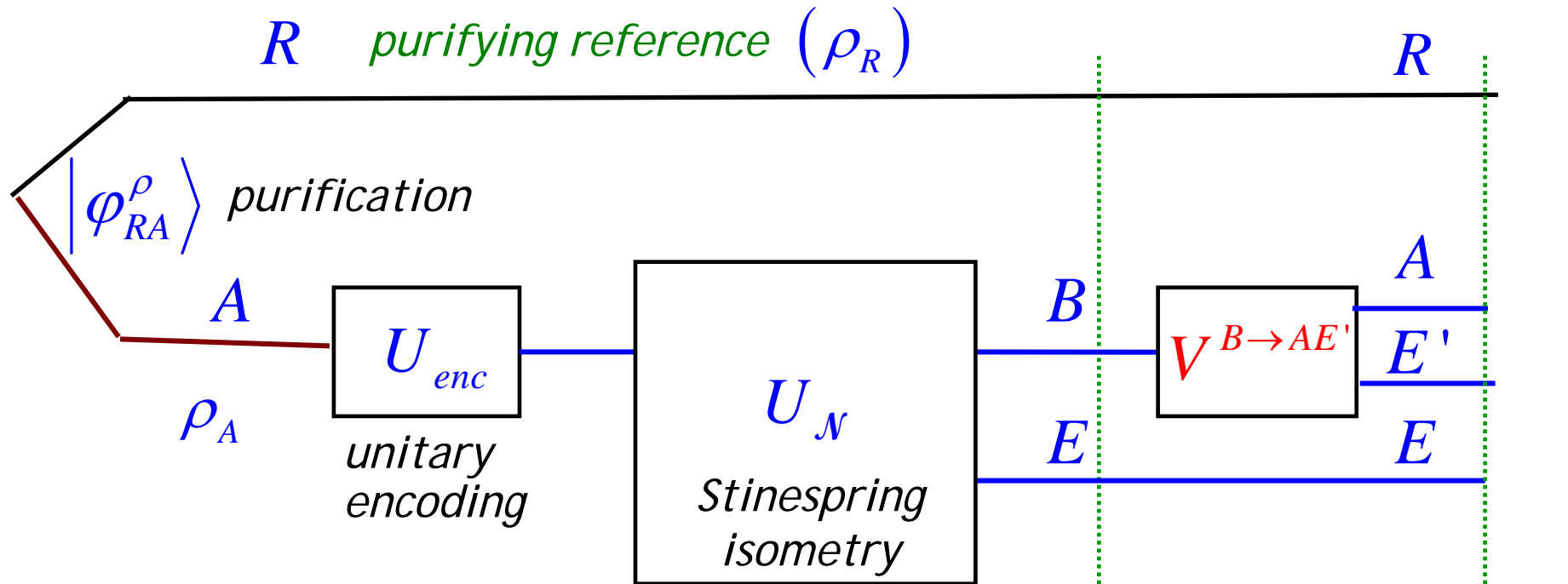
$$\omega_{RE} = \rho_R \otimes \sigma_E \quad (\text{decoupled})$$

$\exists$  a partial isometry  $V^{B \rightarrow AE'}$  such that

$$V^{B \rightarrow AE'} |\omega_{RBE}\rangle = |\varphi_{RA}^\rho\rangle \otimes |\sigma_{EE'}\rangle$$

*This acts as Bob's decoding!*

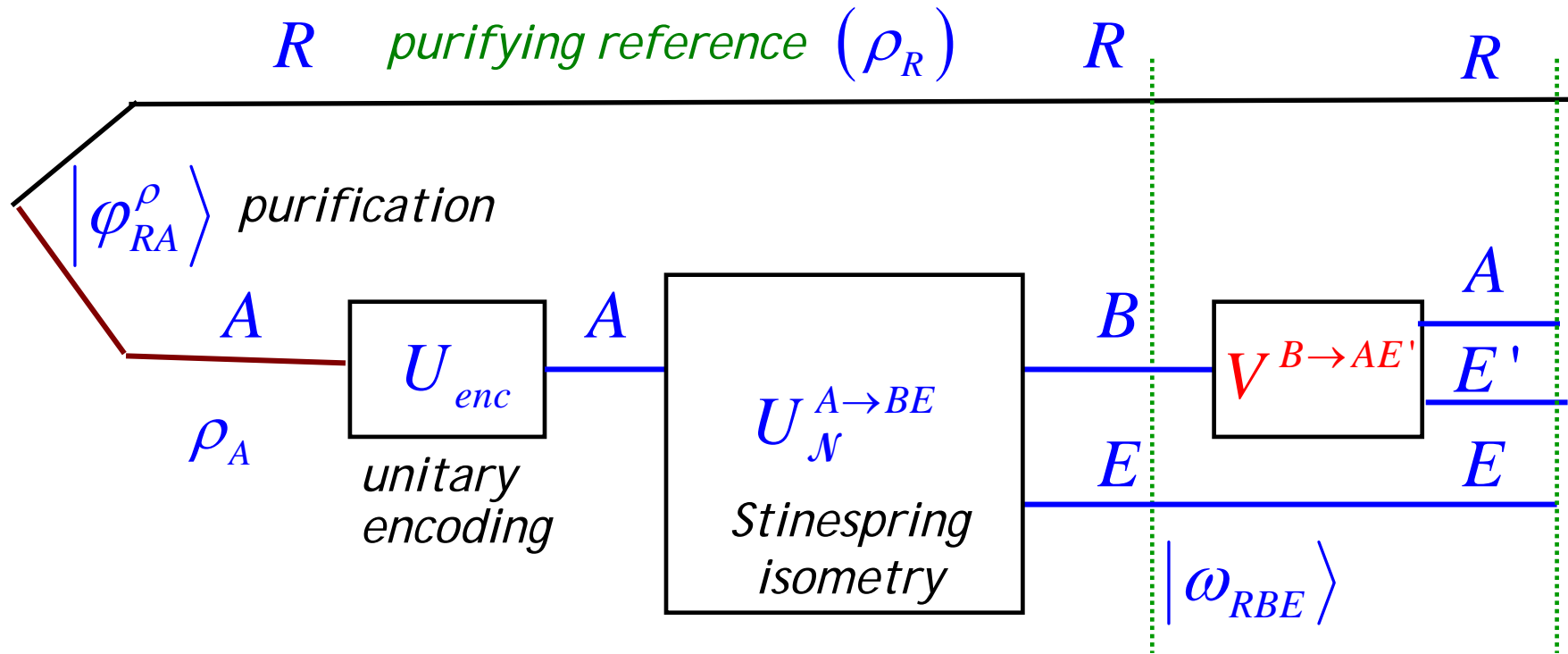




$$V^{B \rightarrow AE'} |\omega_{RBE}\rangle = |\varphi_{RA}^\rho\rangle \otimes |\sigma_{EE'}\rangle \quad |\omega_{RBE}\rangle \quad |\varphi_{RA}^\rho\rangle \otimes |\sigma_{EE'}\rangle$$

- Final state in Bob's possession:  $\text{Tr}_{RE} \left( \varphi_{RA}^\rho \otimes \sigma_{EE'} \right) = \rho_A \otimes \sigma_{E'}$
- Bob traces out over the system  $E'$ :

$$\text{Tr}_{E'} \left( \rho_A \otimes \sigma_{E'} \right) = \rho_A \quad \text{to recover Alice's message !}$$



Thus: If  $U_{enc}$  be such that  $\omega_{RE}$  is decoupled:

$$\omega_{RE} = \rho_R \otimes \sigma_E$$

then Bob can recover Alice's message!

- In fact, *if*  $\omega_{RE} \stackrel{\varepsilon}{\approx} \rho_R \otimes \sigma_E$  (approximately decoupled)

that is,  $F(\omega_{RE}, \rho_R \otimes \sigma_E) \geq 1 - \varepsilon$  for some  $\varepsilon \geq 0$ :

then  $\exists$  a *decoder* such that after decoding *Bob* has

a state  $\stackrel{\varepsilon}{\approx} \rho_A$  (*Alice's message*)

- This follows from *Uhlmann's theorem*:

Let  $\rho, \sigma \in \mathcal{D}(\mathcal{H}_A)$ , purifications  $|\varphi_{AR}^\rho\rangle, |\psi_{AR'}^\sigma\rangle$

$$F(\rho, \sigma) = \max_{V^{R \rightarrow R'}} \left| \left\langle \psi_{AR'}^\sigma \left| V^{R \rightarrow R'} \right| \varphi_{AR}^\rho \right\rangle \right|$$

$$1 - \varepsilon \leq F(\omega_{RE}, \rho_R \otimes \sigma_E) = \max_{V^{B \rightarrow AE'}} \left| \left\langle \varphi_{RA}^\rho \otimes \sigma_{EE'} \left| V^{B \rightarrow AE'} \right| \omega_{RBE} \right\rangle \right|$$

$$1 - \varepsilon \leq F(\omega_{RE}, \rho_R \otimes \sigma_E) = \max_{V^{B \rightarrow AE'}} \left| \left\langle \varphi_{RA}^\rho \otimes \sigma_{EE'} \left| V^{B \rightarrow AE'} \right| \omega_{RBE} \right\rangle \right|$$

The optimizing partial isometry  $V^{B \rightarrow AE'}$  acts as Bob's decoding

Bob ends up with a state  $\overset{\varepsilon}{\approx} \text{Tr}_{RE}(\varphi_{RA}^\rho \otimes \sigma_{EE'}) \overset{\varepsilon}{\approx} \rho_A \otimes \omega_{E'}$

And after doing a partial trace over  $E'$ , he ends up with

a state  $\overset{\varepsilon}{\approx} \rho_A$  (Alice's message)

*i.e., Bob ends up with a state which is  $\varepsilon$ -close to the quantum state that Alice sent*

- *In a nutshell:*

For transmission of quantum information thro' a *noisy channel*  $\mathcal{N}$  in the one-shot setting (up to an error  $\varepsilon$ ), require:

$$\omega_{RE} \stackrel{\varepsilon}{\approx} \rho_R \otimes \sigma_E$$

(state before  
decoding)

*i.e.*, the state of the reference system  $R$  is (approxly.) *decoupled* from the state of the environment  $E$  of  $\mathcal{N}$ .

## *History (decoupling)*

First explicit use of decoupling in the Q.Info. Theory literature:

- *B. Schumacher & M.D. Westmoreland*

*(quantum error correction)*

- *M. Horodecki, J. Oppenheim & A. Winter*

*(state merging)*

- *A. Abeyesinghe, I. Devetak, P. Hayden, A. Winter*

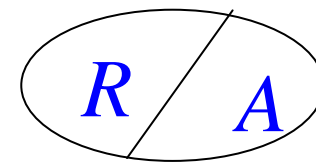
*(coherent state merging - FQSW)*

- *P. Hayden, M. Horodecki, J. Yard & A. Winter*

*(quantum info transmission)*

*“asymptotic memoryless setting”*

## Decoupling Theorem



States *conditions* under which 2 subsystems of a bipartite system *can become* almost uncorrelated (*decoupled*)

*Rough idea:* Initially  $\rho_{RA}$  : possibly correlated

- Consider a unitary evolution of system  $A$  alone:

$$(I \otimes U) \rho_{RA} (I \otimes U^\dagger)$$

- Then consider an arbitrary quantum operation on  $A$

e.g.  $\Lambda \equiv \Lambda^{A \rightarrow E} : \omega_{RE} := (\text{id} \otimes \Lambda) \left( (I \otimes U) \rho_{RA} (I \otimes U^\dagger) \right)$

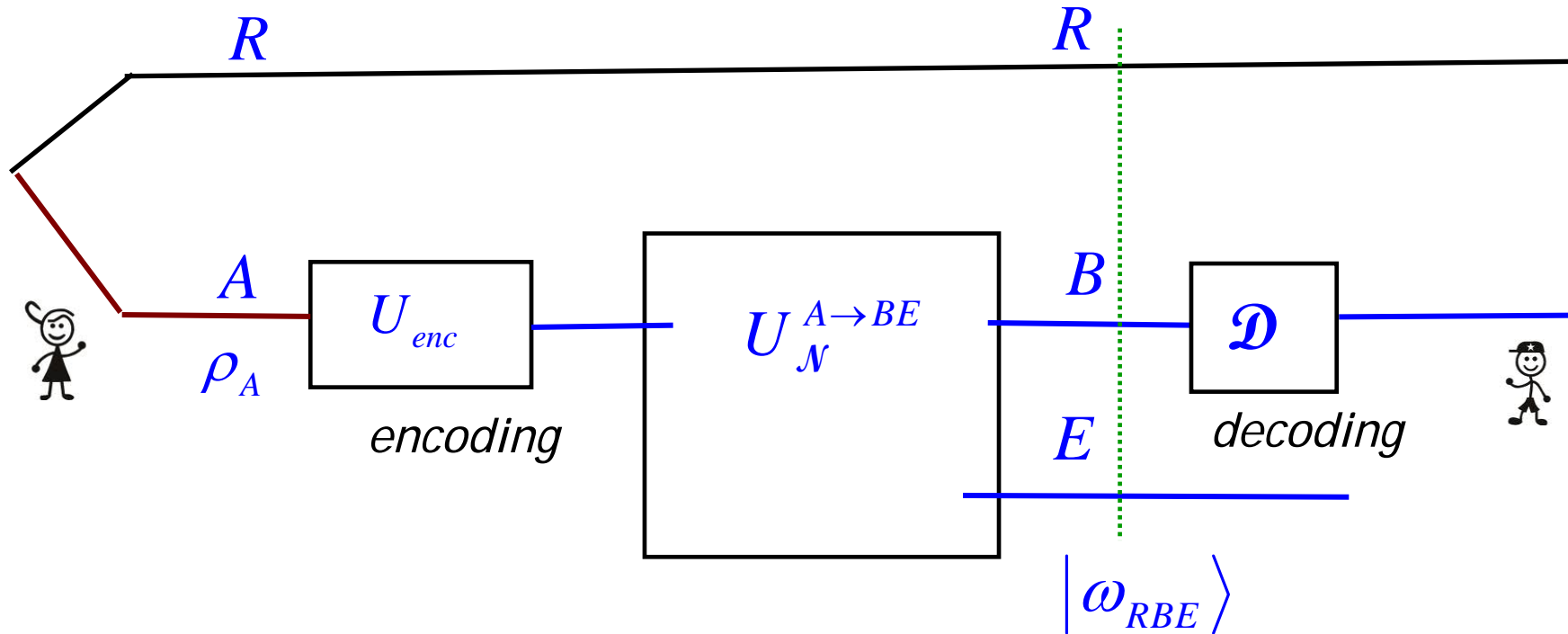
- Decoupling theorem** provides a *bound on the distance* of a typical *resulting state* from a *decoupled state*:

i.e., bound on  $\| \omega_{RE} - \rho_R \otimes \sigma_E \|_1$

# Decoupling Theorem

$$\rho_{RA} \rightarrow (I \otimes U) \rho_{RA} (I \otimes U^\dagger) \rightarrow (\text{id} \otimes \Lambda) \left( (I \otimes U) \rho_{RA} (I \otimes U^\dagger) \right) \equiv \omega_{RE}$$

- For quantum info transmission through a noisy channel:

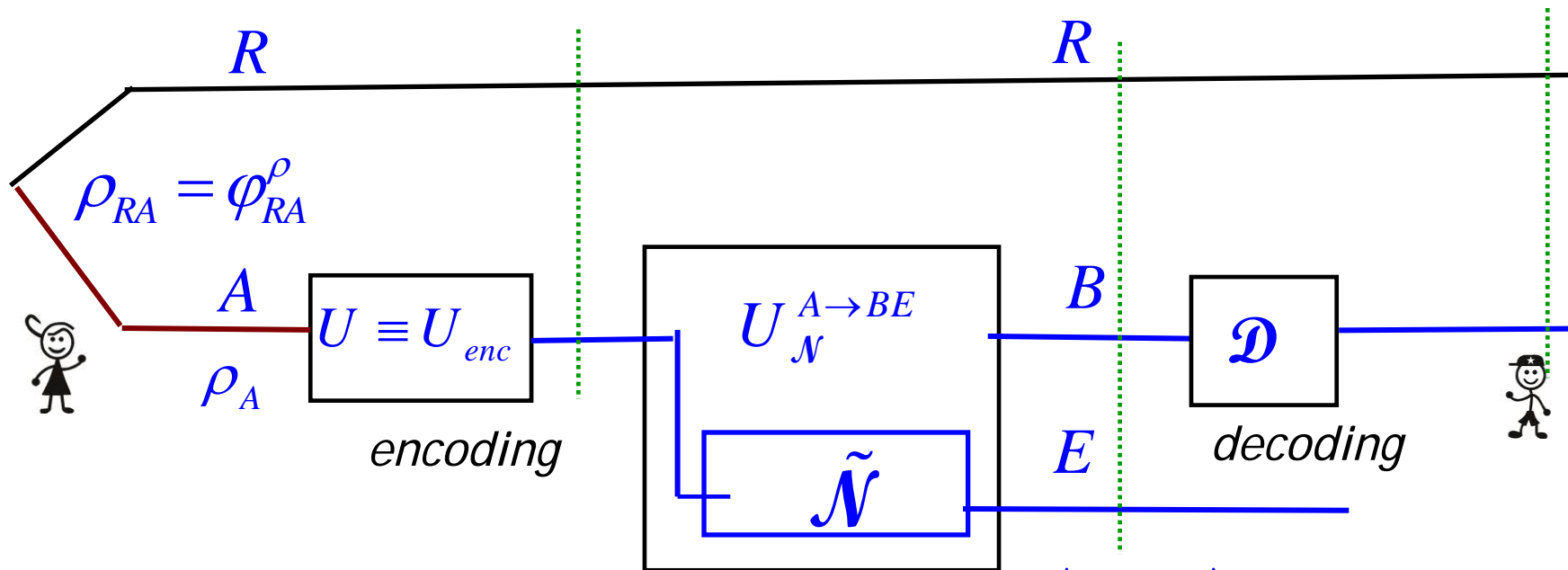




# Decoupling Theorem

$$\rho_{RA} \rightarrow (I \otimes U) \rho_{RA} (I \otimes U^\dagger) \rightarrow (\text{id} \otimes \Lambda) \left( (I \otimes U) \rho_{RA} (I \otimes U^\dagger) \right) \equiv \omega_{RE}$$

- For quantum info transmission through a noisy channel:



$$\Lambda^{A \rightarrow E} \equiv \tilde{\mathcal{N}}^{A \rightarrow E} : \text{complementary channel} \quad |\omega_{RBE}\rangle$$

$$\omega_{RE} = (\text{id} \otimes \tilde{\mathcal{N}}) \left( (I \otimes U) \rho_{RA} (I \otimes U^\dagger) \right)$$

## History (decoupling) contd.

- *Decoupling theorem* provides a *bound on*

$$\| \omega_{RE} - \rho_R \otimes \sigma_E \|_1 \quad \omega_{RE} \equiv \omega_{RE}(U)$$

One-shot setting : decoupling theorems in which the bound on

$\| \omega_{RE} - \rho_R \otimes \sigma_E \|_1$  is given in terms of *min/max entropies*:

- [Berta], [Buscemi & ND], [Berta, Christandl, Renner], [ND, Hsieh]
- [Dupuis];
- [Dupuis, Berta, Wullschleger, Renner]

*(decoupling condition expressed in terms of  
C-J state of the quantum operation)*

- **One-shot decoupling theorem:** *[Dupuis et al]*

Let  $\varepsilon > 0$ ;  $\Lambda^{A \rightarrow E}$  : *(quantum operation)*

$$\sigma_{A'E} = (\text{id}_{A'} \otimes \Lambda) \Phi_{A'A} \quad \text{C-J state of } \Lambda$$

Then for any state  $\rho_{RA}$ ,

$$\int \left\| (\text{id} \otimes \Lambda)((I \otimes U) \rho_{RA} (I \otimes U^\dagger)) - \rho_R \otimes \sigma_E \right\|_1 dU$$

[ =  $\omega_{RE}(U)$  ]

$$\leq 2^{-\frac{\varepsilon}{2}} H_{\min}^\varepsilon(A|R)_\rho - \frac{1}{2} H_{\min}^\varepsilon(A'|E)_\sigma$$

$\int \cdot dU$  : *integral over the Haar measure on the full unitary group over  $\mathcal{H}_A$*

$$\sigma_E = \text{Tr}_{A'} \sigma_{A'E}$$

- **One-shot decoupling theorem:** *[Dupuis et al]*

Let  $\varepsilon > 0$ ;  $\Lambda^{A \rightarrow E} \equiv \tilde{\mathcal{N}}^{A \rightarrow E}$ ; (quantum operation)

$$\sigma_{A'E} = (\text{id}_{A'} \otimes \Lambda) \Phi_{A'A} \quad \text{C-J state of } \Lambda$$

Then for any state  $\rho_{RA}$ ,

$$\int \left\| (\text{id} \otimes \Lambda)((I \otimes U) \rho_{RA} (I \otimes U^\dagger)) - \rho_R \otimes \sigma_E \right\|_1 dU$$

$$\leq 2^{-\frac{\varepsilon}{2} H_{\min}^\varepsilon(A|R)_\rho - \frac{\varepsilon}{2} H_{\min}^\varepsilon(A'|E)_\sigma}$$

$\int \cdot dU$ : integral over the Haar measure on the full unitary group over  $\mathcal{H}_A$

$$\sigma_E = \text{Tr}_{A'} \sigma_{A'E}$$

- **One-shot decoupling theorem:** *[Dupuis et al]*

Let  $\varepsilon > 0$ ;  $\tilde{\mathcal{N}}^{A \rightarrow E}$ ;  $\sigma_{A'E} = (\text{id}_{A'} \otimes \tilde{\mathcal{N}})\Phi_{A'A}$

Then for any state  $\rho_{RA}$ , C-J state of  $\tilde{\mathcal{N}}$

$$\int \|\omega_{RE}(U) - \rho_R \otimes \sigma_E\|_1 dU \leq 2^{-\frac{\varepsilon}{2} H_{\min}^{\varepsilon}(A|R)_{\rho} - \frac{\varepsilon}{2} H_{\min}^{\varepsilon}(A'|E)_{\sigma}}$$

$$\omega_{RE}(U) = \omega_{RE} = (\text{id} \otimes \tilde{\mathcal{N}})\left((I \otimes U)\rho_{RA}(I \otimes U^{\dagger})\right)$$

$\int \cdot dU$ : integral over the Haar measure on the full unitary group over  $\mathcal{H}_A$

$$\sigma_E = \text{Tr}_{A'} \sigma_{A'E}$$

- **One-shot decoupling theorem:** *[Dupuis et al]*

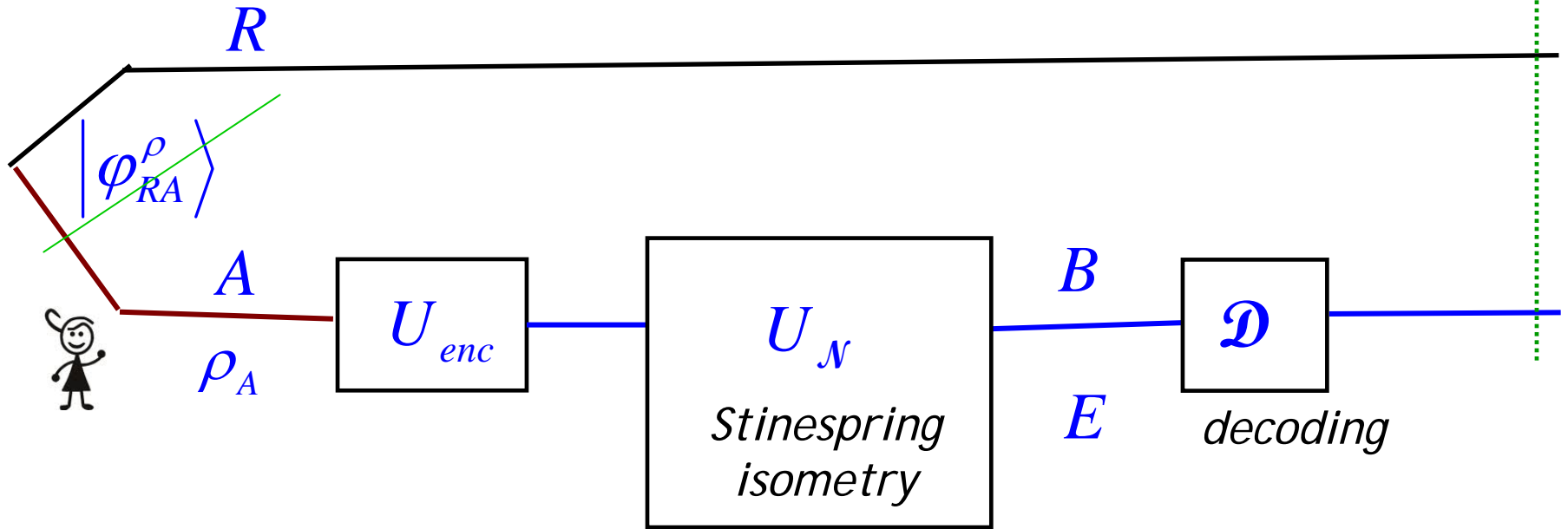
implies that:  $\exists U$  :

$$\| \omega_{RE}(U) - \rho_R \otimes \sigma_E \|_1 \leq 2^{\varepsilon} 2^{-\frac{1}{2} H_{\min}^{\varepsilon}(A|R)_{\rho} - \frac{1}{2} H_{\min}^{\varepsilon}(A'|E)_{\sigma}}$$

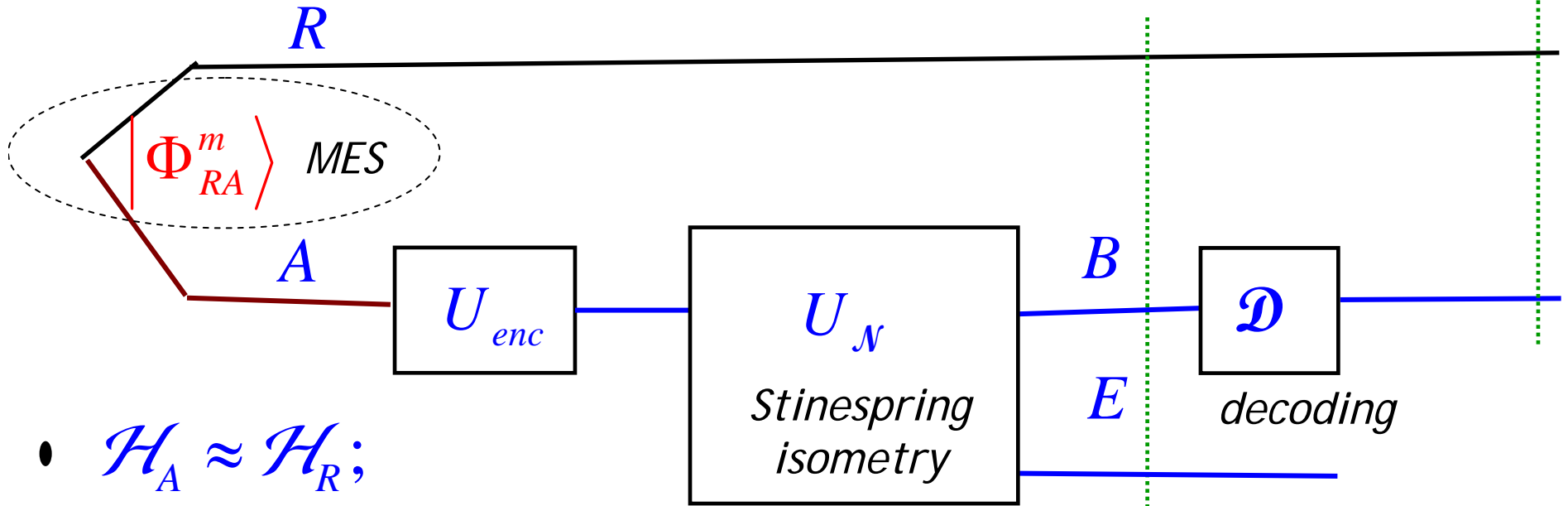
$$\omega_{RE}(U) = \omega_{RE} = (\text{id} \otimes \tilde{\mathcal{N}}) \left( (I \otimes U) \rho_{RA} (I \otimes U^{\dagger}) \right)$$

$$\sigma_{A'E} = (\text{id}_{A'} \otimes \tilde{\mathcal{N}}) \Phi_{A'A} \quad \text{C-J state of } \tilde{\mathcal{N}}$$

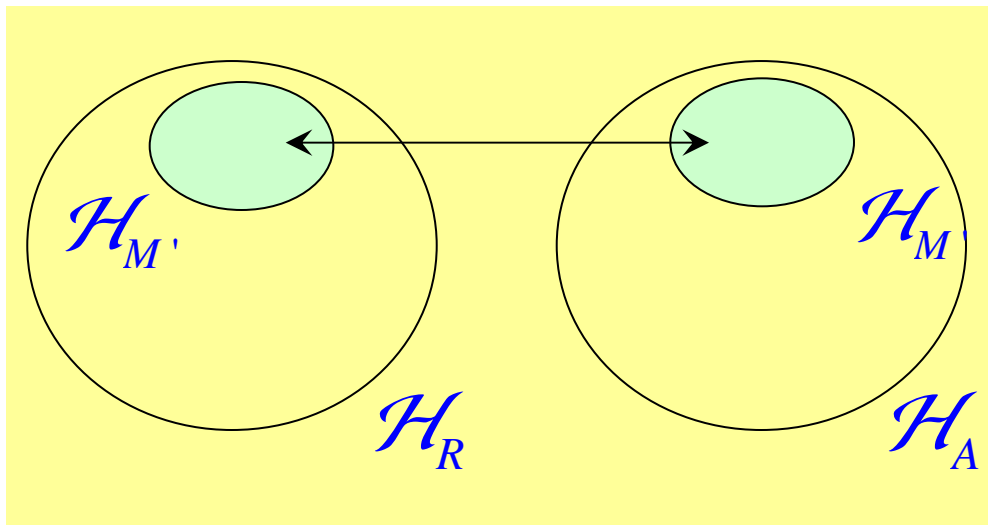
Application: *one-shot entanglement transmission*



Application: *one-shot entanglement transmission*



- $\mathcal{H}_A \approx \mathcal{H}_R$ ;  
 $\{|i\rangle\}$  : a fixed basis

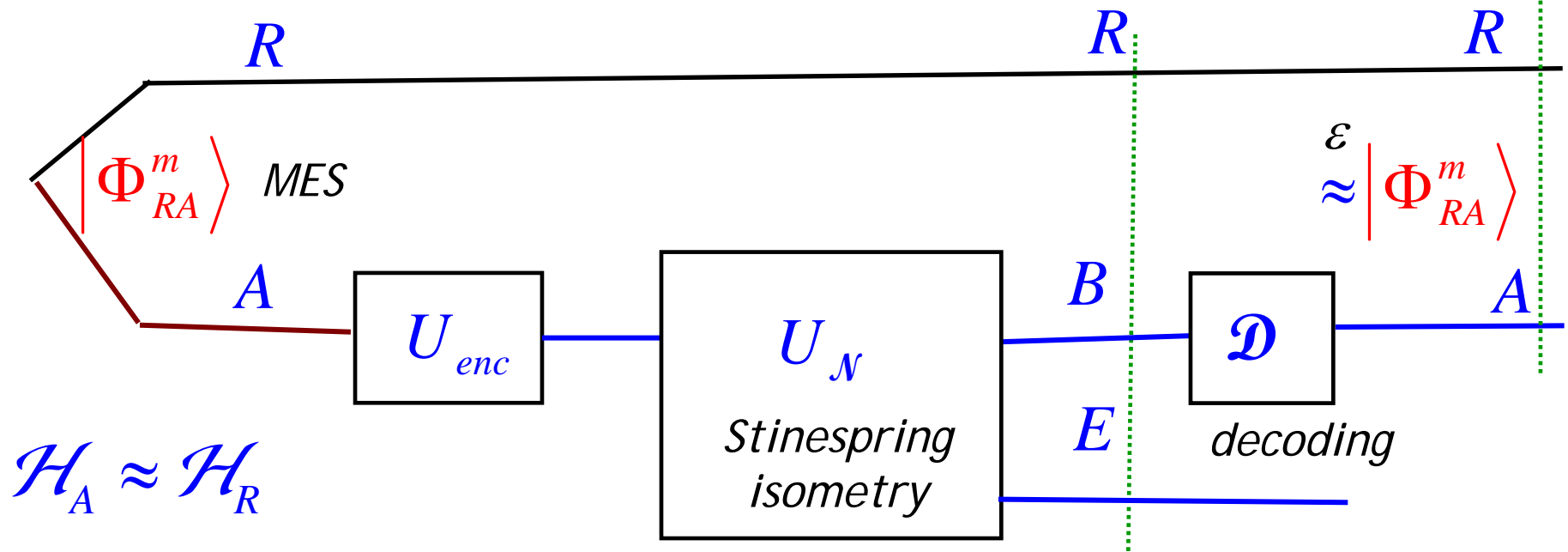


$\mathcal{H}_M \approx \mathcal{H}_{M'}$ ;  
 $m = \dim \mathcal{H}_M$

(a MES)

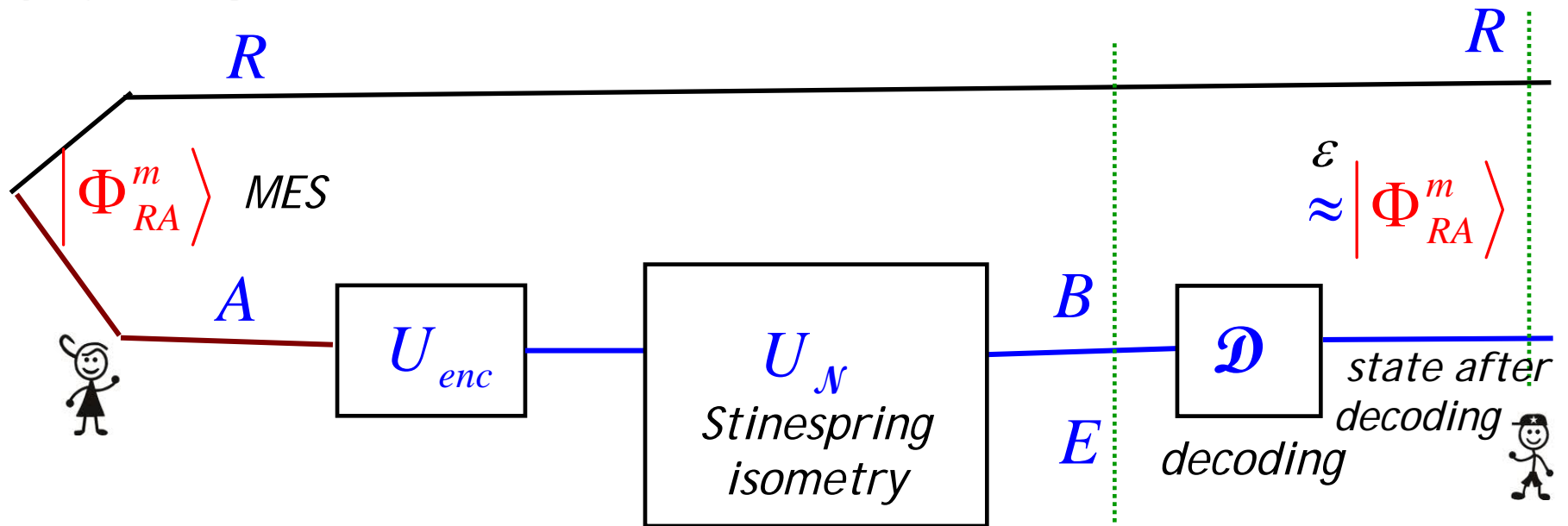
$$|\Phi_{RA}^m\rangle = \frac{1}{\sqrt{m}} \sum_{i=1}^m |i\rangle|i\rangle \in \mathcal{H}_{M'} \otimes \mathcal{H}_M$$





$$|\Phi_{RA}^m\rangle \in \mathcal{H}_{M'} \otimes \mathcal{H}_M \subseteq \mathcal{H}_R \otimes \mathcal{H}_A$$

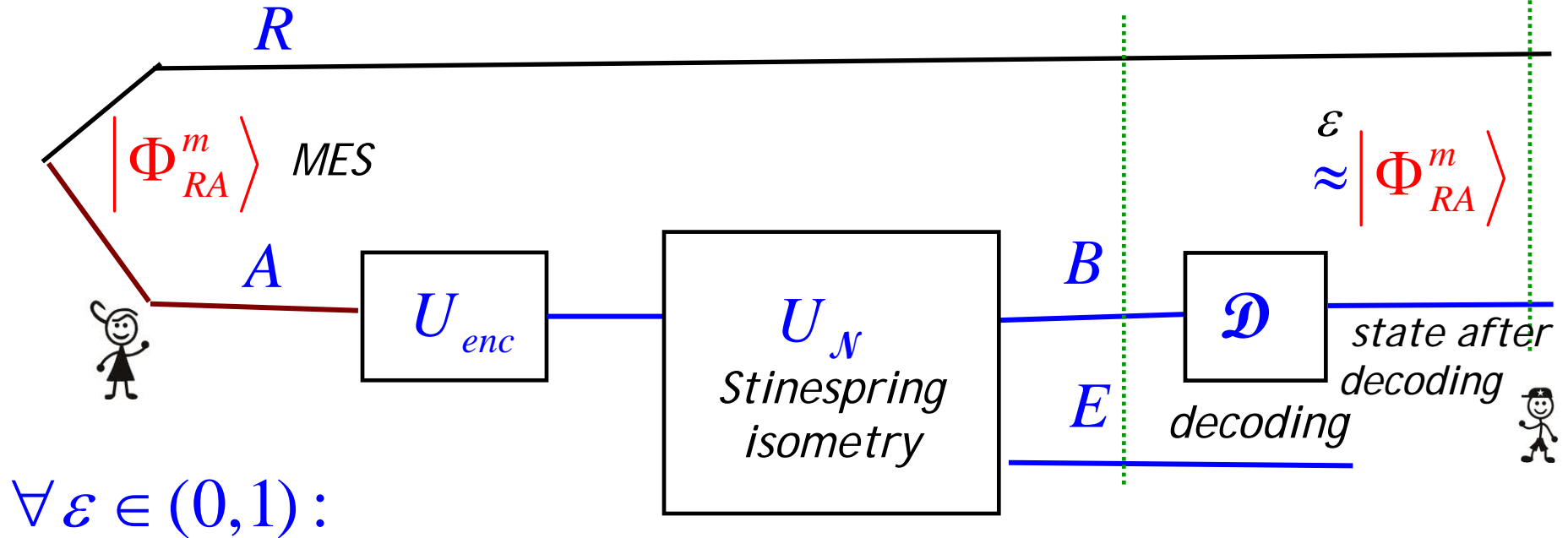
- Alice shares a MES with the inaccessible reference  $R$
- *Aim:* to transmit her share of the entanglement to Bob
- such that - after decoding, the state that Bob shares with the reference is  $\varepsilon$  - close to  $|\Phi_{RA}^m\rangle$



$|\Phi_{RA}^m\rangle = \text{MES of Schmidt rank } m,$

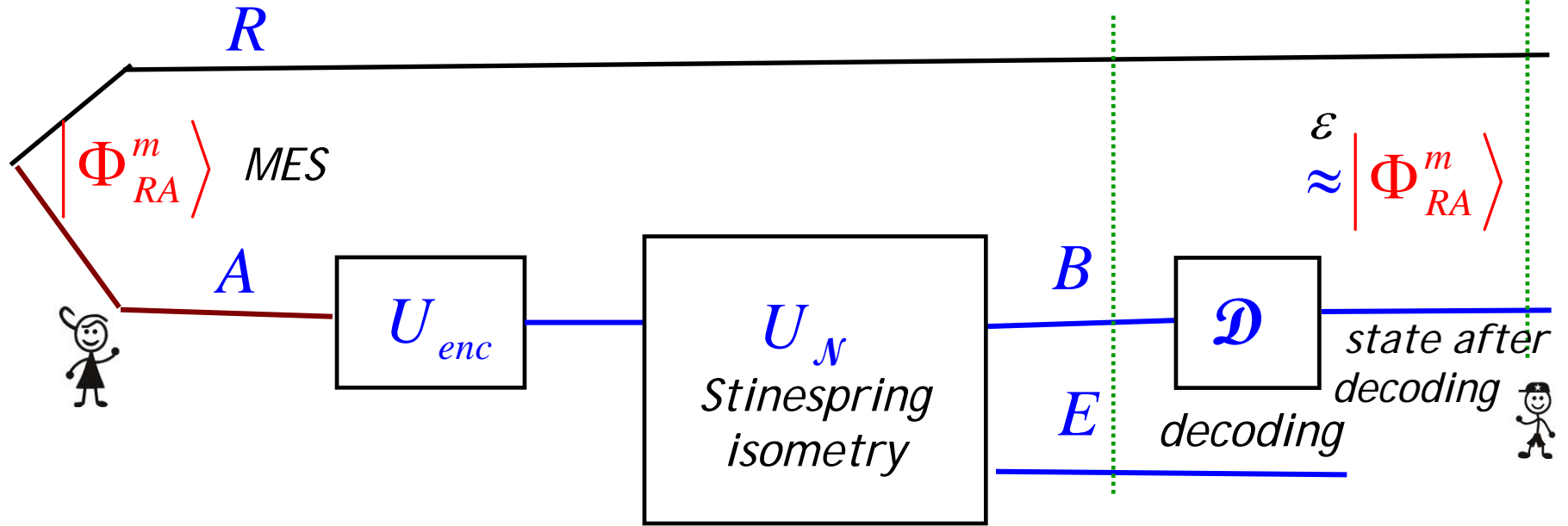
number of ebits transmitted (up to error  $\epsilon$ ) =  $\log m$

- Capacity** := *maximum* number of ebits transmitted



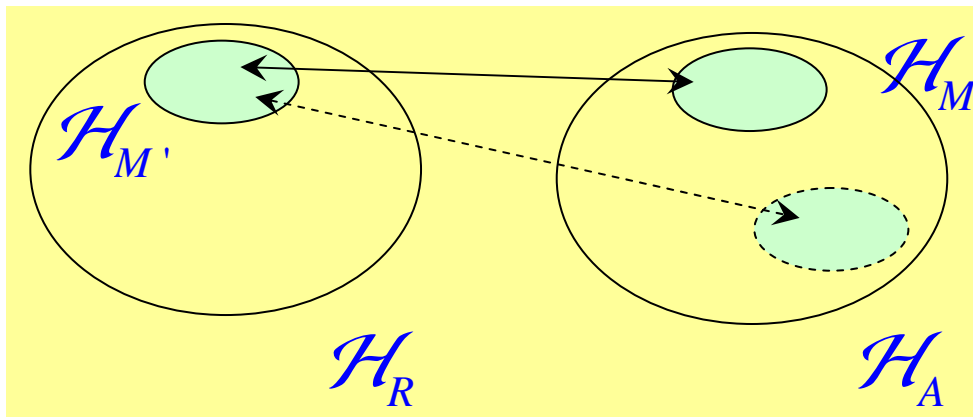
One-shot  $\varepsilon$  – error entanglement-transmission capacity:

$$Q_{et}^{(1), \varepsilon}(\mathcal{N}) := \sup \left\{ \log m : \text{final state} \stackrel{\varepsilon}{\approx} \Phi_{RA}^m \right\}$$



Role of the encoding map:  $U_{enc}$

*To select a suitable coding subspace which is almost error-free*



- *Theorem: [ND, M-H.Hsieh; F.Buscemi & ND]*

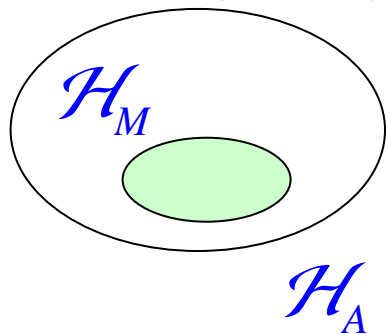
One-shot  $\varepsilon$  – error entanglement-transmission capacity,

$\forall \varepsilon \in (0,1)$ :

$$Q_{et}^{(1),\varepsilon}(\mathcal{N}) \approx \max_{\mathcal{H}_M \subseteq \mathcal{H}_A} \left\{ -H_{\max}^{\varepsilon}(R|B)_{\sigma} \right\}$$

$$\sigma_{RB} = (\text{id}_R \otimes \mathcal{N}^{A \rightarrow B}) \Phi_{RA}^m;$$

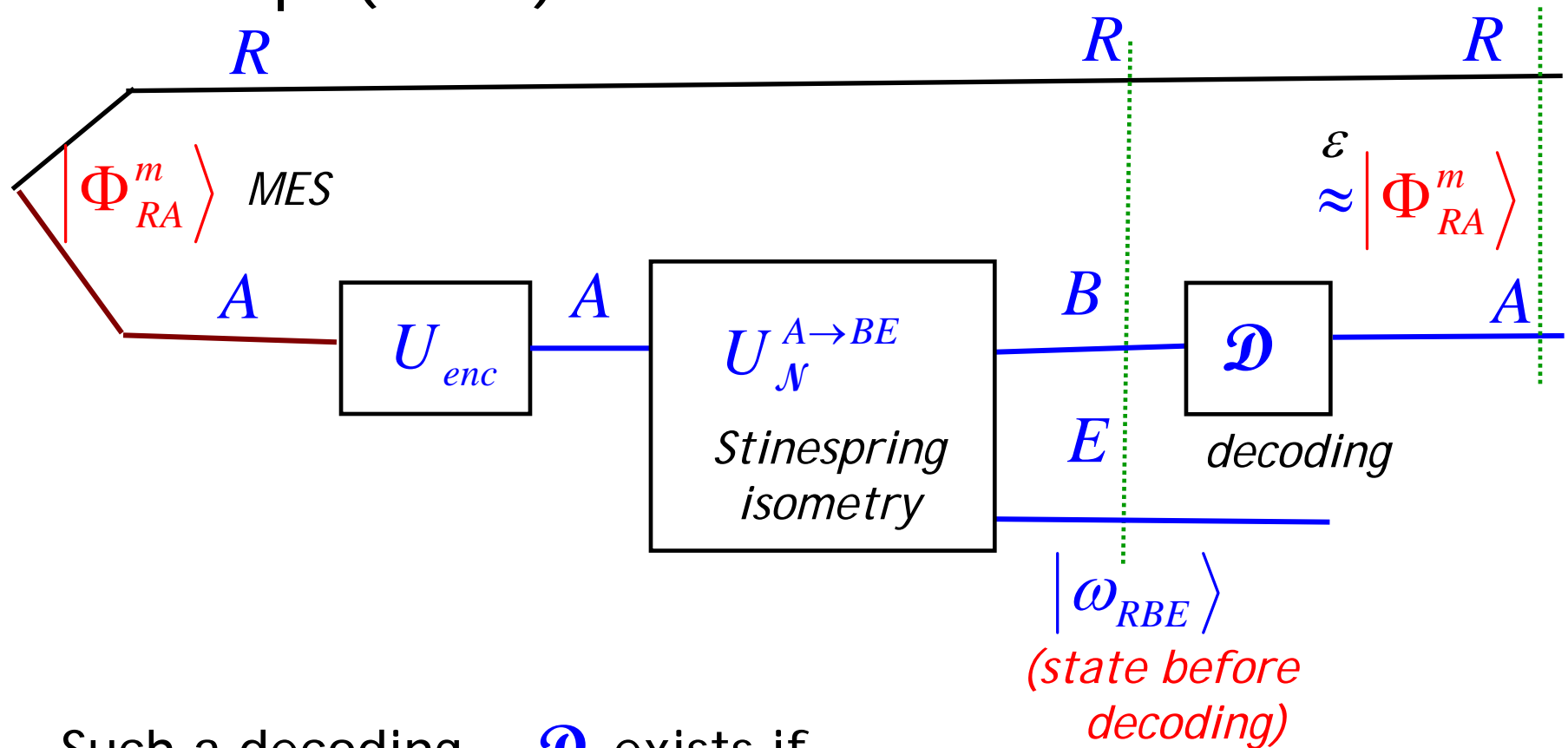
Since  $|\Phi_{RA}^m\rangle \in \mathcal{H}_M \otimes \mathcal{H}_M$ , action of  $\mathcal{N}$  restricted to  $\mathcal{H}_M$



- $\sigma_{RB}$  depends on the choice of  $\mathcal{H}_M$
- hence maximise over all  $\mathcal{H}_M \subseteq \mathcal{H}_A$



- Proof: Step I (Direct) *lower bound*



- Such a decoding  $\mathcal{D}$  exists if

$$\omega_{RE} \stackrel{\epsilon}{\approx} \rho_R \otimes \sigma_E; \quad \rho_R = \frac{I}{m} \quad (\text{completely mixed state})$$

- Use *one-shot decoupling theorem*

- **One-shot decoupling theorem:** *[Dupuis et al]*

implies that:  $\exists U :$

$$\| \omega_{RE}(U) - \rho_R \otimes \sigma_E \|_1 \leq 2^{-\frac{1}{2} H_{\min}^{\varepsilon}(A|R)_{\Phi^m} - \frac{1}{2} H_{\min}^{\varepsilon}(R|E)_{\sigma}}$$

$$\omega_{RE}(U) = \omega_{RE} = (\text{id} \otimes \tilde{\mathcal{N}}) \left( (I \otimes U) \Phi_{RA}^m (I \otimes U^\dagger) \right)$$

$$\sigma_{RE} = (\text{id}_R \otimes \tilde{\mathcal{N}}) \Phi_{RA}^m; \quad \text{C-J state of } \tilde{\mathcal{N}} \quad \because \rho_{RA} = \Phi_{RA}^m$$

- since action of  $\mathcal{N}(\& \therefore \tilde{\mathcal{N}})$  restricted to  $\mathcal{H}_M \subseteq \mathcal{H}_A$
- and  $\Phi_{RA}^m$  is a MES in  $\mathcal{H}_M \otimes \mathcal{H}_M$



- **One-shot decoupling theorem:** *[Dupuis et al]*

implies that:  $\exists U$  :

$$\| \omega_{RE}(U) - \rho_R \otimes \sigma_E \|_1 \leq 2^{-\frac{1}{2} H_{\min}^{\varepsilon}(A|R)_{\Phi^m} - \frac{1}{2} H_{\min}^{\varepsilon}(R|E)_{\sigma}} \dots\dots(a)$$

$$\omega_{RE}(U) = \omega_{RE} = (\text{id} \otimes \tilde{\mathcal{N}}) \left( (I \otimes U) \Phi_{RA}^m (I \otimes U^{\dagger}) \right)$$

$$\sigma_{RE} = (\text{id}_R \otimes \tilde{\mathcal{N}}) \Phi_{RA}^m ;$$

$$\because \rho_{RA} = \Phi_{RA}^m$$

- Require : RHS of (a) to be **small**

$$\Rightarrow \| \omega_{RE} - \rho_R \otimes \sigma_E \|_1 \stackrel{\varepsilon}{\approx} 0 \Rightarrow \omega_{RE} \stackrel{\varepsilon}{\approx} \rho_R \otimes \sigma_E$$

(approx.) decoupling!

- **One-shot decoupling theorem:** *[Dupuis et al]*

implies that:  $\exists U$  :

$$\| \omega_{RE}(U) - \rho_R \otimes \sigma_E \|_1 \leq 2^{\frac{\varepsilon}{2}} \left[ -\frac{1}{2} H_{\min}^{\varepsilon}(A|R)_{\Phi^m} - \frac{1}{2} H_{\min}^{\varepsilon}(R|E)_{\sigma} \right] \dots (a)$$

Note:  $H_{\min}^{\varepsilon}(A|R)_{\Phi^m} \geq -\log m$

$$\sigma_{RE} = (\text{id}_R \otimes \tilde{\mathcal{N}}) \Phi_{RA}^m;$$

Purification:  $|\sigma_{RBE}\rangle = (\text{id}_R \otimes U_{\mathcal{N}}^{A \rightarrow BE}) \Phi_{RA}^m$

*Duality of smoothed min- and max- entropies:*  $H_{\min}^{\varepsilon}(R|E)_{\sigma} = -H_{\max}^{\varepsilon}(R|B)_{\sigma}$

$$\| \omega_{RE} - \rho_R \otimes \sigma_E \|_1 \stackrel{\varepsilon}{\leq} 2^{-\frac{1}{2} H_{\min}^{\varepsilon}(A|R)_{\Phi^m} - \frac{1}{2} H_{\min}^{\varepsilon}(R|E)_{\sigma}}$$

$$\| \omega_{RE} - \rho_R \otimes \sigma_E \|_1 \stackrel{\varepsilon}{\leq} 2^{\frac{1}{2} \log m + \frac{1}{2} H_{\max}^{\varepsilon}(R|B)_{\sigma}} \dots (a)$$

- Decoupling occurs if: RHS of (a) is small :

$$\log m = -H_{\max}^{\varepsilon}(R|B)_{\sigma} + f(\varepsilon);$$

- One-shot entanglement transmission capacity:

$$Q_{et}^{(1),\varepsilon}(\mathcal{N}) \geq \max_{\mathcal{H}_M \subseteq \mathcal{H}_A} \left\{ -H_{\max}^{\varepsilon}(R|B)_{\sigma} \right\} + f(\varepsilon)$$



## In summary

- *We established the lower bound:*

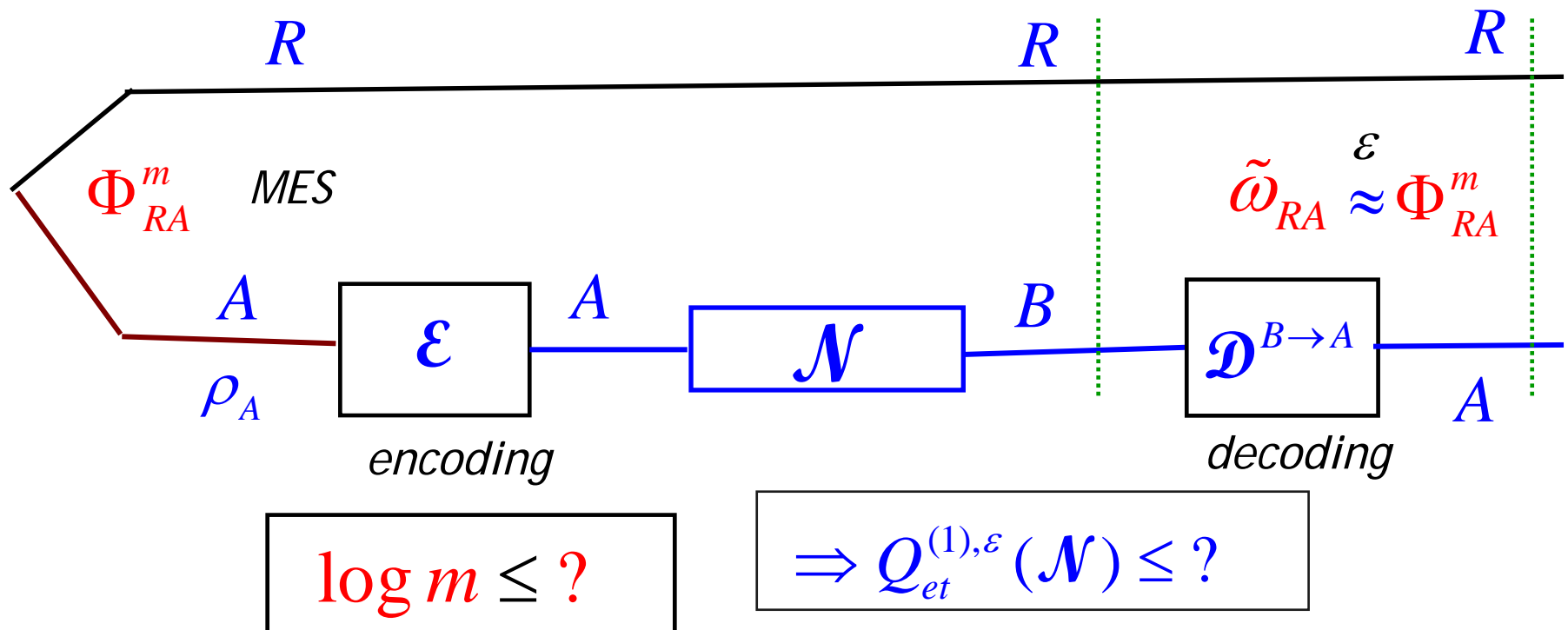
$$Q_{et}^{(1),\varepsilon}(\mathcal{N}) \geq \max_{\mathcal{H}_M \subseteq \mathcal{H}_A} \left\{ -H_{\max}^{\varepsilon}(R|B)_{\sigma} \right\} + f(\varepsilon)$$

- *Used the fact: decoupling  $\Rightarrow \exists$  a decoder*
- *Condition for decoupling  $\longrightarrow$  lower bound*

Converse:  $Q_{et}^{(1),\varepsilon}(\mathcal{N}) \leq \max_{\mathcal{H}_M \subseteq \mathcal{H}_A} \left\{ -H_{\max}^{\varepsilon}(R|B)_{\sigma} \right\}$

■ Proof:

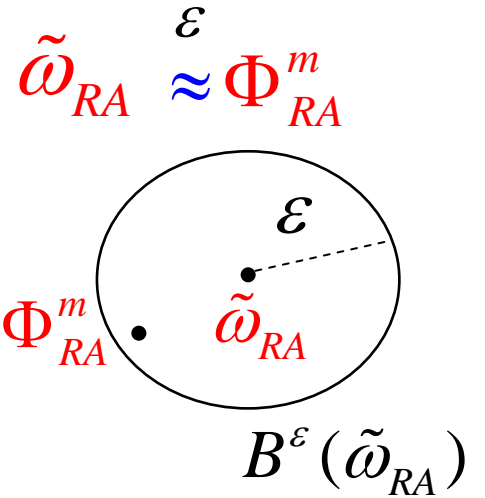
- Assume that  $\exists$  an encoding  $\mathcal{E}$  & a decoding  $\mathcal{D}$  such that



■ Converse:  $Q_{et}^{(1),\varepsilon}(\mathcal{N}) \leq \max_{\mathcal{H}_M \subseteq \mathcal{H}_A} \left\{ -H_{\max}^\varepsilon(R|B)_\sigma \right\}$

$\log m = -H_{\max}(R|A)_{\Phi_{RA}^m}$

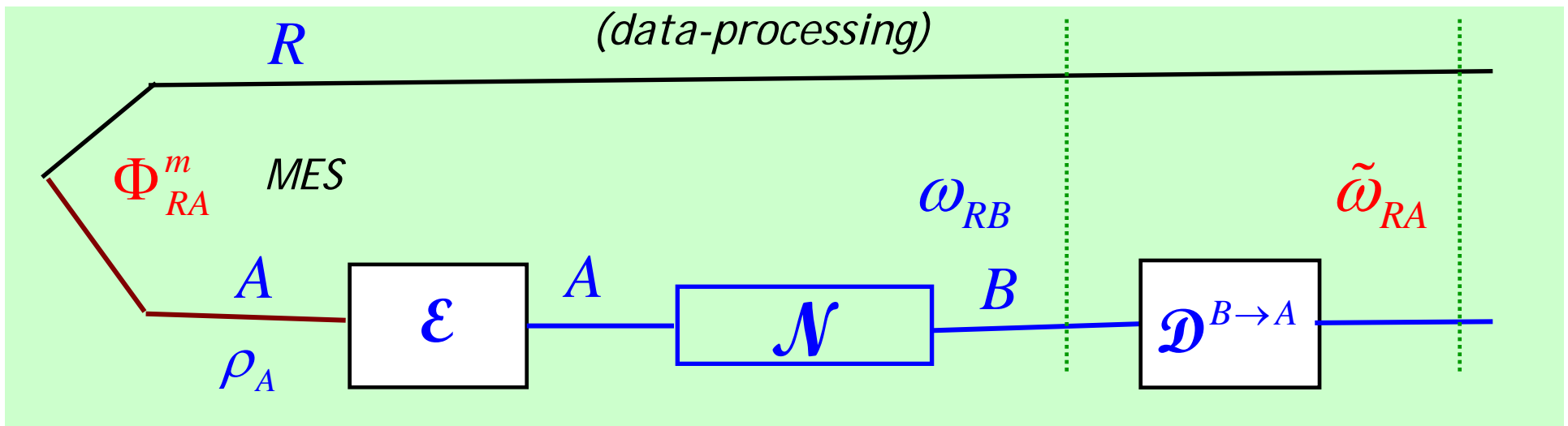
State after decoding :



$\leq \max_{\tau_{RA} \in B^\varepsilon(\tilde{\omega}_{RA})} \left\{ -H_{\max}(R|A)_\tau \right\}$

$= - \min_{\tau_{RA} \in B^\varepsilon(\tilde{\omega}_{RA})} \left\{ H_{\max}(R|A)_\tau \right\}$

$= -H_{\max}^\varepsilon(R|A)_{\tilde{\omega}} \leq -H_{\max}^\varepsilon(R|B)_\omega \quad \because \mathcal{D}^{B \rightarrow A}$



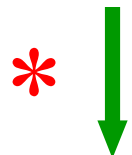
■ Converse:  $Q_{et}^{(1),\varepsilon}(\mathcal{N}) \leq \max_{\mathcal{H}_M \subseteq \mathcal{H}_A} \left\{ -H_{\max}^{\varepsilon}(R|B)_{\sigma} \right\}$

Thus:

$$\log m \leq -H_{\max}^{\varepsilon}(R|B)_{\omega}$$

where

$$\omega_{RB} = (\text{id}_R \otimes \mathcal{N}^{A \rightarrow B} \circ \mathcal{E}) \Phi_{RA}^m;$$



$$\leq -H_{\max}^{\varepsilon}(R|B)_{\sigma}$$

where

$$\sigma_{RB} = (\text{id}_R \otimes \mathcal{N}^{A \rightarrow B}) \Phi_{RA}^m;$$

Main ingredients of \* :

■ Ricochet:  $(I \otimes U) |\Phi\rangle = (U^T \otimes I) |\Phi\rangle$

■ Invariance of smooth conditional max-entropy under local

$$H_{\max}^{\varepsilon}(R|B)_{\omega} = H_{\max}^{\varepsilon}(R|B)_{\sigma} \quad \text{if} \quad \omega_{RB} \xleftrightarrow{U} \sigma_{RB} \quad \text{unitaries}$$

$$\Rightarrow \log m \leq -H_{\max}^{\varepsilon}(R|B)_{\sigma}$$

■ Converse:  $Q_{et}^{(1),\varepsilon}(\mathcal{N}) \leq \max_{\mathcal{H}_M \subseteq \mathcal{H}_A} \left\{ -H_{\max}^{\varepsilon}(R|B)_{\sigma} \right\}$

$$\log m \leq -H_{\max}^{\varepsilon}(R|B)_{\omega}$$

$$\sigma_{RB} = (\text{id}_R \otimes \mathcal{N}^{A \rightarrow B}) \Phi_{RA}^m;$$

$$\omega_{RB} = (\text{id}_R \otimes \mathcal{N}^{A \rightarrow B} \circ \mathcal{E}) \Phi_{RA}^m;$$

$$= (\text{id}_R \otimes \mathcal{N}^{A \rightarrow B} \circ U_{\varepsilon}) \Phi_{RA}^m; \quad (\text{Unitary encoding suffices})$$

$$= (\text{id}_R \otimes \mathcal{N}^{A \rightarrow B})(\text{id}_R \otimes U_{\varepsilon}) \Phi_{RA}^m;$$

$$= (\text{id}_R \otimes \mathcal{N}^{A \rightarrow B})(U_{\varepsilon}^T \otimes \text{id}_A) \Phi_{RA}^m;$$

$$= (U_{\varepsilon}^T \otimes \text{id}_A)(\text{id}_R \otimes \mathcal{N}^{A \rightarrow B}) \Phi_{RA}^m;$$

$$= (U_{\varepsilon}^T \otimes \text{id}_A) \left( (\text{id}_R \otimes \mathcal{N}^{A \rightarrow B}) \Phi_{RA}^m \right);$$

$$= (U_{\varepsilon}^T \otimes \text{id}_A) \sigma_{RB}$$

$$H_{\max}^{\varepsilon}(R|B)_{\omega} = H_{\max}^{\varepsilon}(R|B)_{\sigma}$$

(Unitarily equivalent)

$$\log m \leq -H_{\max}^{\varepsilon}(R|B)_{\omega}$$



$$\Rightarrow \log m \leq -H_{\max}^{\varepsilon} (R | B)_{\sigma}$$

$$Q_{et}^{(1),\varepsilon} (\mathcal{N}) \leq \max_{\mathcal{H}_M \subseteq \mathcal{H}_A} \left\{ -H_{\max}^{\varepsilon} (R | B)_{\sigma} \right\}$$

### In summary

- We established the upper bound (converse) by starting with the assumption that :

$\exists$  an encoding  $\mathcal{E}$  & a decoding  $\mathcal{D}$  such that  
 decoded state is  $\overset{\mathcal{E}}{\approx} \Phi_{RA}^m$

- Going from  $\log m = -H_{\max} (R | A)_{\Phi_{RA}^m}$   $\xrightarrow{\text{smooth conditional max-entropy}}$
- using the fact that a *unitary encoding suffices*
- & *invariance* of smooth conditional max-entropy *under unitaries*

*One-shot  $\varepsilon$  – error entanglement-transmission capacity:*

$$Q_{et}^{(1),\varepsilon}(\mathcal{N}) \approx \max_{\mathcal{H}_M \subseteq \mathcal{H}_A} \left\{ -H_{\max}^{\varepsilon}(R|B)_{\sigma} \right\}$$

One-shot setting  Asymptotic memoryless setting

*Asymptotic capacity*

$$Q_{et}(\mathcal{N}) = \lim_{\varepsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{1}{n} Q_{et}^{(1),\varepsilon}(\mathcal{N}^{\otimes n})$$

*One-shot result:*

$$Q_{et}^{(1),\varepsilon}(\mathcal{N}) \approx \max_{\mathcal{H}_M \subseteq \mathcal{H}_A} \left\{ -H_{\max}^{\varepsilon}(R|B)_{\sigma} \right\}$$

$$\dots \leq Q_{et}^{(1),\varepsilon}(\mathcal{N}^{\otimes n}) \leq \dots$$

One-shot setting  $\longrightarrow$  Asymptotic memoryless setting

Asymptotic capacity  $Q_{et}(\mathcal{N}) = \lim_{\varepsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{1}{n} Q_{et}^{(1),\varepsilon}(\mathcal{N}^{\otimes n})$

One-shot result:  $Q_{et}^{(1),\varepsilon}(\mathcal{N}) \approx \max_{\mathcal{H}_M \subseteq \mathcal{H}_A} \left\{ -H_{\max}^{\varepsilon}(R|B)_{\sigma} \right\}$

$n \rightarrow \infty$   $\dots \leq Q_{et}^{(1),\varepsilon}(\mathcal{N}^{\otimes n}) \leq \max_{\mathcal{H}_{M_n} \subseteq \mathcal{H}_A^{\otimes n}} \left\{ -H_{\max}^{\varepsilon}(R|B)_{\sigma_n} \right\}$

$$Q_{et}(\mathcal{N}) = \lim_{n \rightarrow \infty} \frac{1}{n} \max_{\mathcal{H}_{M_n} \subseteq \mathcal{H}_A^{\otimes n}} \left\{ -H(R|B)_{\sigma_n} \right\}$$

$$\sigma_n = \sigma_{R_n B_n} = (\text{id}_{R_n} \otimes \mathcal{N}^{\otimes n}) \Phi_{R_n A_n}^{m_n}$$

One-shot setting  $\longrightarrow$  Asymptotic memoryless setting

$$Q_{et}(\mathcal{N}) = \lim_{n \rightarrow \infty} \frac{1}{n} \max_{\mathcal{H}_{M_n} \subset \mathcal{H}_A^{\otimes n}} \left\{ -H(R|B)_{\sigma_n} \right\}$$


$$I_{\sigma_n}^{R>B} = -H(R|B)_{\sigma_n} \quad \text{coherent information}$$

*Entanglement transmission capacity (in asymptotic, memoryless setting)*

$$Q_{et}(\mathcal{N}) = \lim_{n \rightarrow \infty} \frac{1}{n} \max_{\mathcal{H}_{M_n} \subset \mathcal{H}_A^{\otimes n}} I_{\sigma_n}^{R_n > B_n} \quad [\text{Lloyd, Shor, Devetak}]$$

*regularized coherent information*

## Summary

- Quantum information transmission through a noisy quantum channel  $\mathcal{N}$  in the one-shot setting
- Decoupling  existence of a decoder:  
such that Bob can recover the quantum state sent by Alice up to an error  $\epsilon$
- One-shot entanglement transmission through a quantum channel : bounds on the capacity
  - given in terms of the smooth conditional max-entropy

## Summary contd.

- One-shot entanglement transmission capacity

$$Q_{et}^{(1),\varepsilon}(\mathcal{N}) \approx \max_{\mathcal{H}_M \subseteq \mathcal{H}_A} \left\{ -H_{\max}^{\varepsilon}(R|B)_{\sigma} \right\}$$

- This yields bounds on the one-shot quantum capacity of  $\mathcal{N}$

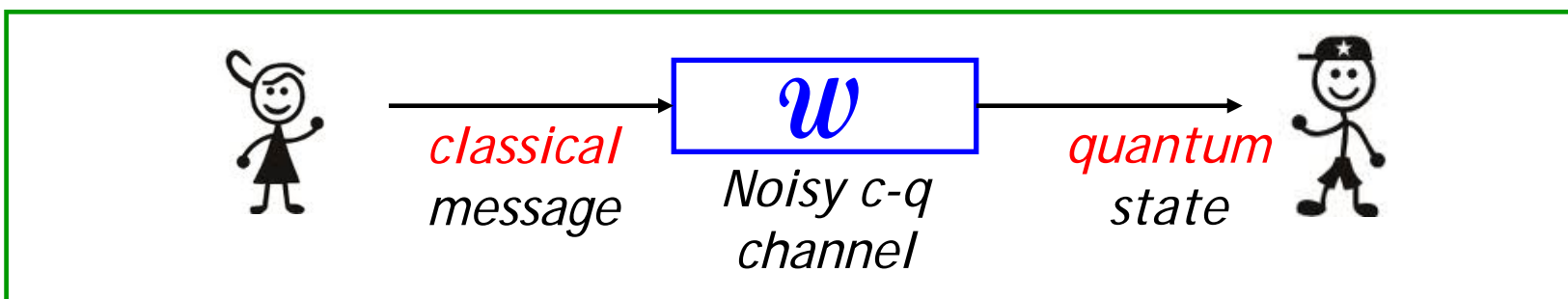
since

$$Q_{et}^{(1),\frac{\varepsilon}{2}}(\mathcal{N}) \leq Q^{(1),\varepsilon}(\mathcal{N}) \leq Q_{et}^{(1),\varepsilon}(\mathcal{N})$$

*one-shot quantum  
capacity*

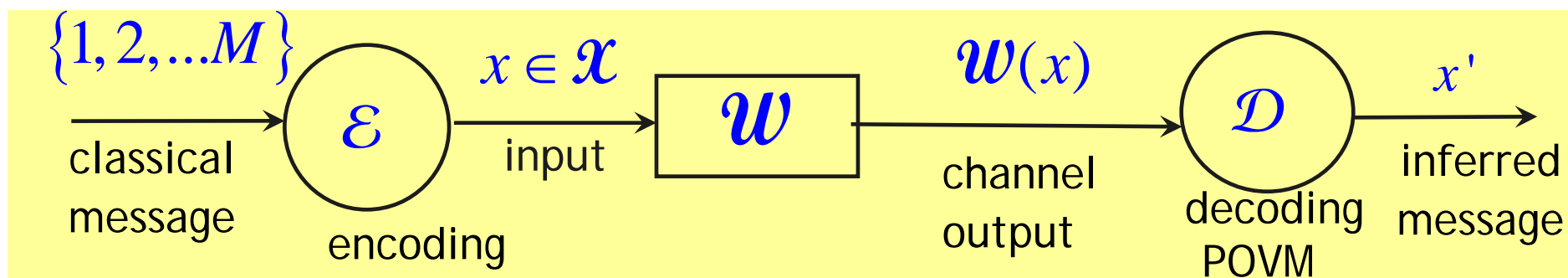
- Retrieve known asymptotic result of Lloyd, Shor & Devetak:  
-- given in terms of the regularized coherent information

## (II) Transmission of classical information through a classical-quantum (c-q) channel:



$$W : \mathcal{X} \mapsto \mathcal{D}(\mathcal{H}); \quad \mathcal{X} : \begin{array}{l} \text{input} \\ \text{alphabet} \end{array} \quad \mathcal{H} : \begin{array}{l} \text{output} \\ \text{Hilbert space} \end{array}$$

*One-shot setting*



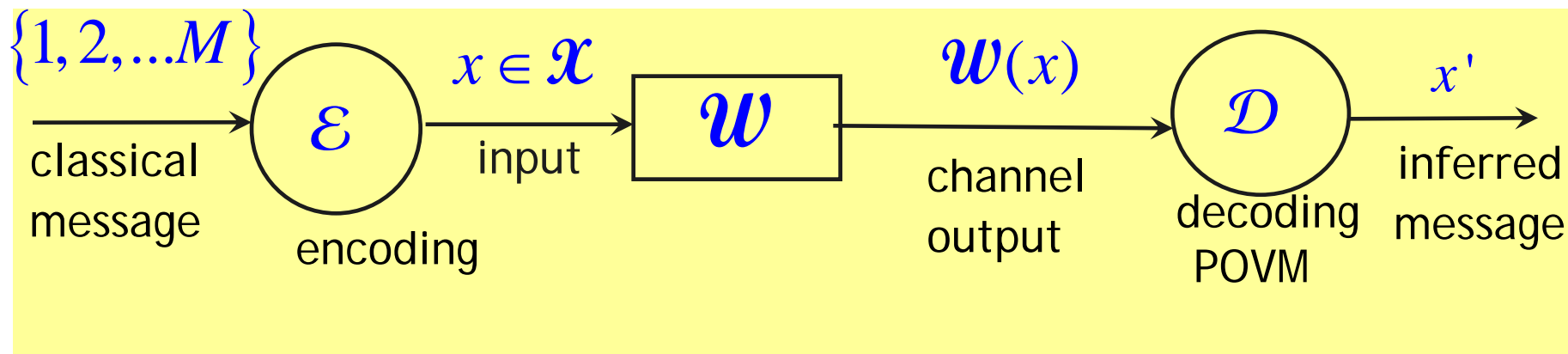
$C := (\mathcal{E}, \mathcal{D}, M)$ : Error-correcting code

$\log M$ : No. of bits of message sent



(II) Transmission of classical information through a classical-quantum (c-q) channel:

*One-shot setting*



$\forall \varepsilon > 0$ , *One-shot  $\varepsilon$  – error capacity of  $\mathcal{W}$ :*

$$C_{\varepsilon}^{(1)}(\mathcal{W}) := \sup \{ \log M : p_e \leq \varepsilon \}$$

(prob. of error)

*“asymptotic memoryless setting”*

$$p_e^{(n)} \rightarrow 0 \text{ as } n \rightarrow \infty$$

- HSW Theorem:

$$C(\mathcal{W}) = \chi^*(\mathcal{W}) \quad (\text{Holevo Capacity})$$

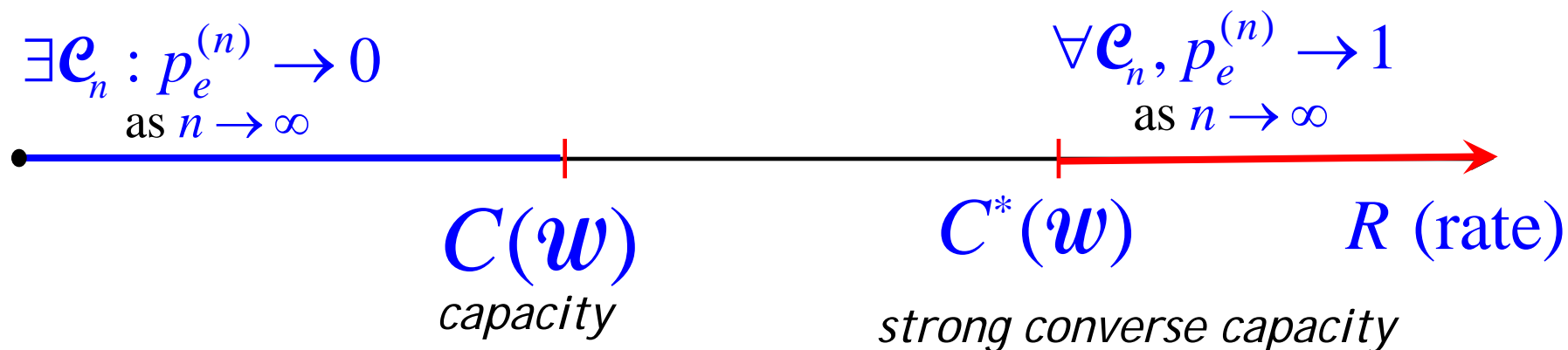
$$= \max_{p_{\mathcal{X}}} \left\{ H\left(\sum_{x \in \mathcal{X}} p(x) \mathcal{W}(x)\right) - \sum_{x \in \mathcal{X}} p(x) H(\mathcal{W}(x)) \right\}$$

$$C(\mathcal{W}) = \max_{p_{\mathcal{X}}} \min_{\sigma_B} D(\rho_{XB} \parallel \rho_X \otimes \sigma_B)$$

*[quantum relative entropy]*

where, 
$$\rho_{XB} = \sum_x p_x |x\rangle\langle x| \otimes \mathcal{W}(\rho_x);$$

- 2 characteristic quantities for  $\mathcal{W}$



- The capacity satisfies the **strong converse property** :

$$C(\mathcal{W}) = C^*(\mathcal{W}) = \chi^*(\mathcal{W})$$

*[Ogawa & Nagaoka; Winter]*

- *Aim of one-shot analysis:*

- Obtain bounds on  $C_\varepsilon^{(1)}(\mathcal{W})$ ;

- Retrieve the *HSW theorem*  $C(\mathcal{W}) = \chi^*(\mathcal{W})$

- Retrieve the *strong converse property*

$$C(\mathcal{W}) = C^*(\mathcal{W}) = \chi^*(\mathcal{W})$$

- **Our result:** (*ND, M. Mosonyi, M-H-Hsieh, F. Brandao: [D, M, H, B]*)

$$C_{\varepsilon}^{(1)}(\mathcal{W}) \approx \max_{p_X} \min_{\sigma_B} D_{\max}^{\varepsilon'} \left( \rho_{XB} \parallel \rho_X \otimes \sigma_B \right)$$

*(smoothed max-relative entropy)*

*(HSW Theorem)*

$$C(\mathcal{W}) = \max_{p_X} \min_{\sigma_B} D \left( \rho_{XB} \parallel \rho_X \otimes \sigma_B \right)$$

*[quantum relative entropy]*

where,  $\rho_{XB} = \sum_x p_x |x\rangle\langle x| \otimes \mathcal{W}(\rho_x);$

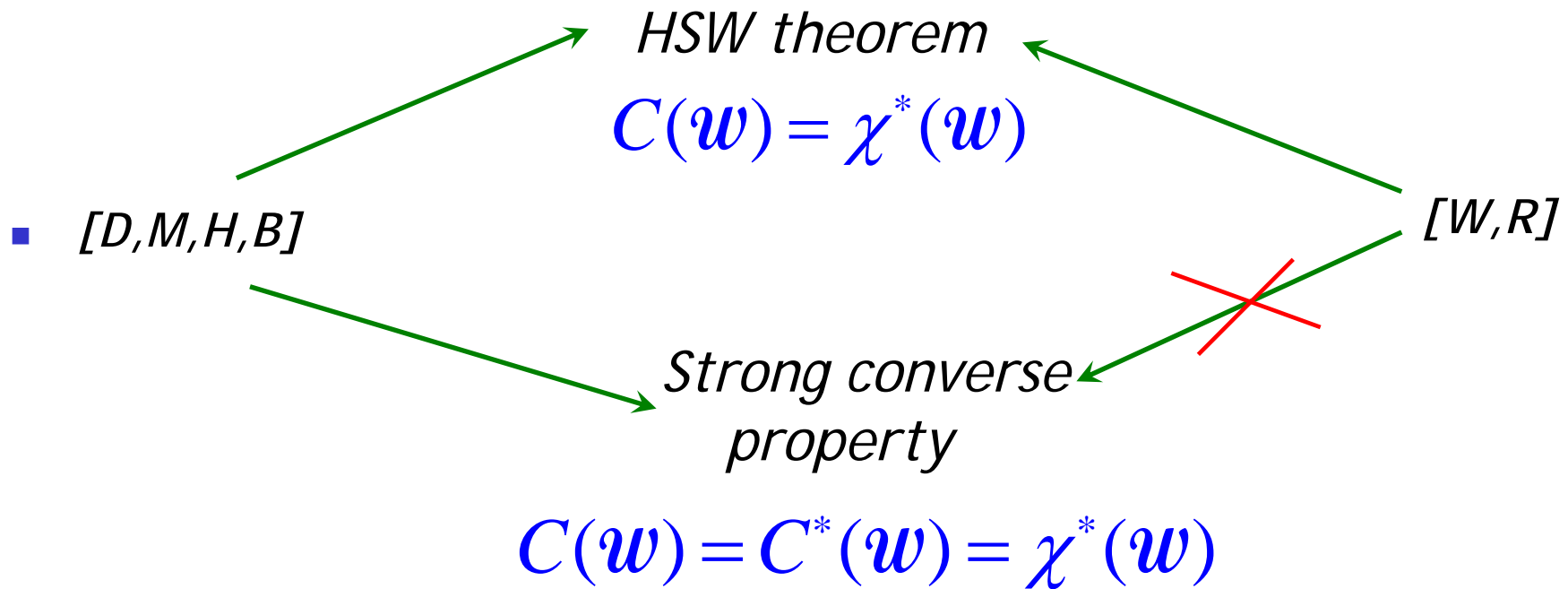
- **Another result:** (*L. Wang, R. Renner: [W, R]*)

$$C_{\varepsilon}^{(1)}(\mathcal{W}) \approx \max_{p_X} D_H^{\varepsilon} \left( \rho_{XB} \parallel \rho_X \otimes \rho_B \right)$$

*(hypothesis testing relative entropy)*

- *also [W. Matthews & S. Wehner]*

Comparison of results of  $[D,M,H,B]$  and  $[W,R]$



The strong converse property cannot be deduced from  $[W,R]$

*Thank you!*

- *Thanks also to:*

*F. Buscemi, F. Brandao, M-H. Hsieh and M. Mosonyi*